



Notice is given under section 1 of the Statistics of Trade Act 1947

## Annual Survey of Insurance Companies: General Business Balance Sheet



Office for  
National Statistics

**Please do not discard this important document - your response is legally required**

00001 65120

CONTACT NAME

OFFICE FOR NATIONAL STATISTICS

GOVERNMENT BUILDINGS

CARDIFF ROAD

NEWPORT

NP10 8XG

\*\*\*\*\* EXAMPLE PRINT \*\*\*\*\*

Please write any changes to your name and address in the box below, using black ink

**To be completed for:** ALL INSURANCE ACTIVITY

**Please complete and return by 6 June 2016**

Dear Sir or Madam,

Please find the 2015 questionnaire for the Annual Survey of Insurance Companies: General Business Balance Sheet attached. Please complete for the calendar year 2015. If actual figures are not available, please provide informed estimates. Once complete, the questionnaire can be returned by post or fax using the details in the box below.

The information supplied forms part of the UK's National Accounts and Balance of Payments, which are widely used within and outside government. Results from the survey are published in an ONS Statistical Bulletin; Investment by Insurance Companies, Pension Funds and Trusts (MQ5). This publication is freely available on the National Statistics website.

**You are required by law to complete this questionnaire.** If you do not complete and return this questionnaire by 6 June 2016, penalties may be incurred (under section 4 of the Statistics of Trade Act 1947). All the information you provide is kept strictly confidential. It is illegal for us to reveal your data or identify your business to unauthorised persons.

Thank you for your co-operation,  
Office for National Statistics

### Questionnaire return details

**To return via fax:**

01633 652707

**To return via post:** Please use the prepaid envelope provided which is addressed to:  
Office for National Statistics, Government Buildings, Cardiff Road, Newport, NP10 8XG

### Contact numbers

Er mwyn gwneud cais am ffurflen Gymraeg (To request a questionnaire in Welsh)

0300 1234 921

If you would like to use our Minicom service for the Deaf

01633 815 044

To complete the questionnaire in Euros

01633 456188

For any other queries, please contact **Jaqui MacKinlay**  
or go to **[www.ons.gov.uk/surveys](http://www.ons.gov.uk/surveys)**

**01633 456188**

### When contacting the office you may be asked for the following information

**Survey code:** 106

**Reference number:** 4990 0000 000F

**Period:** 201512

- Telephone calls may be recorded for training and quality purposes

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**106 SO**



# Annual Survey of Insurance Companies: General Business Balance Sheet

## Purpose of this Survey

The purpose of this survey is to establish the value of assets and liabilities of insurance companies who undertake general insurance business.

Data from this survey will help to produce a record of the UK Balance of Payments and the measurement of Gross Domestic Product (GDP).

## Information Required

This questionnaire is divided into six sections:

**Section A** asks for information regarding the reporting period and coverage.

**Section B** asks for information regarding your short-term assets.

**Section C** asks for information regarding your investments.

**Section D** asks for information regarding your liabilities.

**Section E** asks for a breakdown of any overseas assets that you hold.

**Section F** provides you with a comments box and asks for your contact details.

## Basis for Completion

- This questionnaire should be completed on an accruals accounting basis and would normally utilise data from the accounting system that is used for the production of your statutory accounts. Well informed estimates are acceptable. However, it may be convenient for you to obtain data from reporting systems based on, for example, regulatory (PRA) principles.

## How to Complete the Questionnaire

This questionnaire will be scanned, therefore please:

- Complete in **black ink**
- Ensure letters and numbers are PRINTED and centred within each box
- Do not use commas ,
- Do not cross sevens 7 or zeros 0
- Indicate negative figures by a minus sign
- Please leave question blank if not applicable

For example, £(17,000,000) = £

|  |  |  |  |   |   |   |   |   |
|--|--|--|--|---|---|---|---|---|
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## Coverage

- Include in this questionnaire all general insurance business within your United Kingdom company or group of companies.
- The United Kingdom consists of England, Scotland, Wales and Northern Ireland but excludes the Channel Islands and the Isle of Man.
- Exclude overseas branches, subsidiaries and agencies.



## Section A - Reporting Period and Coverage

Day      Month      Year

|                      |                      |                      |                      |                      |                      |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|

1. Please report the date of your balance sheet:

2. Which companies and branches within your United Kingdom (UK) group undertake general insurance business?

- Report for all of the companies and branches that you list in the table below throughout this questionnaire.
- If the UK company or branch is an authorised insurer, please write an ☒ in the authorised insurer box.
- Continue on a separate sheet if necessary.

| Name of UK Company or Branch | Registration Number | Authorised Insurer                  |
|------------------------------|---------------------|-------------------------------------|
|                              |                     | <input checked="" type="checkbox"/> |
|                              |                     | <input checked="" type="checkbox"/> |
|                              |                     | <input checked="" type="checkbox"/> |
|                              |                     | <input checked="" type="checkbox"/> |
|                              |                     | <input checked="" type="checkbox"/> |

## Section B - Short-term Assets

Short-term assets are defined as those maturing within one year of their originating date, including loans repayable at lender's option within one year of the date of issue.

Include:

- Money at call and short notice and other short-term loans, including longer-term mortgages (other than local authority mortgages) that are repayable by invoking a break clause within the first year.
- Any accrued interest normally included in the market values of short-term assets.

Exclude balances with stockbrokers and balances due on securities bought and sold for future settlement.

3. What were your holdings of the following liquid assets:

Millions of £

(a) Cash?

Include notes and coins of all denominations held on the premises . .

£

|                      |                      |                      |                      |                      |                      |                      |                      |                      |                      |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|

1000

(b) Balances with banks in the UK:

A UK bank is a bank that trades in the UK. This includes subsidiaries and branches of foreign owned banks.

Balances held with banks include current and deposit accounts including any term deposit, even if for 12 months or more.

Include all foreign currency balances held in the UK.

Do not deduct overdrafts and other borrowing.

Sterling deposits? . . . . .

£

|                      |                      |                      |                      |                      |                      |                      |                      |                      |                      |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|

1001

Foreign currency deposits? . . . . .

£

|                      |                      |                      |                      |                      |                      |                      |                      |                      |                      |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|

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*Continued overleaf*



**Continued from previous page**

- (c) Balances with overseas banks?  
Overseas banks are those located outside the UK.  
Do not deduct overdrafts and other borrowing . . . . .
- Millions of £
- £           .  1005
- (d) Building society deposits:  
Do not deduct borrowing.
- Millions of £
- Sterling deposits? . . . . . £           .  1003
- Foreign currency deposits? . . . . . £           .  1004
- (e) Any other liquid deposits?  
For example, money market funds, liquidity funds, cash liquidity funds.  
Money market funds are mutual funds that invest in short term debt  
and debt related investments. Liquidity funds are open ended  
investment companies which aim to preserve capital by investing in a  
diversified portfolio of short term debt and debt related investments.  
These combine the benefits of same day liquidity with the potential  
for competitive returns . . . . .
- £           .  1006
- Of (e), what was the value of the overseas component? . . . . . £           .  1330

**4. What were your holdings of the following types of money market instruments:**

- Millions of £
- (a) Sterling certificates of deposit issued by banks and building societies  
in the UK? . . . . .
- £           .  1300
- (b) Foreign currency certificates of deposit issued by banks and  
building societies in the UK? . . . . .
- £           .  1301
- (c) Money market instruments issued by HM Treasury:  
Include UK treasury bills.  
Exclude longer-term UK Government Securities.
- Sterling? . . . . .
- £           .  1010
- Other currencies? . . . . .
- £           .  1011
- (d) UK local authority (LA) debt and temporary money with LA's?  
Include local authority bills and unsecured money lent to local  
authorities, with a maturity of less than 12 months.  
Exclude public corporations such as ex-local authority  
bus companies . . . . .
- £           .  1012



**5. What were your holdings of the following types of commercial paper or bills issued by UK companies:**

Millions of £

- |     |   |   |                      |   |                      |      |
|-----|---|---|----------------------|---|----------------------|------|
| (a) | Sterling commercial paper issued by banks and building societies? . . .             | £ | <input type="text"/> | · | <input type="text"/> | 1302 |
| (b) | Foreign currency commercial paper issued by banks and building societies? . . . . . | £ | <input type="text"/> | · | <input type="text"/> | 1303 |
| (c) | Sterling commercial paper issued by other financial institutions? . . . .           | £ | <input type="text"/> | · | <input type="text"/> | 1017 |
| (d) | Other issues of UK commercial paper? . . . . .                                      | £ | <input type="text"/> | · | <input type="text"/> | 1018 |

**6. What were your holdings of:**

Millions of £

- |     |  |   |                      |   |                      |      |
|-----|--|---|----------------------|---|----------------------|------|
| (a) | Other UK money market instruments? . . . . .<br>Include floating rate notes maturing within one year of issue. | £ | <input type="text"/> | · | <input type="text"/> | 1019 |
| (b) | Money market instruments issued by non-resident business? . . . . .  | £ | <input type="text"/> | · | <input type="text"/> | 1020 |

Of (b), what was the value of the European Union (EU) component?

For a full list of overseas European Union member state countries please see Annex A at the back of this questionnaire . . . . .

|   |                      |   |                      |      |
|---|----------------------|---|----------------------|------|
| £ | <input type="text"/> | · | <input type="text"/> | 1021 |
|---|----------------------|---|----------------------|------|

**7. What were the total fair values of your derivative contracts which have a positive (i.e. asset) value with UK counterparties, for the following:**

Financial Derivatives are defined as instruments that are linked to the price performance of any underlying instrument and which involve the trading of financial risk.

Please report the total fair value of all those derivative contracts that currently have a positive fair value, i.e. which are currently assets. Do not net off the value of those derivative contracts that currently have a negative value. These should be reported within question 38.

Millions of £

- |     |  |   |                      |   |                      |      |
|-----|--|---|----------------------|---|----------------------|------|
| (a) | Credit default products (including credit default options and credit default swaps)? . . . . . | £ | <input type="text"/> | · | <input type="text"/> | 1304 |
| (b) | Employee stock options? . . . . .  | £ | <input type="text"/> | · | <input type="text"/> | 1305 |
| (c) | Other options? . . . . .   | £ | <input type="text"/> | · | <input type="text"/> | 1306 |
| (d) | Other swaps (including forward rate agreements)? . . . . .                                     | £ | <input type="text"/> | · | <input type="text"/> | 1307 |
| (e) | Futures and forwards? . . . . .  | £ | <input type="text"/> | · | <input type="text"/> | 1308 |
| (f) | Other? . . . . .   | £ | <input type="text"/> | · | <input type="text"/> | 1309 |



**8. What were the total fair values of your derivative contracts which have a positive (i.e. asset) value with overseas counterparties for the following:**

Financial Derivatives are defined as instruments that are linked to the price performance of any underlying instrument and which involve the trading of financial risk.

Please report the total fair value of all those derivative contracts that currently have a positive fair value, i.e. which are currently assets. Do not net off the value of those derivative contracts that currently have a negative value. These should be reported within question 39.

Millions of £

|  |   |                      |   |                      |      |
|--|---|----------------------|---|----------------------|------|
| (a) Credit default products (including credit default options and credit default swaps)? ..... | £ | <input type="text"/> | · | <input type="text"/> | 1310 |
| (b) Employee stock options? .....  | £ | <input type="text"/> | · | <input type="text"/> | 1311 |
| (c) Other options? .....   | £ | <input type="text"/> | · | <input type="text"/> | 1312 |
| (d) Other swaps (including forward rate agreements)? .....                                     | £ | <input type="text"/> | · | <input type="text"/> | 1313 |
| (e) Futures and forwards? .....  | £ | <input type="text"/> | · | <input type="text"/> | 1314 |
| (f) Other? .....   | £ | <input type="text"/> | · | <input type="text"/> | 1315 |

**9. What were your holdings of any of the following other types of short-term assets:**

Exclude derivative contracts.

Millions of £

|   |   |                      |   |                      |      |
|---|---|----------------------|---|----------------------|------|
| (a) UK short-term assets? .....                       | £ | <input type="text"/> | · | <input type="text"/> | 1025 |
| (b) Overseas short-term assets? .....                 | £ | <input type="text"/> | · | <input type="text"/> | 1026 |
| Of (b), what was the value of the EU component? ..... | £ | <input type="text"/> | · | <input type="text"/> | 1027 |

**Section C - Investments**

Deal with all investment items by reference to the date of contract rather than the date of payment or receipt of funds. Investments in external pooled funds should be reported within mutual funds. Investments into internal pooled funds, i.e. those managed by your company and allowing investments to be made only by members of your corporate group should be reported as the underlying investments.

**10. What were your holdings of UK Government securities that were:**

Exclude treasury bills.

Millions of £

|  |   |                      |   |                      |      |
|--|---|----------------------|---|----------------------|------|
| (a) Denominated in sterling: 0 up to 15 years maturity? .....                                    | £ | <input type="text"/> | · | <input type="text"/> | 1316 |
| (b) Denominated in sterling: Over 15 years and undated maturity? .....                           | £ | <input type="text"/> | · | <input type="text"/> | 1031 |
| (c) Index-linked securities denominated in sterling? .....                                       | £ | <input type="text"/> | · | <input type="text"/> | 1032 |
| (d) Denominated in foreign currency?<br>Including foreign currency index-linked securities ..... | £ | <input type="text"/> | · | <input type="text"/> | 1033 |



**11. What were your holdings of the following UK public sector investments:**

Millions of £

- (a) Local authority securities and negotiable bonds? ..... £  ·  1034
- (b) Local authority loans and mortgages? ..... £  ·  1035
- (c) Public corporation loans and mortgages? ..... £  ·  1036
- (d) Other UK public sector investments? ..... £  ·  1037

**12. What were your holdings of:**

Millions of £

- (a) Overseas government, provincial and municipal securities? ..... £  ·  1038
- Of (a) what was the value of the EU component? ..... £  ·  1039

**13. What were your holdings of UK corporate sterling bonds issued by:**

A UK corporate bond is a certificate of debt that is issued by a corporation in order to raise money. It makes a promise to pay a specified sum of money, either at a fixed time in the future or during a future time period, and carries interest, either at a fixed or variable rate.

Millions of £

- (a) Banks and building societies? ..... £  ·  1317
- (b) Other UK corporates? ..... £  ·  1042

**14. What were your holdings of UK corporate foreign currency bonds issued by:**

A UK corporate bond is a certificate of debt that is issued by a corporation in order to raise money. It makes a promise to pay a specified sum of money, either at a fixed time in the future or during a future time period, and carries interest, either at a fixed or variable rate.

Millions of £

- (a) Banks and building societies? ..... £  ·  1318
- (b) Other UK corporates? ..... £  ·  1045



**15. What were your holdings of the following types of UK share:**

Include:

- Shareholdings in unit trust management companies
- Permanent interest-bearing shares issued by building societies
- Securities traded on the Alternative Investment Market (AIM)

**(a) Ordinary share:**

Millions of £

Quoted? ..... £  ·  1046

Unquoted? ..... £  ·  1047

**(b) Preference share:**

Quoted? ..... £  ·  1048

Unquoted? ..... £  ·  1049

**16. What were your holdings of the following overseas corporate securities:**

Millions of £

(a) Overseas bonds? ..... £  ·  1050

Of (a), what was the value of the EU component? ..... £  ·  1051

(b) Overseas ordinary shares? ..... £  ·  1052

Of (b), what was the value of the EU component? ..... £  ·  1053

(c) Overseas preference shares? ..... £  ·  1054

Of (c), what was the value of the EU component? ..... £  ·  1055

**17. What were your holdings in the following mutual funds:**

Include securities of investment trusts.

Millions of £

(a) UK authorised unit trust units? ..... £  ·  1056

(b) UK unauthorised unit trust units? ..... £  ·  1057

(c) UK investment trust securities? ..... £  ·  1058

(d) UK open-ended investment companies? ..... £  ·  1059

(e) Other UK mutual funds?  
Include UK hedge funds ..... £  ·  1319

(f) Overseas mutual funds? ..... £  ·  1060

Of (f), what was the value of the EU component? ..... £  ·  1061





**18. What were your amounts outstanding for the following UK loans:**

Include sterling asset backed loans.

Millions of £

- (a) Loans to individuals secured on dwellings? ..... £  ·  1062
- (b) Other loans including policy loans and loans to individuals? ..... £  ·  1063
- (c) Loans to businesses?  
Exclude:
- Loans to those of your UK subsidiaries whose balances have not been included in this questionnaire
  - Loans to your UK associate companies .....
- £  ·  1064
- (d) Loans to others?  
Exclude all bank term deposits and building society investments . . . . £  ·  1066

**19. What were your holdings of the following investments and fixed assets:**

Include:

- The total capital value of any assets that you have bought on hire purchase or acquired (as lessee) under a finance leasing arrangement
- Assets acquired for hiring, renting and operating leasing purposes
- Where the purchase of land and buildings is not separable, record under the component estimated to be of greater value.

Exclude the capital value of any assets acquired by your business but leased out to others under finance leasing arrangements.

Millions of £

- (a) Existing UK buildings and property? ..... £  ·  1070
- (b) UK land? ..... £  ·  1071
- (c) UK new construction work? ..... £  ·  1321
- (d) UK vehicles? ..... £  ·  1322
- (e) UK machinery and equipment? ..... £  ·  1323
- (f) UK valuables? ..... £  ·  1324
- (g) UK intangibles? ..... £  ·  1325

**20. For the categories noted in question 19, what was your holding of overseas investments and fixed assets? .....**

Millions of £

£  ·  1077



**21. What was your net value of direct investment assets for the following:**

Direct investment is a long-term relationship between two entities. It is held to exist where the parent owns 10% or more of the ordinary shares or voting power of an incorporated enterprise, or the equivalent of an unincorporated enterprise.

Report the value of the net assets of the companies that are attributable to you, and for your overseas branches and agencies, give the value of the branch/head office account.

Include:

- The value of loans from the UK reporting company but do not deduct borrowing by the UK reporting company from these concerns
- Inter-company balances, other than insurance balances.

Millions of £

- |     |  |   |                      |                      |                      |                      |                      |                      |                      |   |                      |      |
|-----|--|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|---|----------------------|------|
| (a) | Non-insurance subsidiary and associate companies in the UK? . . . . .  | £ | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | · | <input type="text"/> | 1078 |
| (b) | UK insurance subsidiary, associate and holding companies, where consolidated figures are not included in this questionnaire? . . . . . | £ | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | · | <input type="text"/> | 1079 |
| (c) | Overseas subsidiaries, associates, branches and agencies? . . . . .  | £ | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | · | <input type="text"/> | 1080 |

**22. What were your outstanding overseas loans for the following:**

Include loans to your overseas parent companies' head office and fellow subsidiaries and associates not included elsewhere in the questionnaire.

Exclude:

- Loans outstanding that have been reported in question 21
- Loans covered by Export Credit Guarantee Department (ECGD) specific bank guarantees or ECGD buyer credit guarantees

Millions of £

- |     |                                 |   |                      |                      |                      |                      |                      |                      |                      |   |                      |      |
|-----|---------------------------------|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|---|----------------------|------|
| (a) | Parent companies? . . . . .     | £ | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | · | <input type="text"/> | 1068 |
| (b) | Other overseas loans? . . . . . | £ | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | · | <input type="text"/> | 1069 |

**23. What were your holdings of assets not covered elsewhere in this questionnaire for the following:**

Include:

- Items such as certificates of tax deposit, insurance policies and annuities.
- Loans covered by ECGD specific bank guarantees or ECGD buyer credit guarantees.

Exclude pre-payments and debtors.

Millions of £

- |     |   |   |                      |                      |                      |                      |                      |                      |                      |   |                      |      |
|-----|---|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|---|----------------------|------|
| (a) | UK assets? . . . . .                                      | £ | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | · | <input type="text"/> | 1081 |
| (b) | Overseas assets? . . . . .                                | £ | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | · | <input type="text"/> | 1082 |
|     | Of (b), what was the value of the EU component? . . . . . | £ | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | · | <input type="text"/> | 1083 |



**24. What were your net values of agents' balances and outstanding premiums in respect of direct insurance and facultative reinsurance contracts for:**

Report assets net of insurance liabilities.

Classify your own agents' balances as due from UK or overseas according to the agents' country of residence.

Millions of £

(a) UK? ..... £ 

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(b) Overseas? ..... £ 

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**25. What were your net values of reinsurance, coinsurance and treaty balances for:**

If your company has sold reinsurance, please enter as a positive figure any amount owed to you by reinsurance counterparties. If your company has bought reinsurance but not yet paid the premium, please enter as a negative figure, any amounts owed.

If reinsurance has been bought and sold, please report the net figure.

Millions of £

If reinsurance has been bought and sold, please report the net figure.

(a) UK? £ 

|  |  |  |  |  |  |
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 1086

(b) Overseas? ..... £ 

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 1087

**26. What was your net value of outstanding interest, dividends and rents? . . . . .**

Millions of £

**What was your net value of outstanding interest,  
dividends and rents?**

£ 

|  |  |  |  |  |  |
|--|--|--|--|--|--|
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**27. What was your net amount outstanding from stockbrokers and securities dealers?**

Include amounts due on securities bought and sold for future settlement.

Net balances owed to stockbrokers and securities dealers should be included as a negative amount . . . . .

Millions of £

[illegible]

Millions of £

28. What was the value of your deferred acquisition costs? ..... £ 

|  |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
|--|--|--|--|--|--|

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 1090

**29. What was your amount outstanding from other debtors and any other assets not included elsewhere on this questionnaire? . . .**

Millions of £

**What was your amount outstanding from other debtors and any other assets not included elsewhere on this questionnaire? . . . . .** £ 

|  |  |  |  |  |  |
|--|--|--|--|--|--|
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1091

Millions of £

30. What was the value of your total assets? ..... £ 

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|  |  |  |  |  |
|--|--|--|--|--|

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|  |
|--|
|  |
|--|

 1999





**36. What were your provisions for taxation in respect of:**

Include deferred tax net of amounts receivable.

Millions of £

- |     |                             |   |                      |   |                      |      |
|-----|-----------------------------|---|----------------------|---|----------------------|------|
| (a) | UK authorities? .....       | £ | <input type="text"/> | · | <input type="text"/> | 2013 |
| (b) | Overseas authorities? ..... | £ | <input type="text"/> | · | <input type="text"/> | 2014 |

Millions of £

- |     |  |   |                      |   |                      |      |
|-----|--|---|----------------------|---|----------------------|------|
| 37. | What was the value of your provisions for dividends payable? ..... | £ | <input type="text"/> | · | <input type="text"/> | 2015 |
|-----|--|---|----------------------|---|----------------------|------|

**38. What were the total fair values of your derivative contracts which have a negative (i.e. liability) value with UK counterparties for the following:**

Financial Derivatives are defined as instruments that are linked to the price performance of any underlying instrument and which involve the trading of financial risk.

Millions of £

- |     |  |   |                      |   |                      |      |
|-----|--|---|----------------------|---|----------------------|------|
| (a) | Credit default products (including credit default options and credit default swaps)? ..... | £ | <input type="text"/> | · | <input type="text"/> | 2115 |
| (b) | Employee stock options? .....  | £ | <input type="text"/> | · | <input type="text"/> | 2116 |
| (c) | Other options? .....   | £ | <input type="text"/> | · | <input type="text"/> | 2117 |
| (d) | Other swaps (including forward rate agreements)? .....                                     | £ | <input type="text"/> | · | <input type="text"/> | 2118 |
| (e) | Futures and forwards? .....  | £ | <input type="text"/> | · | <input type="text"/> | 2119 |
| (f) | Other? .....   | £ | <input type="text"/> | · | <input type="text"/> | 2120 |

**39. What were the total fair values of your derivative contracts which have a negative (i.e. liability) value with overseas counterparties for the following:**

Financial Derivatives are defined as instruments that are linked to the price performance of any underlying instrument and which involve the trading of financial risk.

Millions of £

- |     |  |   |                      |   |                      |      |
|-----|--|---|----------------------|---|----------------------|------|
| (a) | Credit default products (including credit default options and credit default swaps)? ..... | £ | <input type="text"/> | · | <input type="text"/> | 2121 |
| (b) | Employee stock options? .....  | £ | <input type="text"/> | · | <input type="text"/> | 2122 |
| (c) | Other options? .....   | £ | <input type="text"/> | · | <input type="text"/> | 2123 |
| (d) | Other swaps (including forward rate agreements)? .....                                     | £ | <input type="text"/> | · | <input type="text"/> | 2124 |
| (e) | Futures and forwards? .....  | £ | <input type="text"/> | · | <input type="text"/> | 2125 |
| (f) | Other? .....   | £ | <input type="text"/> | · | <input type="text"/> | 2126 |



40. What was the value of your other creditors, provisions and liabilities not shown elsewhere on this questionnaire? . . . . .

Millions of £

£         .  2019

41. What was the excess of total assets over liabilities listed above for the following:

Millions of £

(a) Minority interests in UK subsidiary companies? . . . . . £         .  2021

(b) Shareholders' capital and reserves? . . . . . £         .  2022

(c) Any other reserves including, for UK branches of overseas companies, the 'net worth' of those branches including profit and loss account balances? . . . . . £         .  2023

Millions of £

42. What was the value of your total liabilities? . . . . . £         .  2999



## Section E - Overseas Assets

### 43. We require by country, a breakdown of holdings of equity and debt securities issued by foreign registered companies and foreign governments (excluding equity securities issued by related enterprises).

If you have reported figures in any of the questions listed below, please provide a breakdown of the country of issue in the table entitled 'Country Breakdown of Overseas Portfolio Investment Assets' shown overleaf.

**Have you reported figures for:**

For each question please ☒ either Yes or No

|  |     |                                     |    |                                     |
|--|-----|-------------------------------------|----|-------------------------------------|
| Money market instruments issued by overseas residents (box 1020)? .....    | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| Other overseas liquid deposits (box 1330)? .....                           | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| Other overseas short-term assets (box 1026)? .....                         | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| Overseas government, provincial and municipal securities (box 1038)? ..... | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| Overseas bonds (box 1050)? .....   | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| Overseas ordinary shares (box 1052)? .....                                 | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| Overseas preference shares (box 1054)? .....                               | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| Overseas mutual funds (box 1060)? .....                                    | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| Other overseas assets included in box 1091? .....                          | Yes | <input checked="" type="checkbox"/> | No | <input checked="" type="checkbox"/> |

If you have answered 'Yes' to any of the above, please write the country breakdown in the appropriate column as specified below:

If you have answered 'No' to all of the above, please go to question 44.

#### Column 1. Market value of equity securities

Equity securities cover all instruments and records acknowledging, after the claims of all creditors have been met, claims to the residual values of enterprises.

Overseas ordinary shares (box 1052)

Overseas mutual fund (box 1060)

#### Column 2. Market value of debt securities with an original maturity of one year or less

Short-term debt securities refer to bills, commercial paper, bankers' acceptances and so on, that usually give the holder the unconditional right to receive a stated, fixed sum of money on a specified date and have an original term to maturity of one year or less.

Money market instruments issued by overseas residents (box 1020)

Other overseas liquid deposits (box 1330)

Other overseas short-term assets (box 1026)

#### Column 3. Market value of debt securities with an original maturity of more than one year

Long-term debt securities refer to bonds, preference shares, debentures, notes and so on that usually give the holder the unconditional right to a fixed money income, or contractually determined variable money income and have an original term to maturity of over one year.

Overseas government, provincial and municipal securities (box 1038)

Overseas bonds (box 1050)

Overseas preference shares (box 1054)

Other overseas assets included in box 1091

Please refer to Annex B at the back of this questionnaire for further information to help you complete the following table for your overseas portfolio investment assets.



### Country Breakdown of Overseas Portfolio Investment Assets

|   | Column 1.   | Column 2.   | Column 3.   |
|---|---|---|---|
| Country of Issuer                       | Market value of equity securities<br>(nearest £ 0.1m) | Market value of debt securities with an original maturity of one year or less<br>(nearest £ 0.1m) | Market value of debt securities with an original maturity of more than one year<br>(nearest £ 0.1m) |
| Algeria                                 |   |   |   |
| Argentina                               |   |   |   |
| Australia                               |   |   |   |
| Austria                                 |   |   |   |
| Belgium                                 |   |   |   |
| Bermuda                                 |   |   |   |
| Brazil                                  |   |   |   |
| Canada                                  |   |   |   |
| Cayman Islands                          |   |   |   |
| Chile                                   |   |   |   |
| China, People's Republic of - Hong Kong |   |   |   |
| China, People's Republic of - Macao     |   |   |   |
| China, People's Republic of - Mainland  |   |   |   |
| Colombia                                |   |   |   |
| Denmark                                 |   |   |   |
| Finland                                 |   |   |   |
| France                                  |   |   |   |
| Germany                                 |   |   |   |
| Greece                                  |   |   |   |
| Guernsey                                |   |   |   |
| Hungary                                 |   |   |   |
| Iceland                                 |   |   |   |
| India                                   |   |   |   |
| Indonesia                               |   |   |   |





|                            | Column 1.   | Column 2.   | Column 3.   |
|----------------------------|---|---|---|
| Country of Issuer          | Market value of equity securities<br>(nearest £ 0.1m) | Market value of debt securities with an original maturity of one year or less<br>(nearest £ 0.1m) | Market value of debt securities with an original maturity of more than one year<br>(nearest £ 0.1m) |
| Irish Republic             |   |   |   |
| Isle of Man                |   |   |   |
| Israel                     |   |   |   |
| Italy                      |   |   |   |
| Japan                      |   |   |   |
| Jersey                     |   |   |   |
| Korea, Republic of (South) |   |   |   |
| Kuwait                     |   |   |   |
| Luxembourg                 |   |   |   |
| Malaysia                   |   |   |   |
| Mexico                     |   |   |   |
| Netherlands                |   |   |   |
| New Zealand                |   |   |   |
| Norway                     |   |   |   |
| Philippines                |   |   |   |
| Poland                     |   |   |   |
| Portugal                   |   |   |   |
| Russian Federation         |   |   |   |
| Singapore                  |   |   |   |
| Slovenia                   |   |   |   |
| South Africa               |   |   |   |
| Spain                      |   |   |   |
| Sweden                     |   |   |   |
| Switzerland                |   |   |   |
| Taiwan, Province of China  |   |   |   |
| Thailand                   |   |   |   |



|   | Column 1.   | Column 2.   | Column 3.   |
|---|---|---|---|
| Country of Issuer   | Market value of equity securities<br>(nearest £ 0.1m) | Market value of debt securities with an original maturity of one year or less<br>(nearest £ 0.1m) | Market value of debt securities with an original maturity of more than one year<br>(nearest £ 0.1m) |
| Turkey  |   |   |   |
| United States   |   |   |   |
| International Organisations   |   |   |   |
| <b>Other Countries</b><br>(specify all countries where the holdings of securities are greater than £0.1m / €0.1m) |   |   |   |
|   |   |   |   |
|   |   |   |   |
|   |   |   |   |
|   |   |   |   |
|   |   |   |   |
| Not Specified   |   |   |   |
| <b>Total value of securities reported</b>   |   |   |   |

**44. Does your business have access to any of the following security identification codes**

International Security Identification Number (ISIN)

Stock Exchange Daily Official List (SEDOL)

Committee on Uniform Securities Identification Procedures (CUSIP) International Numbering System (CINS)

For each question please ☒ either Yes or No

- (a) From your own system? Yes ☒ No ☒
- (b) From a custodian's system who holds securities on your behalf? Yes ☒ No ☒  
A custodian provides the safe keeping of assets and/or settlement of trades on your behalf.



## Section F - Comments and Contact Details

45. **The Association of British Insurers (ABI)** uses the data collected in this questionnaire to produce a number of publications on the insurance industry. So that you don't have to be contacted separately, by the ABI, we have agreed that we will ask your permission to pass your data onto them.

Please indicate your decision by writing an ☒ in one of the boxes below:

I give permission for my data to be passed to the Association of British Insurers . . . . . ☒

I do not give permission for my data to be passed to the Association of British Insurers. . . . . ☒

Please sign whether or not you are giving permission to pass data on to the ABI. The signatory must be an authorised officer of the company.

Signed \_\_\_\_\_

Position in business \_\_\_\_\_

Date \_\_\_\_\_

46. **Please use the box below to:**

- Describe any significant movements in data between this and previous returns.
- Tell us if you have merged with, or been acquired by, another company.
- Please include details of any significant impacts upon your data resulting from changes in the accounting approaches you have taken.



**47. Please provide details of the person we should contact if we have any queries regarding the information returned on this questionnaire:**

|                      |             |  |  |  |  |             |  |  |  |  |  |  |  |  |  |      |             |  |  |  |  |  |  |  |  |  |  |  |
|----------------------|-------------|--|--|--|--|-------------|--|--|--|--|--|--|--|--|--|------|-------------|--|--|--|--|--|--|--|--|--|--|--|
| Contact Name         | <div></div> |  |  |  |  |             |  |  |  |  |  |  |  |  |  |      |             |  |  |  |  |  |  |  |  |  |  |  |
| Position in business | <div></div> |  |  |  |  |             |  |  |  |  |  |  |  |  |  |      |             |  |  |  |  |  |  |  |  |  |  |  |
| Telephone Number     | <div></div> |  |  |  |  | <div></div> |  |  |  |  |  |  |  |  |  | Ext. | <div></div> |  |  |  |  |  |  |  |  |  |  |  |
| Fax Number           | <div></div> |  |  |  |  | <div></div> |  |  |  |  |  |  |  |  |  |      |             |  |  |  |  |  |  |  |  |  |  |  |
| E-mail address       | <div></div> |  |  |  |  |             |  |  |  |  |  |  |  |  |  |      |             |  |  |  |  |  |  |  |  |  |  |  |
|                      | <div></div> |  |  |  |  |             |  |  |  |  |  |  |  |  |  |      |             |  |  |  |  |  |  |  |  |  |  |  |
| Signature            | <div></div> |  |  |  |  |             |  |  |  |  |  |  |  |  |  |      |             |  |  |  |  |  |  |  |  |  |  |  |
| Date                 | <div></div> |  |  |  |  |             |  |  |  |  |  |  |  |  |  |      |             |  |  |  |  |  |  |  |  |  |  |  |

Please note that the question below is voluntary.

**48. How long has it taken you to complete this questionnaire?**  
Include any time spent extracting information from your accounting systems and collating data over and above normal accounting operations.

|       |             |  |  |         |             |  |
|-------|-------------|--|--|---------|-------------|--|
| Hours | <div></div> |  |  | Minutes | <div></div> |  |
|-------|-------------|--|--|---------|-------------|--|

Please take a photocopy of this questionnaire for your records and return the original copy to the Office for National Statistics in the envelope provided.

## **Annex A: List of Overseas European Union Member States**

The following countries are overseas members of the European Union:

Austria  
Belgium  
Bulgaria  
Croatia  
Cyprus  
Czech Republic  
Denmark  
Estonia  
Finland  
France  
Germany  
Greece  
Hungary  
Irish Republic  
Italy  
Latvia  
Lithuania  
Luxembourg  
Malta  
Netherlands  
Poland  
Portugal  
Romania  
Slovakia  
Slovenia  
Spain  
Sweden

## **Annex B: General Notes for Overseas Portfolio Investments (question 43)**

### **Securities:**

A security is defined as an instrument that is traded or tradeable.

Report all securities relating to your overseas assets at market value, and convert them to sterling using the exchange rate at the close of business on the date to which this questionnaire relates.

Attribute securities to the country that issued them. Base country attribution on where the entity is ordinarily domiciled. If there is doubt as to the issuers' country of domicile, then the country of residence of any enterprise can be taken as where it is legally incorporated. In the absence of legal incorporation, the country of residence can be taken as where it is legally domiciled. Overseas is defined as non-UK, where the UK includes England, Scotland, Wales and Northern Ireland but excludes the Channel Islands and the Isle of Man.

Do not allocate securities issued by international organisations (IO's) to the country in which the IO is based. Instead report the value of the securities in the separate category specifically for IO's near the end of the table.

### **Exclusion of securities issues raised by related enterprises:**

Securities issued by related non-resident enterprises and held by the reporting entity should be excluded from this questionnaire. Related non-resident enterprises are enterprises in which your enterprise group has an equity interest of 10% or more. Ownership is measured in terms of ordinary shares or voting stock of incorporated enterprises or equivalent beneficial interest in unincorporated enterprises. The only exception is if your enterprise is a financial institution. In these circumstances, debt securities issued by an affiliated non-resident financial intermediary and owned by your entity should be included in this questionnaire if the debt does not represent a permanent interest.

### **Treatment of securities involved in repurchase and securities lending arrangements:**

Treatment should be consistent with the main questionnaire, namely:

- Include securities sold under repurchase or securities lending arrangements.
- Exclude securities acquired under repurchase or securities lending arrangements.
- Securities acquired under repurchase or securities lending arrangements and subsequently sold to a third party should be reported as a negative holding, namely, a short position.

### **Depository Receipts:**

Depository receipts that denote ownership of equity or debt securities issued by overseas enterprises should be attributed to the country of residence of the issuer of the security underlying the depository receipt.

Do not report holdings of any securities against which depository receipts have been issued and sold. But, if a depository receipt was issued before the underlying securities were acquired, then report a negative holding in the underlying security.

### **Stripped securities:**

Stripped securities (strips) are securities that have been transformed from a principal amount with periodic interest coupons, into a series of zero coupon securities with a range of maturities matching the coupon payment dates and the redemption date of the principal amount.

Where strips remain the direct obligation of the original issuer, the residency of the issuer of the strips remains the same as for the original security.

Dealers who request that a settlement or clearing house creates strips from an existing security issued by a non-resident should not report ownership of the underlying security once the strips are created.

If you have created and issued strips, 'backed' by the original securities, report your holdings of the underlying securities if a non-resident issued them.

Strips with an original maturity of less than one year are classified as money market instruments and thus, if identifiable should be reported as short-term debt securities.

**Asset-backed Securities:**

When reporting the market value of your holdings of asset-backed securities, be aware of the possibility of early partial redemption of principal.

Report the market value of the principal amount outstanding at the close of business on the date to which this questionnaire relates. If some of the principal has been repaid, this market value will not be the same as the original face value re-valued at market prices on the date to which this questionnaire relates.