VANTAGE
Self-Directed Retirement Plans

# UNSECURED PROMISSORY NOTE INVESTMENT PURCHASE CHECKLIST

To better serve you, below please find a list of the documents needed prior to funding for an Unsecured Promissory Note investment.

**NOTE:** All documents must be received in good order at least two (2) business days prior to funding. Documents received after 2pm will be considered as received the next business day.

**Submit the following to Vantage Retirement Plans:**

- **Secured and Unsecured Note Buy Direction Letter**
  The following sections under *Unsecured Notes* must be completed to purchase the asset:
  - Account Holder Information (Use your Vantage account number)
  - Asset Description
  - Transaction Fees
  - Delivery Instructions
  - Signature and Date

- **Promissory Note Indemnity Disclaimer** - All of the following sections must be completed:
  - Name (As it appears on your Vantage account application)
  - Account Number (Use your Vantage account number)
  - Name of Borrower
  - Signature and Date

- **ORIGINAL Promissory Note**
  - Lender to be vested as: "**Vantage FBO *YOUR NAME* IRA#_____**"
  - Address: 20860 N. Tatum Blvd., Ste 240, Phoenix, AZ 85050
  - Read and Approved (You as the IRA Account Holder must sign the signature line prior to submitting for processing)

  ** Cannot accept copy via email or fax**

**Documents submitted to Vantage Retirement Plans:**

**By Mail:**
Vantage Retirement Plans, LLC
20860 N. Tatum Blvd., Ste 240
Phoenix, AZ 85050