

TRADING PLAN

(For the period from 01.01.2020 to 31.12.2020) [the period to be mentioned here should not be less than one year and it should begin from at least 6 months after the submission of Trading Plan]

To,
The Compliance Officer
SBI Funds Management Private Limited

Dear Sir / Madam,

Sub: Trading Plan under Regulations 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of provisions of Regulations 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 13 (iii) of Employee Trading Policy adopted by SBI Funds Management Private Limited, I Manas Kumar [Name of the Employee], hereby give my Trading Plan / ~~Trading Plan of my Immediate Relatives~~ to Sell [Purchase / Sell, as the case may be] the equity shares of Kotak Mahindra Bank Ltd, as per the details furnished hereunder:

Name of the Employee	<u>Manas Kumar</u>
Name of the Immediate Relative	<u>N.A.</u>
Relation with Immediate Relative	<u>N.A.</u>
Designation	<u>Vice President</u>
Date of Submission	<u>24.06.2019</u>
Period of Trading	As per the plan mentioned in the table below
No Trading Period	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by <u>Kotak Mahindra Bank Ltd</u>
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by <u>Kotak Mahindra Bank Ltd</u>
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by <u>Kotak Mahindra Bank Ltd</u>
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by <u>Kotak Mahindra Bank Ltd</u>

Details of Trades to be executed (by the Employee and / or his Immediate Relatives)

Particulars of Person		Name of the Company	ISIN	No. of shares held	Period or Dates of Proposed Trades [the period mentioned here should be six months post application and not less than one year thereafter]			Quantity or Value of Proposed Trades	
					From	To	Date of Trade	Quantity	Value
Name	Relation with Employee							[Purchase / Sell]	[Purchase / Sell]
Manas Kumar	Self	Kotak Mahindra Bank Ltd	INE237 A01028	11480	7 th Feb 2020	28 th Feb 2020	-	Sell 11480	-

Manas
24.06.2019

I hereby undertake that:

1. I/my Immediate Relatives will not trade in the securities as set out in the Trading Plan during cool off period of six months.
 2. I/my Immediate Relatives will not trade during the no trading periods specified herein above.
 3. I/my Immediate Relatives has submitted the Trading Plan for not less One year.
 4. I/my Immediate Relatives will not trade in the securities of Kotak Mahindra Bank Ltd for market abuse.
 5. I / my Immediate Relatives do not have overlapping trading plan for the same period.
 6. I have not contravened the provisions of the Employee Dealing Policy & Code of Conduct as notified by the Company from time to time.
 7. I have made full and true disclosure in the matter.
 8. I undertake to abide by this trading plan once approved and shall furnish such declarations disclosures as may be deemed necessary by compliance officer for the monitoring of this plan.
 9. I hereby confirm that I am not possession of any unpublished price sensitive information
- OR
- ~~10. I am in possession of certain unpublished price sensitive information at the time of formulation of this Trading Plan and I / my Immediate Relative will not trade pursuant to this Trading Plan until such unpublished price sensitive information becomes generally available information.~~


Signature of Employee:

Date: 24th June 2019

Place: Mumbai

The above trading plan is approved / ~~rejected~~

In case of rejection, the reason for the rejection are as follows:

For SBI Funds Management Pvt. Ltd.


Head - Compliance Officer & Company Secretary

Date: 24/6/19.