

**List of Representative Offices of Overseas Securities Institutions  
( As of the end of December 2011 )**

No.	Name	Jurisdiction
1	The Nomura Securities Co. Ltd. Shanghai Representative Office	Shanghai
2	BNP Paribas Peregrine Limited Shanghai Representative Office	Shanghai
3	Merrill Lynch Int'l Inc. Shanghai Representative Office	Shanghai
4	CLSA Ltd. Shanghai Rep. Office	Shanghai
5	Sun Hung Kai Investment Services Ltd. Shanghai Representative Office	Shanghai
6	Morgan Stanley Dean Witter Asia Ltd. Shanghai Representative Office	Shanghai
7	Goldman Sachs (China) L.L.C. Shanghai Representative Office	Shanghai
8	Barclays Capital Securities Limited Shanghai Representative Office	Shanghai
9	RBS Asia Limited., Shanghai Representative Office	Shanghai
10	Woori Investment & Securities Co., Ltd Shanghai Rep. Office	Shanghai
11	RBS Asia Ltd. Shanghai Representative Office	Shanghai
12	CSC Int'l Holding Ltd. Shanghai Representative Office	Shanghai
13	Yuanta Core Pacific Securities (Hong Kong) Company Ltd. Shanghai Representative Office	Shanghai
14	Hyundai Securities Co. Ltd. Shanghai Representative Office	Shanghai
15	Masterlink Securities (HK) Ltd. Shanghai Representative Office	Shanghai
16	JS Cresvale Securities Int'l Ltd. Shanghai Representative Office	Shanghai
17	Sinopac Securities (Asia) Ltd. Shanghai Representative Office	Shanghai
18	DBS Vickers (Hong Kong) Limited Shanghai Representative Office	Shanghai
19	DBS Asia Capital Ltd Shanghai Representative Office	Shanghai
20	Mega Capital (Asia) Ltd. Shanghai Representative Office	Shanghai
21	Citigroup Global Markets Asia Ltd. Shanghai Representative Office	Shanghai
22	KGI Asia Ltd. Shanghai Representative Office	Shanghai
23	N M Rothschild China Holding AG Shanghai Representative Office	Shanghai
24	Haitong International Securities Group Limited Shanghai Representative Office	Shanghai
25	President Securities (Hong Kong) Ltd. Shanghai Representative Office	Shanghai
26	Samsung Securities Co. Ltd. Shanghai Representative Office	Shanghai
27	Grand Cathay Securities (Hong Kong) Ltd. Shanghai Representative Office	Shanghai
28	The Hongkong and Shanghai Banking Corporation (Securities Business) Shanghai Representative Office	Shanghai
29	Hanwha Securities Co., Ltd. Shanghai Representative Office	Shanghai
30	Naito Securities Co., Ltd. Shanghai Representative Office	Shanghai
31	J.P.Morgan Securities (Asia Pacific) Ltd. Shanghai Representative Office	Shanghai
32	Asian Capital (Corporate Finance) Ltd. Shanghai Representative Office	Shanghai
33	SG Securities (HK) Ltd. Shanghai Representative Office	Shanghai
34	Polaris Securities (HK) Ltd. Shanghai Representative Office	Shanghai
35	Credit Swiss (Hong Kong) Ltd. Shanghai Representative Office	Shanghai
36	Mizuho Securities Co., Ltd. Shanghai Representative Office	Shanghai
37	Deutsche Bank AG (Securities Business) Shanghai Representative Office	Shanghai
38	Fubon Securities Co., Ltd Shanghai Representative Office	Shanghai
39	Standard Chartered Securities (HK) Ltd. Shanghai Representative Office	Shanghai
40	Jefferies & Company, Inc. Shanghai Representative Office	Shanghai
41	Piper Jarray & Co. Shanghai Representative Office	Shanghai
42	Okasan Securities Co., Ltd Shanghai Representative Office	Shanghai
43	William Blair & Company Shanghai Representative Office	Shanghai
44	ROTH Capital Partners, LLC Shanghai Representative Office	Shanghai
45	Macquarie Securities (Australia) Limited Shanghai Representative Office	Shanghai
46	Chief Securities Ltd. Shanghai Representative Office	Shanghai
47	Toyo Securities Co.,Ltd Shanghai Representative Office	Shanghai
48	Dashin Securities Co., Ltd Shanghai Representative Office	Shanghai
49	Evolution Watterson Securities Limited Shanghai Representative Office	Shanghai
50	Goodmorning Shinhan Securities Co., Ltd Shanghai Representative Office	Shanghai
51	Aizawa Securities Co.,Ltd Shanghai Representative Office	Shanghai
52	SK Securities Co., Ltd Shanghai Representative Office	Shanghai
53	CIMB-GK Securities(HK) Limited Shanghai Representative Office	Shanghai

54	Interactive Brokers LLC Shanghai Representative Office	Shanghai
55	Hua Nan Securities Co., Ltd Shanghai Representative Office	Shanghai
56	Vining-Sparks IBG, L.P. Shanghai Representative Office	Shanghai
57	MF Global Singapore Pte. Limited (Securities Business) Shanghai Representative Office	Shanghai
58	Daewoo Securities Co., Ltd Shanghai Representative Office	Shanghai
59	Hong Kong Partners Capital Securities Limited Shanghai Representative Office	Shanghai
60	Hong Kong First Worldsec Securities Limited Shanghai Representative Office	Shanghai
61	Daiwa Asset Management (H.K.) Limited Shanghai Representative Office	Shanghai
62	Sumitomo Mitsui Asset Management Company Limited Shanghai Representative Office	Shanghai
63	Martin Currie Investment Management Ltd Shanghai Representative Office	Shanghai
64	Schroder Investment Management Limited Shanghai Representative Office	Shanghai
65	Aviva Investors Global Services Limited Shanghai Representative Office	Shanghai
66	Robeco Institutional Asset Management B.V. Shanghai Representative Office	Shanghai
67	Mirae Asset Global Investments Co., Ltd. Shanghai Representative Office	Shanghai
68	Tokio Marine Asset Management International Pte. Ltd. Shanghai Representative Office	Shanghai
69	Aberdeen Asset Management Asia Limited Shanghai Representative Office	Shanghai
70	KTB Asset Management Co., Ltd Shanghai Representative Office	Shanghai
71	Daiwa SB Investments Ltd. Shanghai Representative Office	Shanghai
72	State Street Global Advisors Asia Ltd. Shanghai Representative Office	Shanghai
73	Allianz Global Investors Luxembourg S. A. Shanghai Representative Office	Shanghai
74	Lion Global Investors Limited Shanghai Representative Office	Shanghai
75	FIL Investment Management (Hong Kong) Limited Shanghai Representative Office	Shanghai
76	BNP Paribas Investment Partners Asia Limited Shanghai Representative Office	Shanghai
77	Korea Investment Trust Management Co., Ltd. Shanghai Representative Office	Shanghai
78	Hi Asset Management Co., Ltd. Shanghai Representative Office	Shanghai
79	Taiwan Fuh Hwa Securities Investment Trust Co., Ltd. Shanghai Representative Office	Shanghai
80	Taiwan Polaris International Securities Investment Trust Co., Ltd. Shanghai Representative Office	Shanghai
81	Nomura Asset Management Hong Kong Limited Shanghai Representative Office	Shanghai
82	The Nomura Securities Co. Ltd. Beijing Representative Office	Beijing
83	Daiwa Securities Capital Markets Co., Ltd. Beijing Representative Office	Beijing
84	Mitsubishi UFJ Securities Co., Ltd. Beijing Representative Office	Beijing
85	Credit Swiss (Hong Kong) Ltd. Beijing Representative Office	Beijing
86	Goldman Sachs (China) L.L.C. Beijing Representative Office	Beijing
87	Merrill Lynch Int'l Inc. Beijing Representative Office	Beijing
88	Citigroup Global Markets China Ltd. Beijing Representative Office	Beijing
89	Morgan Stanley Dean Witter Asia Ltd. Beijing Representative Office	Beijing
90	RBS Asia Ltd. Beijing Representative Office	Beijing
91	Polaris Securities (HK) Ltd. Beijing Representative Office	Beijing
92	CLSA Ltd. Beijing Representative Office	Beijing
93	RBS Asia Ltd Beijing Representative Office	Beijing
94	BNP Paribas Peregrine Limited Beijing Representative Office	Beijing
95	Kingsway Financial Services Group Ltd. Beijing Representative Office	Beijing
96	Standard Chartered Securities (HK) Ltd. Beijing Representative Office	Beijing
97	N M Rothschild China Holding AG Beijing Representative Office	Beijing
98	Taiwan Int'l Securities (B.V.I) Corp. Beijing Representative Office	Beijing
99	Core Pacific-Yamaichi International (H.K.) Limited Beijing Representative Office	Beijing
100	The Hongkong and Shanghai Banking Corporation (Securities Business) Beijing Representative Office	Beijing
101	Mega Capital (Asia) Ltd. Beijing Representative Office	Beijing
102	Guoco Capital Limited Beijing Representative Office	Beijing
103	Somerley Limited Beijing Representative Office	Beijing
104	J.P.Morgan Securities (Asia Pacific) Ltd., Beijing Representative Office	Beijing
105	Yuanta Securities Co., Ltd Beijing Representative Office	Beijing
106	Deutsche Bank AG (Securities Business) Beijing Representative Office	Beijing
107	Mizuho Securities Co., Ltd. Beijing Representative Office	Beijing
108	DBS Asia Capital Ltd Beijing Representative Office	Beijing
109	First Shanghai Investments Ltd. Beijing Representative Office	Beijing
110	BOC International Holdings Limited Beijing Representative Office	Beijing

111	BMO Nesbitt Burns Inc. Beijing Representative Office	Beijing
112	Natexis Banques Populaires Beijing Representative Office	Beijing
113	The Chuo Mitsui Trust and Banking Company, Limited (Securities Business) Beijing Representative Office	Beijing
114	Mirae Asset Securities Co., Ltd Beijing Representative Office	Beijing
115	RBC Investment Services (Asia) Limited (Securities Business) Beijing Representative Office	Beijing
116	BOCOM International Holdings Company Limited ( Securities Business ) Beijing Representative Office	Beijing
117	Woori Investment & Securities Co., Ltd. Beijing Representative Office	Beijing
118	City Credit Investment Bank Limited (Securities Business) Beijing Representative Office	Beijing
119	Daewoo Securities Co., Ltd. Beijing Representative Office	Beijing
120	HMC Investment Securities Co., Ltd Beijing Representative Office	Beijing
121	Brown Brother Harriman ( Hong Kong ) Ltd. Beijing Representative Office	Beijing
122	CIBC World Market Inc. (Securities Business) Beijing Representative Office	Beijing
123	Pacific Crest Securities LLC Beijing Representative Office	Beijing
124	Monex, Inc. Beijing Representative Office	Beijing
125	Fubon Securities Co., Ltd Beijing Representative Office	Beijing
126	Korea Hana Daetoo Securities Co., Ltd. Beijing Representative Office	Beijing
127	Hong Kong Chief Securities Limited Beijing Representative Office	Beijing
128	Schroders plc Beijing Representative Office	Beijing
129	AGF Management Limited Beijing Representative Office	Beijing
130	Pioneer Investment Management USA Inc. Beijing Representative Office	Beijing
131	Templeton Investment Counsel, LLC Beijing Representative Office	Beijing
132	Principal Global Investors LLC. Beijing Representative Office	Beijing
133	Standard Life Investments Limited Beijing Representative Office	Beijing
134	BNP Paribas Asset Management Beijing Representative Office	Beijing
135	BNY Mellon Asset Management International Limited Beijing Representative Office	Beijing
136	Amundi Beijing Representative Office	Beijing
137	INVESCO Asset Management Ltd. Beijing Representative Office	Beijing
138	UBS Global Asset Management (Hong Kong) Ltd. Beijing Representative Office	Beijing
139	JF Asset Management Limited Beijing Representative Office	Beijing
140	Wellington Management Company, LLP. Beijing Representative Office	Beijing
141	Fullerton Fund Management Company Ltd Beijing Representative Office	Beijing
142	ING Investment Management Asia Pacific (HK) Ltd. Beijing Representative Office	Beijing
143	FIL Investment Management (Hong Kong) Limited Beijing Representative Office	Beijing
144	BlackRock Asset Management North Asia Limited Beijing Representative Office	Beijing
145	Natixis Global Asset Management Beijing Representative Office	Beijing
146	Australia Russell Investment Group Pty Ltd Beijing Representative Office	Beijing
147	Singapore Morgan Stanley Investment Management Company Beijing Representative Office	Beijing
148	United States Bridgewater Associates, LP Beijing Representative Office	Beijing
149	AXA Investment Manager Paris(France) Beijing Representative Office	Beijing
150	Sun Hung Kai Investment Services Ltd. Shenzhen Representative Office	Shenzhen
151	RBS Asia Ltd Shenzhen Representative Office	Shenzhen
152	CLSA Ltd. Shenzhen Representative Office	Shenzhen
153	Mega Capital (Asia) Ltd. Shenzhen Representative Office	Shenzhen
154	KGI Asia Ltd. Shenzhen Representative Office	Shenzhen
155	Masterlink Securities (HK) Ltd. Shenzhen Representative Office	Shenzhen
156	Taiwan Int'l Securities (B.V.I) Corp. Beijing Representative Office	Shenzhen
157	Chief Securities Ltd. Shenzhen Representative Office	Shenzhen
158	Fulbright Securities Limited Shenzhen Representative Office	Shenzhen
159	Polaris Securities (Hong Kong) Limited Shenzhen Representative Office	Shenzhen
160	Hang Seng Investment Management Limited Shenzhen Representative Office	Shenzhen
161	Polaris Securities (Hong Kong) Limited Guangzhou Representative Office	Guangdong
162	Sun Hung Kai Investment Services Ltd. Guangzhou Representative Office	Guangdong
163	President Securities Corp Xiamen Representative Office	Xiamen
164	Fubon Securities Co., Ltd Xiamen Representative Office	Xiamen
165	Masterlink Securities (HK) Ltd. Xiamen Representative Office	Xiamen
166	Sun Hung Kai Investment Services Ltd. Nanjing Representative Office	Jiangsu
167	Quam Securities Company Ltd Shenyang Representative Office	Liaoning

168	Get Nice Securities Limited Ningbo Representative Office	Ningbo
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