Declaration and Agreement of Parent(s) Claiming Property of Minor

J.P. Morgan Securities LLC
Member FINRA/SIPC

For your protection, a representative may call you at the number on file for your account to confirm this transaction before it is processed.

DELIVERING ACCOUNT HOLDER NAME (PLEASE PRINT IN ALL CAPITAL LETTERS)

Delivering JPMS Account Number

Use this form to authorize parent/guardian acceptance of funds on behalf of a minor beneficiary.

Please be aware:

The following must be submitted with this form:

- Certified copy(ies) of Certificate of Birth, Custody Order, Adoption Order and/or Certificate of Death of deceased parent (as applicable).
- Additional decedent documentation in connection with payment of funds to minor as beneficiary.
- Non-Retirement Account Account Distribution Request/Beneficiary Payment form or Retirement Account Beneficiary Payment Distribution Request form.

This affidavit is incomplete unless all pages are present.

1. Relationship Information

Minor Name (first, middle, last)

Minor Social Security Number

Minor Date of Birth (mm/dd/yyyy) / /

Age of Minor

Parent/Legal Guardian Name (first, middle, last)

Legal/Residential Address (no P.O. Box or "Care Of" address)

City

State

Zip Code

Parent/Legal Guardian Name (first, middle, last)

Legal/Residential Address (no P.O. Box or "Care Of" address)

City

State

Zip Code

By signing this form, I/we declare:

- I am/We are the parent(s) and legal guardian(s) of a minor. This information is provided in Section 1 of this Declaration and Agreement.
- My/Our address and telephone number(s) are set forth on this Declaration and Agreement.
- The Minor's date of birth and age at the time of this Declaration and Agreement are stated in Section 1. Attached is a certified copy of Minor's birth certificate. If Minor is adopted, attached is a certified copy of Minor's adoption order.
- I am/We are the only person(s) entitled to custody of said Minor and, other than the names listed on this Declaration and Agreement, there is no court appointed conservator or guardian of the estate of Minor. If both natural parents are not signing this Declaration and Agreement, attached is a certified copy of a custody order granting sole custody to the undersigned or, if applicable, a certified certificate of death for any deceased parent.

[Section 1 continued on next page.]

INVESTMENT AND INSURANCE PRODUCTS ARE:
• NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
• NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES
• SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

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[Section 1 continued from previous page.]

1. Relationship Information

By signing this form, I/we declare *(continued)*:

- That the total estate of minor, including the money to be paid to the undersigned by JPMS, does not exceed the amount that the undersigned may claim in the state where the JPMS account is held.
- The funds to be paid, distributed or delivered to the undersigned by JPMS will be held in trust for the minor until minor reaches the age of majority. Accordingly, the funds will be made payable to the undersigned for the benefit of ("FBO") the minor's name.
- In consideration of the foregoing, I/we agree to jointly and severally (if more than one [1] signing) indemnify and hold JPMS and its affiliates harmless from any loss, damage or expense, including reasonable attorney's fees and expenses at trial and appellate levels, incurred arising from the instructions provided in this Declaration and Agreement.
- I/We agree to the terms and conditions set forth herein. I/We declare that the representations contained herein are true and correct. I/We acknowledge that JPMS has not provided me/us with legal or tax advice concerning these instructions and that I/we have had the opportunity to seek such advice independently.

2. Parent Signature(s) & Notary - Notary section must be completed by a Notary Public

Parent/Legal Guardian Name (please print)

Telephone Number

Parent/Legal Guardian Signature

X

Date (mm/dd/yyyy)

/ /

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF _____)
) SS:
COUNTY OF _____)

Place Stamp Here

Subscribed, sworn to and acknowledged before me by

_____ ,
Name of Document Signer

this _____ day of_____ , _____ .
Month Year

X_____
Signature of Notary Public

My commission expires: _____

[Section 2 continued on next page.]

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STATE OF _____)
) SS:
COUNTY OF _____)

Place Stamp Here

Subscribed, sworn to and acknowledged before me by

_____ ,
Name of Document Signer

this _____ day of_____ , _____ .
Month Year

X_____
Signature of Notary Public

My commission expires: _____

Please keep a copy of this form for your records.

After reviewing and signing this form, please select how you'd like to submit it:

- **Fax** - send the form and any attachments to (866) 786-4784
- **Email** - please visit www.Chase.com/brokerageforms for instructions on how to submit this completed document and any attachments
- **Regular or Overnight Mail** - send the form and any attachments to:

  J.P. Morgan Securities LLC
  Attn: Estate Team
  IL1-0291 4th Floor
  131 South Dearborn Street
  Chicago, IL 60603-5506

Questions? Please call us at (800) 392-5749.