

Client Information List

DATE:

CLIENT TRANSACTION CODE:

In accordance with Articles 2 through 5 of the Due Diligence Convention and the Federal Banking Commission Circular of December 1998, and under the US Patriot Act of 2002, as amended in February 2003 concerning the prevention of money laundering and 305 of the Swiss Criminal Code, the following information may be supplied to banks and/or other financial institutions for purposes of verification of identity and activities of the Client described below, and the nature and origin of the funds which are to be utilized. The foregoing is subject to agreement by all parties to whom this information is provided that they are obligated to respect the privacy rights of the Client and all individuals described herein, as well as the generally accepted professional standards relating to the maintenance of confidential information, and to take all appropriate precautions to protect the confidentiality of the information contained herein, This legal obligation shall remain in full force indefinitely without restriction.

Corporate Information

Full Name of Corporate Institution	
Registration Number	
Date of Registration	
Domicile / Jurisdiction	
Postal Address	
Registration Address	
Physical Address (if different from Registration Address)	
Business Telephone Number	
Business Facsimile Number	
E-mail Address	
Website	
Corporate Officers and Titles	

Details of Signatory to Contract – Corporate and Individual

First Name	
Last Name	
Date and Place of Birth	
Nationality	
Passport Number	
Date of Issue of the Passport	
Expiry Date of the Passport	
Title Within the Corporation/Company	
Mobile Phone Number	

Home Phone Number	
Home Facsimile Number	
E-Mail Address	
Do you speak English?	
If not, what language do you speak?	
Translator's Name	
Translator's Address	
Translator's Phone Number/s	
Translator's Facsimile Number	
Translator's E-mail Address	
Legal Advisor	
Legal Advisor Name	
Legal Advisor Address	
Legal Advisor Phone Number/s	
Legal Advisor Facsimile Number	
Details of Bank Account – Where Funds Are Held	
Bank Name	
Bank Address	
Bank Swift Code	
Account Name	
Account Number	
Account Signatory Name and Title	
Bank Officer Name and Title	
Bank Officer Phone Number	
Bank Officer Facsimile Number	
Bank Officer E-Mail Address	
Describe Corporate Activity: (nature of the business, years involved in industry, products & nature of activity)	
What is the Investment amount available for placement, and in what form is it?	
How Were the Funds Earned?	

Are the Cash funds and/or the application asset beneficially owned by the undersigned?	YES	NO
Have the cash funds and/or the application asset been in the same bank account for the past THREE (3) years?	YES	NO
Are the cash funds and/or the application asset free and clear with no liens or encumbrances or governing restrictions?	YES	NO
Are the cash funds and/or the application asset restricted from being blocked to obtain a credit line from the Trade Platform?	YES	NO
Are the cash funds and/or the application asset governed and/or restricted by any "Depository Agreement(s)" that would affect its implementation in a private business venture?	YES	NO
As the beneficial owner, I _____, warrant and attest that the cash funds and/or the application asset(s) may be transferable, reserved, blocked, and are also unrestricted in regards to their ability to be blocked to obtain a credit line from the Trade Platform.	YES	NO
I, _____, warrant and attest, with full personal and corporate responsibility that as the beneficial owner, I have full legal title and authority with no governing restrictions over the herein described cash funds and/or application asset(s), and am also acknowledged as such by my depository financial institution.	YES	NO
I hereby grant THE TRANSACTING PRINCIPLES full permission and authority to verify, confirm, and reconfirm said cash funds on a bank-to-bank basis, and conduct standard background checks of the cash funds and/or asset(s), and the corporate entity owning such.	YES	NO
I warrant and attest that I have not authorized any other party to place these cash funds and/or asset(s) into a Trade or Buy/Sell	YES	NO
I certify and attest that at no time has your organization or any agent and/or appointee on your behalf, made any offer or solicitation.	YES	NO

Signed this ____ day of _____, 2014

For and on behalf of:
NAME OF COMPANY

NAME OF SIGNATORY _____
TITLE OF SIGNATORY _____

PASSPORT COUNTRY AND NUMBER _____