

Including:

SAMPLE 3-business day notice to hospital administrator and
HNSC Co-Chairs

SAMPLE Needs List

Plan of Correction Guidance

Time Block Selection

Posting Survey Tool

Replacement Staffing List Review

HNSC Charter Review

HNSC Composition Review

HNSC Meeting Review

HNSC Cochair Interview

Written Staffing Plan Review

Annual Staffing Plan Review

Staffing Data Review

Replacement Staffing Usage Review

Maximum Hour Review

Personnel Survey Tool

Personnel Survey Tool Document List

Nurse Staffing Workbook



Health Care Regulation and Quality Improvement
800 NE Oregon Street, Suite 465
Portland, Oregon 97232
971-673-0540
971-673-0556 (Fax)

April 4, 2019

SENT VIA EMAIL AND REGULAR MAIL

William Worrall Mayo
Hospital Administrator
Healthytown Hospital
1234 Healthy Way
Wellness, Oregon 97777

Edward Lyon
Chief Nursing Officer
Healthytown Hospital
1234 Healthy Way
Wellness, Oregon 97777

Edith Cavell
Nurse Staffing Committee Co-chair
Healthytown Hospital
1234 Healthy Way
Wellness, Oregon 97777

Lenah Higbee
Nurse Staffing Committee Co-chair
Healthytown Hospital
1234 Healthy Way
Wellness, Oregon 97777

RE: Nurse Staffing Survey Notice

Dear Mr. Mayo, Mr. Lyon, Ms. Cavell and Ms. Higbee:

On April 9, 2019 our office will begin a nurse staffing survey at Healthytown Hospital in accordance with the requirements of Oregon Administrative Rule 333-501-0035. Surveyors will arrive at the hospital at 9:00 AM to begin the survey and surveyors will leave the hospital by 5:00 PM each day they are on-site.

When surveyors arrive at the hospital, they will identify themselves and meet with the hospital administration to describe the survey process and request documents. A full needs list will be provided at that meeting. Please prepare for this meeting by gathering the documents in the attached list and provide the listed documents when surveyors arrive at the hospital.

During their time on site, surveyors will need to interview the hospital nurse staffing committee co-chairs Ms. Cavell and Ms. Higbee. The survey will also include a review of relevant records and interviews of any other person(s) surveyors deem necessary to determine compliance. Further information about the nurse staffing survey process and sample survey tools are available at www.healthoregon.org/nursestaffing.

As part of the survey hospital staff, patients and family members may participate in a nurse staffing survey interview. The survey interview is currently open for participation and will remain open until 5:00 PM on April 16, 2019. Please make sure staff are informed of the opportunity to participate in the survey interview and receive the survey interview address.

www.surveymonkey.com/r/HealthytownHospital-NurseStaffingSurveyInterview

If you have questions or concerns about the logistics of the survey, you may contact our office at (971) 673-0540 or mailbox.nursestaffing@state.or.us.

Nurse Staffing Survey Team
Oregon Health Authority
Public Health Division
Health Care Regulation and Quality Improvement

Nurse Staffing Entry List

Provide these items when surveyors arrive at the hospital.	Received
1. The hospital's scope of services or provisions of patient care services document that identifies all services provided by the hospital with each service that has a nurse staffing plan in effect highlighted or otherwise identified with a notation or asterisk.	
2. Hospital and Nursing Department organizational charts reflecting all services.	
3. Current campus map and, if available, map of off-campus locations.	
4. List of hospital patient care areas, inpatient and outpatient units, including on-campus locations and off-campus satellite locations, where nursing services are provided. For each unit include: <ul style="list-style-type: none"> • Scope of service, • Number of beds and number of patient care areas, • Shift hours, • Any hospital-wide nurse staffing plans used during the past 12 months, • List of patient care areas where nurse staffing plans are used, • Nurse staffing plans used for each patient care area during the past 12 months, • List of units which utilize a call program, and • Contact information for the nurse manager. 	
5. List of all key nursing administrative and management staff, including titles.	
6. All policies and procedures related to hospital nurse staffing services.	
7. Any policy regarding nurse education and training hours.	
8. Any policy regarding mandatory overtime.	

9. Any policy regarding the process for evaluating and initiating limitations on admission or diversion of patients to another hospital.	
10. The hospital nurse staffing committee charter.	
11. Nurse staffing committee roster or membership list, including: <ul style="list-style-type: none"> • Titles, • Indication of whether members are managers or direct care nursing staff, • Each direct care members' specialty or unit, and • The list should reflect NSC memberships during the past 12 months with NSC members' start/stop term dates and a description of how each direct care member was selected for the committee. Provide this information at the time of the NSC review that will occur during the survey. 	
12. Hospital nurse staffing committee meeting minutes from all meetings held during the past 12 months.	
13. Copies of all complaints filed with the hospital nurse staffing committee during the past 12 months.	
14. Any reports issued by hospital nurse staffing committee during the past 24 months.	



Nurse Staffing Full Survey Needs List

Survey & Certification Unit
800 NE Oregon Street, Suite 465
Portland, OR 97232
Voice: (971) 673-0540
Fax: (971) 673-0556
TTY: 711

<http://www.healthoregon.org/nursestaffing>
mailbox.nursestaffing@state.or.us

Facility Name:
Entrance Date:
Surveyors:

Entrance Conference

- ___ 1. Introduction of surveyors
- ___ 2. Meeting attendance sign in sheet
- ___ 3. Purpose and scope of survey:
 - a. Projected timeline
 - i. On-site hours 0900 to 1700
 - b. Survey process to include
 - i. Co-chair interviews
 - ii. Nursing Staffing Committee review with hospital staff
 - iii. Selected specialty/unit reviews with hospital staff
 - iv. Surveyor/team review periods without hospital staff
 - c. Closing
 - i. Review of next steps
- ___ 4. Notice of Nursing Staffing Audit/Survey posting
- ___ 5. Nursing Staff Member Interview using SurveyMonkey distribution and management
- ___ 6. Co-Chair interview schedule to be determined after entrance
- ___ 7. Identification of primary contact person(s) for surveyors. Contact person(s):

- ___ 8. Provisions for copies or printing of documents to be made as requested. Contact person: _____
- ___ 9. Request for place to work with adequate table space and accommodations for privacy

___ 10. Hospital's payroll/timekeeping work week – day/time through day/time: provide a copy of Time Block Selection

___ 11. Questions

Provide these items when surveyors arrive at the hospital.	Received
1. The hospital's scope of services or provisions of patient care services document that identifies all services provided by the hospital with each service that has a nurse staffing plan in effect highlighted or otherwise identified with a notation or asterisk.	
2. Hospital and Nursing Department organizational charts reflecting all services.	
3. Current campus map and, if available, map of off-campus locations.	
4. List of hospital patient care areas, inpatient and outpatient units, including on-campus locations and off-campus satellite locations, where nursing services are provided. For each unit include: <ul style="list-style-type: none">• Scope of service,• Number of beds and number of patient care areas,• Shift hours,• Any hospital-wide nurse staffing plans used during the past 12 months,• List of patient care areas where nurse staffing plans are used,• Nurse staffing plans used for each patient care area,• List of units which utilize a call program, and• Contact information for the nurse manager.	
5. List of all key nursing administrative and management staff, including titles.	
6. All policies and procedures related to hospital nurse staffing services.	
7. Any policy regarding nurse education and training hours.	

8. Any policy regarding mandatory overtime.	
9. Any policy regarding the process for evaluating and initiating limitations on admission or diversion of patients to another hospital.	
10. The hospital nurse staffing committee charter.	
11. Nurse staffing committee roster or membership list, including: <ul style="list-style-type: none"> • Titles, • Indication of whether members are managers or direct care nursing staff, • Each direct care members' specialty or unit, and • The list should reflect NSC memberships during the past 12 months with NSC members' start/stop term dates and a description of how each direct care member was selected for the committee. Provide this information at the time of the NSC review that will occur during the survey. 	
12. Hospital nurse staffing committee meeting minutes from all meetings held during the past 12 months.	
13. Copies of all complaints filed with the hospital nurse staffing committee during the past 12 months.	
14. Any reports issued by hospital nurse staffing committee during the past 24 months.	

Complete or provide these items within 2 hours of the end of the Entrance Conference:	Completed / Received
15. Post the "Notice of Nurse Staffing Audit/Survey" in a location(s) visible to nursing staff members. The notice includes the Nursing Staff Member Survey Interview link posting.	
16. Nursing staff work schedules to reflect who worked each day/shift for the past 3 months for each selected specialty/unit.	

17. List of all employees and contacted RNs, LPNs and CNAs who worked during the past three months to include name, position/title and hire/start date for each selected specialty/unit.	
NOTE: A nursing staff member list will be generated by surveyors upon receipt of items 16 and 17 above. It will include the sample of nursing staff members from each specialty/unit for whom timekeeping and qualifications and competencies will be reviewed during the time set for specialty/unit review that will occur during the survey.	

Provide these items for each specialty/unit to be reviewed at the time of the specialty/unit review. This review will be scheduled during the survey.	Received
18. Timesheets and timecards or timekeeping documentation showing actual hours worked for ALL NSMs for the selected payroll work weeks for each selected specialty/unit.	
19. Shift reports/assignment documents that show actual NSM patient assignments for all shifts during the selected work weeks for each selected specialty/unit.	
20. Documentation showing meal and break coverage for NSMs to ensure compliance with the NSP for all shifts during the selected work weeks for each selected specialty/unit.	
21. Documentation showing the hospital's process for obtaining replacement nursing staff for each selected specialty/unit.	
22. Documentation of any variance between the staffing on the original work schedule and actual staffing on the shifts for the selected work weeks for each selected specialty/unit. For each of those variances provide documentation showing whether nursing staff was replaced, nursing staff worked voluntary overtime, nursing staff worked mandatory overtime, nursing staff worked short, or how patient needs were met during that shift.	
23. Documentation showing all 10-hour rest periods claimed for the selected work weeks for ALL NSMs for each selected specialty/unit.	
24. Documentation showing the hospital's efforts to obtain replacement nursing staff any time replacement nursing staff was used or	

sought for the selected work weeks for each selected specialty/unit.	
25. The hospital's current list of on-call (replacement) nursing staff or staffing agency contacts used to obtain replacement nursing staff for each selected specialty/unit. Include documentation showing when and how the on-call list was updated within the past 6 months.	
26. A copy of any policy regarding on-call hours for each selected specialty/unit.	
27. Documentation showing whether NSMs' on-call hours require NSMs to be on the hospital premises for each selected specialty/unit.	
28. Documentation showing ALL overtime worked by any and all NSMs for the selected work weeks for each selected specialty/unit.	
29. Documentation showing all mandatory overtime worked by any NSMs in the past year for each selected specialty/unit.	
30. Documentation showing any additional hours worked by NSMs within the past year due to staff vacancies that became known in the preceding shift or due to potential harm to a patient if a NSM left the assignment or transferred care to another NSM.	
31. Documentation of any instance in which the hospital limited admissions or diverted patients to another hospital within the past year for each selected specialty/unit.	
32. A list of all licensure, qualifications, certifications, orientation documents, initial and annual competency documents for each category of NSM as required by the hospital. Attached blank/uncompleted job descriptions, orientation checklists/tools, and all initial and annual competency checklists/tools that correspond to the list for each selected specialty/unit.	
33. Documentation showing all qualifications met, orientation provided, competencies demonstrated, and training completed for the selected NSMs for each selected specialty/unit.	

Provide this items on Day 2 of the survey.	Received
34. Documentation showing any additional hours worked by nursing staff members within the past 2 years due to a national or state emergencies or circumstances requiring the implementation of a facility disaster plan, including documentation showing the nature and extent of the emergency or circumstances requiring implementation of the facility disaster plan.	

Other documents and records may be requested.

If you need this information in an alternate format,
please call our office at (971) 673-0540 or TTY 711.



Survey & Certification Unit

800 NE Oregon Street, Suite 465

Portland, OR 97232

Voice: (971) 673-0540

Fax: (971) 673-0556

TTY: 711

<http://www.healthoregon.org/nursestaffing>

mailbox.nursestaffing@state.or.us

Nurse Staffing Survey and Complaint Investigation Reports: Plan of Correction Guidance

This memo provides additional information about Health Facility Licensing & Certification (“Agency”) reports and the Plans of Correction described in Oregon Administrative Rules (OAR) 333-501-0035 and 333-510-0040.

Topics covered include:

[What happens after a survey or complaint investigation?](#)

[About Plans of Correction;](#)

[Plan of Correction Review;](#) and

[Preparing for surveys and complaint investigations.](#)

What happens after a survey or complaint investigation?

The hospital and nurse staffing committee cochair receive a written report documenting any noncompliance. Each citation includes the legal standard, a deficiency statement and findings of fact that support the noncompliance determination.

Key Facts:

- The agency issues a nurse staffing survey or complaint investigation report no more than 30 business days after the survey closes.
- The agency sends the report to the hospital administrator and both cochair of the hospital nurse staffing committee.

- The report includes a cover letter that explains whether the hospital is required to submit a plan or correction after receiving the agency's report.

About Plans of Correction:

A hospital must write a Plan of Correction (POC) if the agency's nurse staffing survey or complaint investigation report identifies noncompliance. If you are having problems completing the POC before the deadline, please contact the surveyor for a possible extension.

Key Facts:

- The hospital must submit a POC no more than 30 business days after it receives the agency's report.
- The POC must include the first page of the agency's report. This page must be signed by the hospital administrator.
- The hospital may involve the nurse staffing committee to assist in finding and implementing solutions to the deficiencies.
- The POC must respond to each deficiency identified in the agency's report. Each response should include:
 1. A detailed description of how the hospital plans to correct the deficiency identified in each deficiency statement;
 2. A description of how the hospital will implement the plan to correct the deficiency;
 3. A timeline or date by which the hospital expects to implement the corrective actions;
 4. The description of monitoring procedure(s) that the hospital will perform to prevent a recurrence of the specific deficiency identified; and
 5. The title of the person who will be responsible for implementing the corrective actions described.
- The POC should not attempt to disprove the findings.
- The POC should not restate a statute or administrative rule as the proposed solution.

EXAMPLE

PRINTED:
FORM APPROVED

Health Care Regulation and Quality Improvement

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
NAME OF PROVIDER OR SUPPLIER		STREET ADDRESS, CITY, STATE, ZIP CODE		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
E 602	<p>OAR 333-510-0045 (2) Anti-Retaliation Notice</p> <p>(2) A hospital shall also post an anti-retaliation notice on the premises that:</p> <p>(a) Summarizes the provisions of ORS 441.181, 441.183, 441.184 and 441.192;</p> <p>(b) Is clearly visible; and</p> <p>(c) Is posted where notices to employees and applicants for employment are customarily displayed.</p> <p>Stat. Auth.: ORS 413.042, 441.155, 441.169, 441.173 & 441.185</p> <p>Stats. Implemented: ORS 441.155, 441.169, 441.173 & 441.185</p> <p>This Rule is not met as evidenced by: Based on observation and interview it was determined the hospital failed to ensure it posted the anti-retaliation notice in places where employees and applicants for employment would be likely to view and read it.</p> <p>Findings include:</p> <p>1. During tour of the hospital on [REDACTED] beginning at [REDACTED], there were no observations of the anti-retaliation notice posted on the bulletin board where other notices for employees were posted.</p> <p>2. During interview with [REDACTED] on [REDACTED] at [REDACTED] he/she stated that all individuals apply for employment online. During review of [REDACTED] website [REDACTED] the anti-retaliation notice was not observed on the website and [REDACTED] stated that it was not posted online.</p>	E 602	<p>E602 Plan: The provider writes a detailed description here of how the hospital plans to correct the deficiency identified in the deficiency statement.</p> <p>Implementation: The provider describes how the hospital will implement the plan to correct the deficiency.</p> <p>Implementation Date: The provider includes the timeline or date by which the hospital expects to implement the corrective actions.</p> <p>Monitoring: The provider describes what regular monitoring the hospital will do to prevent the deficiency from recurring.</p> <p>Responsible party: The provider lists the title of the person who will be responsible for implementing the corrective actions.</p>	

STATE OF OREGON
STATE FORM

0009

58YR11

Plan of Correction Review:

The agency issues a written determination stating whether the hospital's POC is sufficient no more than 30 business days after receiving it. If the agency does not approve the hospital's POC, the hospital must submit a revised POC no more than 30 business days after receiving the agency's determination.

If the agency approves the hospital's POC, the hospital must implement the approved POC no more than 45 business days after receiving the agency's determination.

Preparing for surveys and complaint investigations

There are many ways to prepare for surveys and complaint investigations. Some successful short-term solutions include:

- Gather documents that are not-unit specific (i.e. hospital nurse staffing committee minutes, charter, roster, hospital-wide nurse staffing plan, etc.);
- Confirm hospital nurse staffing committee cochair availability for interviews;
- Designate a space that surveyors can use as a work area while they are on-site; and
- Schedule post-survey and post-report debrief meetings with the hospital nurse staffing committee.

Some successful long-term solutions include:

- Orient hospital nurse staffing committee members using archived webinars at www.healthoregon.org/nursestaffing;
- Run a mock survey using the survey tools available at www.healthoregon.org/nursestaffing;
- Contact the [nurse staffing team](#) with any nurse staffing questions; and,
- Document compliance with nurse staffing requirements.

Nurse Staffing - Posting Survey Tool

Facility _____

Page _____ of _____

total pages

Reviewed with _____

Date _____

Start time _____

Stop time _____

Surveyor # _____

Posting	Specifications	Locations observed	Online ?	Date observed	Standard met?
Complaint Notice	<ul style="list-style-type: none"> Summarizes provisions of ORS 441.152-441.177 Visible to the public Includes OHA's complaint phone #, email address, and website address 		X		<input type="radio"/> Yes <input type="radio"/> No
Anti-Retaliation Notice	<ul style="list-style-type: none"> Summarizes provisions of ORS 441.181, 441.183, 441.184, and 441.192 Clearly visible Posted where notices to employees and applicants for employment are customarily displayed 				<input type="radio"/> Yes <input type="radio"/> No
Replacement Staffing	<ul style="list-style-type: none"> Post or publish a list of on-call nursing staff or staffing agencies to provide replacement staff Sufficient to provide replacement nursing staff 				<input type="radio"/> Yes <input type="radio"/> No
OT Policy	<ul style="list-style-type: none"> Mandatory OT policy readily available & provided to new NS members 				<input type="radio"/> Yes <input type="radio"/> No

Is the standard met?

☐ Yes ☐ No

Replacement Staffing List Review

Facility	Date	Page of
		# total pages
Reviewed with	Start time	Stop time
Unit	Surveyor #	

Central list	<input type="radio"/> Yes <input type="radio"/> No	Date of List
Unit list	<input type="radio"/> Yes <input type="radio"/> No	Date of List
If there is a Central list and a Unit list, are the names on the list the same? <input type="radio"/> Yes <input type="radio"/> No		
If there is more than one list, how are the various lists utilized?		
Written update policy	<input type="radio"/> Yes <input type="radio"/> No	Comments:
Evidence of updates in accordance with policy	<input type="radio"/> Yes <input type="radio"/> No	Comments:
Evidence of updates	<input type="radio"/> Yes <input type="radio"/> No	Date of Last Update:
Comments:		
List includes:		
off-duty employees	<input type="radio"/> Yes <input type="radio"/> No	
non-employees	<input type="radio"/> Yes <input type="radio"/> No	
staffing agencies	<input type="radio"/> Yes <input type="radio"/> No	
other:		
Evidence of efforts to add names to list	<input type="radio"/> Yes <input type="radio"/> No	Comments:

Is the standard met? ☐ Yes ☐ No

Hospital Nurse Staffing Committee Review

Facility _____

Page _____ **of** _____
total pages

Reviewed with _____ **Date** _____

Start time _____

Stop time _____ **Surveyor #** _____

Charter Provided	<input type="radio"/> Yes <input type="radio"/> No	Charter Approval Date:
------------------	--	------------------------

Charter States:

How meetings are scheduled	<input type="radio"/> Yes <input type="radio"/> No
----------------------------	--

How agendas are determined	<input type="radio"/> Yes <input type="radio"/> No
----------------------------	--

How members are notified of meetings	<input type="radio"/> Yes <input type="radio"/> No
--------------------------------------	--

How decisions are made	<input type="radio"/> Yes <input type="radio"/> No
------------------------	--

Who participates in decision-making	<input type="radio"/> Yes <input type="radio"/> No
-------------------------------------	--

How input from specialties/units is submitted	<input type="radio"/> Yes <input type="radio"/> No
---	--

How HNSC monitors, evaluates and modifies plan (i.e. annual review)	<input type="radio"/> Yes <input type="radio"/> No
---	--

Charter or cochaIRS should confirm: HNSC members are released from assignments to attend HNSC meetings	<input type="radio"/> Yes <input type="radio"/> No
---	--

Charter or cochaIRS should confirm: HNSC members are paid for HNSC meeting time	<input type="radio"/> Yes <input type="radio"/> No
--	--

Charter or cochaIRS should define: How units are counted and defined for purposes of being represented on the HNSC.	Description:
---	--------------

Charter or cochaIRS should define: How units are counted and defined for purposes of having a plan	Description:
---	--------------

Attach a list of locations where nursing services are regularly performed. Are all locations represented on the HNSC?	<input type="radio"/> Yes <input type="radio"/> No
---	--

<i>Highlight on the list any location where nursing services are regularly performed that is not represented on the HNSC.</i>

Is the standard met? ☐ Yes ☐ No

Hospital Nurse Staffing Committee Meeting Review

Facility	Date	Surveyor #
Reviewed with	Start time	Stop time

Meeting dates:								
Minutes	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Quorum present?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Attendance listed	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Motions made listed	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Vote outcomes noted	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Voting record shows equal numbers of Direct Care and Managers voting	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Discussion summarized	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Observers listed	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Observer exclusions noted	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Minutes approval date								

Meetings were at least quarterly? ☐ Yes ☐ No

Notes:

Is the standard met? ☐ Yes ☐ No

Hospital Nurse Staffing Committee

Cochair Interview Form

Confidentiality: This interview collects personally identifiable information, but no personally identifiable information will be included in the nurse staffing survey report. Your responses are combined with those of others and with data gathered during the survey. Interviews and data are summarized in the nurse staffing survey report, and the identity of any individual who provides evidence during a survey will be kept confidential to the extent permitted by law.

Hospital Name _____

Date _____ **Start time** _____ **Stop time** _____

Surveyor Number _____

Interviewee name/Title _____

Primary work unit _____ **Primary shift** _____

Length of employment _____

- When was the last committee meeting? _____
- How soon after the committee meeting are minutes approved? _____

- How soon after minutes are approved are they made available? _____

- Please describe the selection process for direct care RNs on the committee.

- Please describe the selection process for the direct care non-RN on the committee.

- Are committee members released from their duties so that they can attend committee meetings? _____

- In which units are nursing care services provided?

- Which units have a nurse staffing plan? _____

- Which units are represented by direct care staff on the committee?

- Has the hospital implemented the hospital-wide nurse staffing plan created by this committee? ____ Yes ____ No ____ Not Fully

- If any parts of the plan have not been implemented, please explain why

-
-
- What feedback have you received from hospital administration regarding the nurse staffing plan?

-
-
- What feedback have you received from direct care staff members regarding the nurse staffing plan?

-
-
- What, if any, complaints have the nurse staffing committee received?

-
-
- How has the committee addressed those complaints?

-
-
- Do you have any other information you would like to share about nurse staffing at this hospital?
-
-

Written Staffing Plan Review

Facility

Date

Page of
total pages

Reviewed with

Start time

Stop time

Surveyor #

Current plan shows consideration of:

Unit/Specialty Description	Approval date	Specialized qualifications and competencies	Provides for skill mix and level of competency to meet health care needs of patients	Activity measure including rate of admissions, discharges & transfers & time required for direct care RN to complete these tasks	Based on total diagnosis for unit and NS required to manage those diagnoses	recognized standards for patient acuity and nursing care	Consistent w/ national standards (date of	Recognizes differences in required on specified shifts - 1:1 or greater	Establishes minimum numbers of RNs, LPNs, & CNAs	Includes process for limiting admissions/ diversions to another hospital	Considers non-direct care tasks including meals & rests	Not solely based on external benchmarking data--how was data used?
		<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
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Indicate physical locations where the plans are used:

Notes:

Is the standard met? ☐ Yes ☐ No

HNSC's Annual Staffing Plan Review

Facility

Date

Page# oftotal pages

Reviewed withAnnual Review shows

Start time

Stop time

Surveyor #

Unit/Specialty Description	Review Date	Patient outcomes	Non-OT NS complaints served/24-hour period	HPPD to # of patients	Aggregate mandatory OT hours worked	Aggregate voluntary OT hours worked	% of shifts for which staffing differed from NS plan	Was anything else considered?	engaging in mandatory OT in nonemergencies	Report of unit mandatory OT in nonemergency	Complaints re: annual report / evaluation	recommended?	Changes implemented?	Changes implemented?
		<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No					<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
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Notes:

Is the standard met?☐ Yes ☐ No

Hospital Unit Nurse Staffing Data Review

Page of

total pages

Facility

Unit

Date:

Surveyor #

Reviewed with

Start time

Stop time

Date	Block	# RN staff or hrs per staffing plan	# RN staff or hrs actually worked	# LPN staff or hrs per staffing plan	# LPN staff or hrs actually worked	# CNA staff or hrs per staffing plan	# CNA staff or hrs actually worked	Staffing meets plan requirements? (see Written Staffing Plan Review form)	Evidence of attempts to meet plan (see Replacement Staffing Usage Review form)
								<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
								<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
								<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No

Comments:

☐ Yes ☐ No ☐ Yes ☐ No

Is the standard met?

☐ Yes ☐ No

Replacement Staffing Usage Review

Facility _____ **Date** _____ **Surveyor #** _____ **Page** _____ **of** _____
total pages

Unit	Reviewed with	Start time	Stop time
Date of Vacancy:	Vacancy Discovery Date:	# of Vacancies:	
Shift: (Block _____)	Vacancy Discovery Time:	# of Vacancies filled:	
Documentation of on duty staff contacted	<input type="radio"/> Yes <input type="radio"/> No	Results of contact:	Vacancy filled <input type="radio"/> Yes <input type="radio"/> No
Documentation of off-duty staff contacted	<input type="radio"/> Yes <input type="radio"/> No	Results of contact:	Vacancy filled <input type="radio"/> Yes <input type="radio"/> No
Documentation of paid on-call staff contacted	<input type="radio"/> Yes <input type="radio"/> No	Results of contact:	Vacancy filled <input type="radio"/> Yes <input type="radio"/> No
Documentation of contacts on replacement staff list (OAR 333-510-0125)	<input type="radio"/> Yes <input type="radio"/> No	Results of contact:	Vacancy filled <input type="radio"/> Yes <input type="radio"/> No
Voluntary Overtime used? <input type="radio"/> Yes <input type="radio"/> No	Mandatory Overtime used? <input type="radio"/> Yes <input type="radio"/> No	Administrator asserts emergency exception to Mandatory Overtime rules? <input type="radio"/> Yes <input type="radio"/> No	
Replacement's name is on Replacement list? <input type="radio"/> Yes <input type="radio"/> No			

Other details:

Is the standard met?

☐ Yes ☐ No

Hospital Nurse Staffing Maximum Hour Review

Facility Date Surveyor #

Unit	Block reviewed:				Reviewed with		Stop time		Start time		
Nurse Staff Member (NSM) name (from Personnel Survey Tool)	Did the NSM work longer than any agreed-upon and prearranged shift?	If yes, did the hospital document whether additional hours were voluntary or mandatory?	Did the NSM work more than 48 hours in the work week?	If yes, were the additional weekly hours part of agreed-upon and prearranged shifts?	Did the NSM work more than 12 hours in a 24-hour period?	If yes, were the additional daily hours part of agreed-upon and prearranged shifts?	Did the NSM have a 10-hour non-work period following the 12th hour worked in 24 hours?	If <u>NO</u> , did the hospital document the NSMs decision whether to claim the 10-hours?	Did the NSM have a 10-hour non-work period following shifts in which the NSM worked more than 12 hours in 24 hours?	If <u>NO</u> , did the hospital document the NSMs decision whether to claim the 10-hours?	NSM's hours violated OT section (circle one)
	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> MM	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	A B C D E 0
	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> MM	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	A B C D E 0
	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> MM	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	A B C D E 0
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Is the standard met? ☐ Yes ☐ No

Nurse Staffing Personnel Survey Tool

Facility

Date

Reviewed with

Start time

Stop time

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of

#

total pages

Surveyor #

Unit		Block (same as blocks selected in Hospital Nurse Staffing Data Review)									
Employee Name	Status (FT/PT/ Float/ Traveler)	Regular or Replacement staff	RN, LPN or CNA	Current licensure?	Hire date or contract start date	Date Nursing Dept Orientation Completed	Date Unit Orientation Completed	Evidence of Qualifications per NSP requirements	Evidence of Competencies per NSP requirements	Records reviewed (list A-J)	Discrepancies (note any attachments)
				<input type="radio"/> Yes <input type="radio"/> No				<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No		
				<input type="radio"/> Yes <input type="radio"/> No				<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No		
				<input type="radio"/> Yes <input type="radio"/> No				<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No		
				<input type="radio"/> Yes <input type="radio"/> No				<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No		
				<input type="radio"/> Yes <input type="radio"/> No				<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No		
				<input type="radio"/> Yes <input type="radio"/> No				<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No		

Is the standard met? ☐ Yes ☐ No

Nurse Staffing - Personnel Survey Tool Document List

Document Location	
A	Personnel files
B	Electronic personnel files
C	Electronic training records
D	Policy & Procedures manual
E	Nurse Staffing Plan
F	Department/Unit manager files
G	Contract files
H	Office of DON/CNO/Nurse Executive
I	Staff development office
J	Other:

Nurse Staffing Workbook

Oregon Health Authority
Nurse Staffing Team

Facility

Date

Surveyor #

Topic	Page
333-510-0045 Nurse Staffing Posting Requirements	1
333-510-0105 Hospital Nurse Staffing Committee Requirements	3
333-510-0110 Nurse Staffing Plan Requirements	6
333-510-0115 Nurse Staffing Plan Review Requirements	7
333-510-0125 Replacement Nurse Staffing Requirements	8
333-510-0130 Nurse Staffing Member Overtime	8
333-510-0140 Nurse Staffing Plan During an Emergency	10

Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
	333-510-0045 Nurse Staffing Posting and Record Requirements			
600	(1) On each hospital unit, a hospital shall post a complaint notice that: (a) Summarizes the provisions of ORS 441.152 to 441.177; (b) Is clearly visible to the public; and (c) Includes the Authority's complaint reporting phone number, electronic mail address and website address.	Nurse Staffing - Posting Survey Tool	<input type="radio"/> Yes <input type="radio"/> No	
602	(2) A hospital shall also post an anti-retaliation notice on the premises that: (a) Summarizes the provisions of ORS 441.181, 441.183, 441.184 and 441.192; (b) Is clearly visible; and (c) Is posted where notices to employees and applicants for employment are customarily displayed.	Nurse Staffing - Posting Survey Tool	<input type="radio"/> Yes <input type="radio"/> No	
	(3) A hospital shall keep and maintain all records necessary to demonstrate compliance with ORS 441.152 to 441.177. These records shall: (a) Be maintained for no fewer than three years; (b) Be promptly provided to the Authority upon request; and (c) Include, at minimum: (A) The staffing plan; (B) The hospital nurse staffing committee charter;		<input type="radio"/> Yes <input type="radio"/> No	

Nurse Staffing Workbook

Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
604	<p>(C) Staffing committee meeting minutes;</p> <p>(D) Documentation showing how all members of the staffing committee were selected;</p> <p>(E) All complaints filed with the staffing committee;</p> <p>(F) Personnel files for all nursing staff positions that include, at minimum, job descriptions, required licensure and specialized qualifications and competencies required for the individual's assigned nurse specialty or unit;</p> <p>(G) Documentation showing work schedules for nursing staff in each hospital nurse specialty or unit;</p> <p>(H) Documentation showing actual hours worked by all nursing staff;</p> <p>(I) Documentation showing all work schedule variances that resulted in the use of replacement nursing staff;</p> <p>(J) Documentation showing how many on-call hours, if any, required nursing staff to be on the hospital premises;</p> <p>(K) Documentation showing how many required meeting, education and training hours, if any, were required of nursing staff;</p> <p>(L) The hospital's mandatory overtime policy and procedure;</p> <p>(M) Documentation showing how many, if any, overtime hours were worked by nursing staff;</p> <p>(N) Documentation of all waiver requests, if any, submitted to the Authority;</p> <p>(O) Documentation showing how many, if any, additional hours were worked due to emergency circumstances and the nature of those circumstances;</p> <p>(P) The list of on-call nursing staff used to obtain replacement nursing staff;</p> <p>(Q) Documentation showing how and when the hospital updates its list of on-call staff used to obtain replacement nursing staff and how the hospital determines eligibility to remain on the list;</p> <p>(R) Documentation showing the hospital's procedures for obtaining replacement nursing staff, including efforts made to obtain replacement staff;</p> <p>(S) Documentation showing the hospital's actual efforts to seek replacement staff when needed;</p> <p>(T) Documentation showing each actual instance in which the hospital implemented the policy described in OAR 333-510-0110(2)(g) to initiate limitations on admission or diversion of patients to another hospital; and</p>	<p>This deficiency is observed when survey tools are incomplete due to the hospital's failure to maintain or provide requested records.</p>		

Nurse Staffing Workbook

Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
	(U) All staffing committee reports filed with the hospital administration following a review of the staffing plan.			
	333-510-0105 Nurse Staffing Committee Requirement			
606	(1) Each hospital shall establish and maintain a hospital nurse staffing committee. The staffing committee shall develop a written hospital-wide staffing plan for nursing services in accordance with ORS 441.155 and OAR chapter 333, division 510 rules. In developing the staffing plan, the staffing committee's primary goal shall be to ensure that the hospital is adequately staffed to meet the health care needs of its patients.	Hospital Nurse Staffing Committee Composition Review	<input type="radio"/> Yes <input type="radio"/> No	
608	(2) The staffing committee shall meet: (a) At least once every three months; and (b) At any time and place specified by either co-chair of the staffing committee.	Hospital Nurse Staffing Committee Meeting Review	<input type="radio"/> Yes <input type="radio"/> No	
610	(3) The hospital shall release a member of the staffing committee from his or her assignment to attend committee meetings and provide paid time for this purpose.	Hospital Nurse Staffing Committee Charter Review <i>and Cochair interviews</i>	<input type="radio"/> Yes <input type="radio"/> No	
612	(4) The staffing committee shall be comprised of an equal number of hospital nurse managers and direct care staff. Direct care staff members shall be selected as follows: (a) The staffing committee shall include at least one direct care registered nurse from each hospital nurse specialty or unit as the specialty or unit is defined by the hospital to represent that specialty or unit;	Hospital Nurse Staffing Committee Composition Review consider using Unit Classification Survey tool	<input type="radio"/> Yes <input type="radio"/> No	
614	(b) In addition to the direct care registered nurses described in subsection (a) of this section there must be one position on the staffing committee that is filled by a direct care staff member who is not a registered nurse and whose services are covered by the staffing plan;	Hospital Nurse Staffing Committee Charter Review <i>and Cochair interviews</i>	<input type="radio"/> Yes <input type="radio"/> No	

Nurse Staffing Workbook

Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
616	<p>(c) If the direct care registered nurses working at the hospital are represented under a collective bargaining agreement, the bargaining unit shall coordinate voting to allow the direct care registered nurses who work at the hospital to select each direct care registered nurse on the staffing committee;</p> <p>(d) If the direct care registered nurses working at the hospital are not represented under a collective bargaining agreement, the direct care registered nurses belonging to each hospital nurse specialty or unit shall select the direct care registered nurse to represent it on the staffing committee; and</p> <p>(e) If the position that must be filled by a direct care staff member who is not a registered nurse and whose services are covered by the staffing plan is represented under a collective bargaining agreement, the bargaining unit shall coordinate voting to allow the direct care staff members who are not registered nurses to select the direct care staff member who is not a registered nurse to represent them on the staffing committee.</p> <p>(f) If the position that must be filled by a direct care staff member who is not a registered nurse and whose services are covered by the staffing plan is not represented under a collective bargaining agreement, the direct care staff members who are not registered nurses shall select the direct care staff member to represent them on the staffing committee.</p>	Cochair interviews	<input type="radio"/> Yes <input type="radio"/> No	
618	(5) The staffing committee shall have two co-chairs. One co-chair must be a hospital nurse manager elected by a majority of the staffing committee members who are hospital nurse managers. The other co-chair must be a direct care registered nurse elected by a majority of the staffing committee members who are direct care staff.		<input type="radio"/> Yes <input type="radio"/> No	
620	<p>(6) The staffing committee must develop a written charter that documents the policies and procedures of the staffing committee. At minimum, the charter must include:</p> <p>(a) How meetings are scheduled;</p> <p>(b) How members are notified of meetings;</p> <p>(c) How agendas are determined;</p> <p>(d) How input from hospital nurse specialty or unit staff is submitted;</p> <p>(e) Who may participate in decision-making;</p> <p>(f) How decisions are made; and</p>	Hospital Nurse Staffing Committee Charter Review	<input type="radio"/> Yes <input type="radio"/> No	

Nurse Staffing Workbook

Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
	(g) How the staffing committee shall monitor, evaluate and modify the staffing plan over time.			
622	<p>(7) Staffing committee meetings must be conducted as follows:</p> <p>(a) A meeting may not be conducted unless a quorum of staffing committee members is present;</p> <p>(b) Except as set forth in subsection (c) of this section, a meeting must be open to all hospital nursing staff as observers and to any other individual as either observer or presenter by invitation of either co-chair of the staffing committee;</p> <p>(c) Either co-chair of the staffing committee may temporarily exclude all non-members from a meeting during staffing committee deliberations and voting; and</p> <p>(d) Each staffing committee decision must be made by majority vote; however, if a quorum consists of an unequal number of hospital nurse managers and direct care staff, only an equal number of hospital nurse managers and direct care staff may vote.</p>	Hospital Nurse Staffing Committee Meeting Review	<input type="radio"/> Yes <input type="radio"/> No	
624	<p>(8) The staffing committee must document meeting proceedings by keeping written meeting minutes that include, but are not limited to, the following information:</p> <p>(a) The name and position of each staffing committee member in attendance;</p> <p>(b) The name and position of each observer or presenter in attendance;</p> <p>(c) Motions made;</p> <p>(d) Outcomes of votes taken;</p> <p>(e) A summary of staffing committee discussions; and</p> <p>(f) Instances in which non-members have been excluded from staffing committee meetings.</p>	Hospital Nurse Staffing Committee Meeting Review	<input type="radio"/> Yes <input type="radio"/> No	
626	<p>(9) The staffing committee shall approve meeting minutes prior to or during the next staffing committee meeting.</p> <p>(10) The staffing committee shall provide meeting minutes to hospital nursing staff and other hospital staff upon request no more than 30 calendar days after the meeting minutes are approved by the staffing committee.</p>	<i>Cochair interviews</i>	<input type="radio"/> Yes <input type="radio"/> No	

Nurse Staffing Workbook

Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
	333-510-0110 Nurse Staffing Plan Requirements			
628	(1) Each hospital shall implement a written hospital-wide staffing plan for nursing services that is developed and approved by the hospital nurse staffing committee established in accordance with ORS 441.154 and OAR chapter 333 division 510 rules.	Hospital Nurse Staffing Data Review	<input type="radio"/> Yes <input type="radio"/> No	
630	(2) The staffing plan: (a) Must be based on the specialized qualifications and competencies of the nursing staff and provide for the skill mix and level of competency necessary to ensure that the hospital is staffed to meet the health care needs of patients;	Personnel Survey Tool	<input type="radio"/> Yes <input type="radio"/> No	
632	(b) <i>The staffing plan</i> Must be based on a measurement of hospital unit activity that quantifies the rate of admissions, discharges and transfers for each hospital unit and the time required for a direct care registered nurse belonging to a hospital unit to complete admissions, discharges and transfers for that hospital unit;	Written Staffing Plan Review	<input type="radio"/> Yes <input type="radio"/> No	
634	(c) <i>The staffing plan</i> Must be based on total diagnoses for each hospital unit and the nursing staff required to manage that set of diagnoses;		<input type="radio"/> Yes <input type="radio"/> No	
636	(d) <i>The staffing plan</i> Must be consistent with nationally recognized evidence-based standards and guidelines established by professional nursing specialty organizations such as, but not limited to: The American Association of Critical Care Nurses, American Operating Room Nurses (AORN), or American Society of Peri-Anesthesia Nurses (ASPAN);		<input type="radio"/> Yes <input type="radio"/> No	
638	(e) <i>The staffing plan</i> Must recognize differences in patient acuity and nursing care intensity;		<input type="radio"/> Yes <input type="radio"/> No	
640	(f) <i>The staffing plan</i> Must establish minimum numbers of nursing staff, including licensed practical nurses and certified nursing assistants, required on specified shifts,		<input type="radio"/> Yes <input type="radio"/> No	
642	(f) <i>The staffing plan must establish minimum numbers of nursing staff</i> provided that no fewer than one registered nurse and one other nursing staff member is on duty in a unit when a patient is present;		<input type="radio"/> Yes <input type="radio"/> No	
644	(g) <i>The staffing plan must</i> Must include a formal process for evaluating and initiating limitations on admission or diversion of patients to another hospital when, in the judgment of a direct care registered nurse or a nurse manager, there is an inability to meet patient care needs or a risk of harm to patients;		<input type="radio"/> Yes <input type="radio"/> No	

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Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
646	(h) <i>The staffing plan</i> Must consider tasks not related to providing direct care, including meal breaks and rest breaks;		<input type="radio"/> Yes <input type="radio"/> No	
648	(i) <i>The staffing plan</i> May not base nursing staff requirements solely on external benchmarking data;		<input type="radio"/> Yes <input type="radio"/> No	
650	(j) <i>The staffing plan</i> May not be used by a hospital to impose upon unionized nursing staff any changes in wages, hours or other terms and conditions of employment unless the hospital first provides notice to and, upon request, bargains with the union; and (k) <i>The staffing plan</i> May not create, preempt or modify a collective bargaining agreement or require parties to an agreement to bargain over the staffing plan while a collective bargaining agreement is in effect.	<i>This deficiency relates to employment law and should be discussed with counsel.</i>		
	333-510-0115 Nurse Staffing Plan Review Requirement			
652	(1) The staffing committee shall: (a) Review the staffing plan at least once per year; and (b) At any other time specified by either co-chair of the staffing committee.	HNSC Annual Staffing Plan Review & HNSC Minutes	<input type="radio"/> Yes <input type="radio"/> No	
654	(2) In reviewing the staffing plan, the staffing committee shall consider: (a) Patient outcomes; (b) Complaints regarding staffing, including complaints about a delay in direct care nursing or an absence of direct care nursing; (c) The number of hours of nursing care provided through a hospital unit compared with the number of patients served by the hospital unit during a 24-hour period; (d) The aggregate hours of mandatory overtime worked by nursing staff; (e) The aggregate hours of voluntary overtime worked by nursing staff; (f) The percentage of shifts for each hospital unit for which staffing differed from what is required by the staffing plan; (g) Any other matter determined by the committee to be necessary to ensure that the hospital is staffed to meet the health care needs of patients; and (h) Any report filed by a nursing staff member stating the nursing staff member's belief that the hospital unit engaged in a pattern of requiring direct care nursing staff to work overtime for nonemergency care.	HNSC Annual Staffing Plan Review	<input type="radio"/> Yes <input type="radio"/> No	

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Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
656	(3) Following its review of the staffing plan, the staffing committee shall issue a written report to the hospital that indicates whether the staffing plan ensures that the hospital is adequately staffed and meets the health care needs of patients. If the report indicates that it does not, the staffing committee shall modify the staffing plan as necessary to accomplish this goal.	HNSC Annual Staffing Plan Review	<input type="radio"/> Yes <input type="radio"/> No	
	333-510-0125 Replacement Nurse Staffing Requirements			
658	(1) A hospital must maintain and post or publish a list of on-call nursing staff that may be contacted to provide qualified replacement or additional nursing staff in the event of a vacancy or unexpected shortage. This list must: (a) Provide for sufficient replacement nursing staff on a regular basis; and (b) Be available to the individual who is responsible for obtaining replacement staff during each shift. (2) When developing and maintaining the on-call list, the hospital must explore all reasonable options for identifying local replacement staff and these efforts must be documented.	Nurse Staffing - Posting Survey Tool and Nurse Staffing - Replacement Staffing List Evaluation	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No	
660	(3) When a hospital learns about the need for replacement nursing staff, the hospital must make every reasonable effort to obtain adequate voluntary replacement nursing staff for unfilled hours or shifts before requiring a nursing staff member to work overtime and these efforts must be documented. Reasonable efforts include, but are not limited to: (a) The hospital seeking replacement nursing staff at the time the vacancy is known; and (b) The hospital contacting all available resources on its list of on-call nursing staff as described in this rule.	Nurse Staffing - Replacement Staff/Mandatory OT Review	<input type="radio"/> Yes <input type="radio"/> No	
	333-510-0130 Nurse Staffing Member Overtime			
	(1) For purposes of this rule "require" means hours worked as a condition of employment whether as a result of a previously scheduled shift or hours actually worked during time spent on call or on standby. (2) A hospital may not require a nursing staff member to work: (a) Beyond the agreed-upon and prearranged shift, regardless of the length of the shift; (b) More than 48 hours in any hospital-defined work week; (c) More than 12 hours in a 24-hour period;			

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Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
665	<p>(d) During the 10-hour period immediately following the 12th hour worked during a 24-hour period. This work period begins when the nursing staff member begins a shift; or</p> <p>(e) During the 10-hour period immediately following any agreed-upon and prearranged shift in which the nurse worked more than 12 hours in a 24-hour period.</p> <p>(3) Time spent by the nursing staff member in required meetings or receiving education or training will be included as hours worked for the purpose of section (2) of this rule;</p> <p>(4) Time spent on call or on standby when the nursing staff member is required to be at the hospital will be included as hours worked for the purpose of section (2) of this rule; and</p> <p>(5) Time spent on call or on standby when the nursing staff member is not required to be at the hospital will not be included as hours worked for the purpose of section (2) of this rule.</p> <p>(6) Nothing in this rule precludes a nursing staff member from volunteering to work overtime.</p> <p>(7) A hospital may require an additional hour of work beyond the hours authorized in section (2) of this rule if:</p> <p>(a) A staff vacancy for the next shift becomes known at the end of the current shift; or</p> <p>(b) There is a potential harm to an assigned patient if the nursing staff member leaves the assignment or transfers care to another nursing staff member.</p>	Nurse staffing - Replacement Staff/Mandatory OT Review	<input type="radio"/> Yes <input type="radio"/> No	
670	<p>(8) Each hospital must have a policy and procedure in place to ensure, at minimum, that:</p> <p>(a) Mandatory overtime, when required, is documented in writing; and</p> <p>(b) Mandatory overtime policies and procedures are clearly written, provided to all new nursing staff and readily available to all nursing staff.</p>	Nurse Staffing - Posting Survey Tool	<input type="radio"/> Yes <input type="radio"/> No	
675	<p>(9) If a nursing staff member believes that a hospital unit is engaging in a pattern of requiring direct care nursing staff to work overtime for nonemergency care, the nursing staff member may report that information to the staffing committee. The staffing committee shall consider the information when reviewing the staffing plan as described in OAR 333-510-0115.</p>	HNSC Annual Staffing Plan Review	<input type="radio"/> Yes <input type="radio"/> No	

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Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
680	(10) The provisions of sections (2) through (8) of this rule do not apply to nursing staff needs: (a) In the event of a national or state emergency or circumstances requiring the implementation of a facility disaster plan; or (b) In emergency circumstances that include: (A) Sudden and unforeseen adverse weather conditions; (B) An infectious disease epidemic suffered by hospital staff; (C) Any unforeseen event preventing replacement staff from approaching or entering the premises; or	If Facility indicates that an emergency necessitated suspension of overtime rules surveyor will request documentation establishing emergency circumstances.	<input type="radio"/> Yes <input type="radio"/> No	
685	(D) Unplanned direct care staff vacancies of 20% or more of the nursing staff for the next shift hospital-wide at the Oregon State Hospital if, based on the patient census, the Oregon State Hospital determines the number of direct care staff available hospital-wide cannot ensure patient safety. (11) Nothing in section (10) of this rule relieves the Oregon State Hospital from contacting voluntary replacement staff as described in OAR 333-510-0125 and documenting these contacts.	<i>Specific to OSH</i>		
	(12) A registered nurse at a hospital may not place a patient at risk of harm by leaving a patient care assignment during an agreed upon scheduled shift or an agreed-upon extended shift without authorization from the appropriate supervisory personnel as required by the Oregon State Board of Nursing OAR, Chapter 851+A162	<i>Governed by Board of Nursing</i>		
	(13) Unit the Authority defines "other nursing staff" as that term is described in ORS 441.166(1), this rule applies only to "nursing staff member" as that term is defined in these rules.	<i>Definition</i>		
	333-510-0140 Nurse Staffing Plan During an Emergency			
690	(1) A hospital is not required to follow the staffing plan developed and approved by the staffing committee in the event of: (a) A national or state emergency requiring the implementation of a facility disaster plan; (b) Sudden and unforeseen adverse weather conditions; or (c) An infectious disease epidemic suffered by hospital staff.	If Facility indicates that an emergency necessitated suspension of plan implementation surveyor will request	<input type="radio"/> Yes <input type="radio"/> No	

Tag	Standard	Relevant Survey Tool	Standards met?	Comments & additional documents related to deficiencies:
	(2) In the event of an emergency circumstance not described in section (1) of this rule, either co-chair of the staffing committee may specify a time and place to meet to review and potentially modify the staffing plan in response to the emergency circumstance.	surveyor will request documentation establishing emergency circumstances.		