WREGIS Stakeholder Advisory Committee (SAC) Meeting

Agenda

June 3, 2014

11:00 a.m. to 12:00 p.m. MST
10:00 a.m. to 11:00 a.m. PST

Dial-in information: Conference Bridge #: (760) 569-6000 Passcode: 168380 #

I. Welcome (Kristie Sharp, PacifiCorp)

Call the meeting to order. Determine whether a quorum is present for the conducting of business.

Introduction of attendees.

II. Approve Agenda – review and additions

Any discussion, additions, or corrections to the published agenda.

III. Items for review

a. WREGIS Committee Charter Review (Andrea Coon & Rebecca Wagner, WECC)

b. Update on Implementation of the Platform Upgrade (James Webb, APX)

c. Approved PCRs – Implementation Schedule (WECC Staff):
   i. PCR 219 – Eligibility Upon REC Creation to Represent Actual Generation Month
   ii. PCR 220 – Upload File in Addition to Choosing Tags to Assist in E-Tag Matching Process
   iii. PCR 221 – Enhancement Task Force Reporting Upgrades
   iv. PCR 222 – Enhancement Task Force Navigation Upgrades
   v. PCR 223 – "Additional Details" Field for CARB/Green-e Energy Retirements
   vi. PCR 225 - Renewable Delivery Verification

d. Proposed PCR 226 – Retroactive Certificate Creation for El Paso Electric Company's DG Generation (Brad Green, El Paso Electric Company)

IV. Comments

V. Potential Updates of Previous Action Items

1. Anticipating update at April SAC Meeting on WREGIS Enhancement Task Force User Testing Group (Andrea Coon, WECC)
2. Potential further outreach on the topic of SAC In-Person Meeting (Kristie Sharp, PacifiCorp
3. Proposed Task Forces (TOU, Station Service)

VI. Review of New Action Items

1. Item.
2. Item.

VII. Scheduled Meetings for 2014:

<u>SAC Meetings (10-11 am Pacific, 11-noon Mountain):</u>

- July 1, 2014
- August 5, 2014
- September 2, 2014
- October 7, 2014
- November 4, 2014
- December 2, 2014

<u>Upcoming WREGIS Committee Meetings:</u>

- TBD

VIII. Adjourn

WECC Policy Statement:

Antitrust Policy

Date: 10/23/2009

Introduction

It is WECC's policy and practice to comply with antitrust laws and avoid all conduct that unreasonably restrains competition. This policy requires the avoidance of any conduct that violates, or that might appear to violate, the antitrust laws. Among other things, the antitrust laws forbid any agreement between or among competitors regarding prices, availability of service, product design, terms of sale, division of markets, allocation of customers or any other activity that unreasonably restrains competition. Furthermore, under section 3(c) of the Delegation Agreement, WECC must adhere to and require that all participants in WECC activities follow and comply with the NERC Anti-Trust Compliance Guidelines (attached as Appendix A), as amended from time to time. It is the responsibility of every WECC participant and employee who may in any way affect WECC's compliance with the antitrust laws to carry out this commitment.

The antitrust laws and the cases interpreting them do not clearly define all antitrust violations. Most violations are decided based on specific facts of the situation. Notwithstanding this vagueness in the law, certain activities are clearly prohibited. All **WECC participants and staff must refrain from the prohibited activities in all WECC activities and meetings and in other WECC-related communications with WECC members.** There may be other actions which could violate the antitrust laws which are not expressly dealt with herein.
Any WECC participant or employee who is uncertain about the legal ramifications of a particular course of conduct or who has doubts or concerns about whether WECC's antitrust compliance policy is implicated in any situation should consult WECC's General Counsel immediately.

Purpose

The purpose of this policy is to provide guidance to WECC participants and staff regarding potential antitrust problems and to set forth policies to be followed with respect to activities that may involve antitrust considerations.

Document Owner

The owner of this document is the General Counsel.
The document owner is responsible for:

- Identifying the governance requirements for approval of the policy.
- Directing review and revision of the policy to be accurate and compliant with applicable regulatory, legal, and business requirements, and in accordance with the policy's review cycle.
- Designating WECC staff as Subject Matter Experts for the development, revision and review of the policy.
- Ensuring this document is reviewed and approved in accordance with its review cycle.

- Performing initial review and approval of the policy and its revisions.
- Submitting the policy and its revisions for approval.
- Distributing the approved document to the appropriate staff, corporate library, and any other applicable locations for reference.

Scope

This policy applies to WECC participants, including its Board of Directors, and WECC staff as it pertains to WECC activities and meetings and any other WECC-related communications with WECC participants or WECC staff.

Responsibilities

Chairman of the Board

- Communicate this policy to WECC participants

Chief Executive Officer

- Communicate this policy to WECC staff

General Counsel

- Participate in meetings and/or review meeting minutes and other communications for compliance with this policy.

Should any WECC employee, contractor, or other individual deviate from this policy, that individual is responsible for notifying his or her supervisor of the deviation. The individual is responsible for documenting a description of the deviation and the reason(s) for it. The supervisor may contact the WECC Legal department regarding the issue. Individuals who are concerned with reporting the deviation to a supervisor may utilize the WECC Conflict of Interest and Ethical Issue Reporting Hotline.

Definitions and Acronyms

Term or Acronym	Definition

Policy

2.1 **GENERAL POLICY**

Decisions and actions by WECC should only be undertaken for the purpose of promoting and maintaining the reliability and adequacy of the bulk power system within the Western Interconnection. All discussions in WECC meetings and other communications should be within the scope of the mandate for or assignment to the particular WECC committee or subgroup, as well as within the scope of the published agenda for the meeting. No decisions should be made nor any actions taken in WECC activities for the purpose of giving an industry participant or group of participants a competitive advantage over other participants.

2.2 **PROHIBITED ACTIVITIES**

WECC members and staff must refrain from the following when acting in their capacity as WECC members and staff (i.e., during WECC meetings, conference calls, WECC-related communications, informal discussions at WECC events, informal communications with WECC staff, etc):

- Discussions involving pricing information, especially margin (profit) and members' expectations as to their future prices or internal costs.
- A member discussing its marketing strategies.
- Discussions regarding how customers and geographical areas are to be divided among competitors.
- Discussions to exclude competitors from markets.
- Discussions concerning boycotting or group refusals to deal with competitors, vendors or suppliers.

2.3 **ACTIVITIES WHICH ARE PERMITTED**

WECC members may discuss and approve:

- Reliability matters, including operation and planning matters such as developing and establishing regional reliability standards, reliability criteria, operating procedures, operating transfer capabilities, and plans for new facilities. Matters relating to the impact of reliability standards for the bulk power system on electricity markets, and the impact of electricity market operations on the reliability of the bulk power system.
- Proposed filings or other communications with state or federal regulatory authorities or other governmental entities.
- Matters relating to the internal governance, management and operation of WECC, such as nominations for vacant committee positions, budgeting and assessments, and employment matters; and procedural matters such as planning and scheduling meetings.

From time to time decisions or actions of WECC (including those of its Board of Directors, committees and subgroups) may have a negative impact on particular entities and thus in that sense adversely impact competition. Decisions and actions by WECC (including its Board of Directors, committees and subgroups) should only be undertaken for the purpose of promoting and maintaining the reliability and adequacy of the bulk

power system in the Western Interconnection. If you do not have a legitimate business purpose for discussing a matter that is consistent with this objective, members and staff must refrain from discussing the matter during WECC meetings and in other WECC-related communications.

No decisions should be made nor any actions taken in WECC activities for the purpose of giving an industry participant or group of participants a competitive advantage over other participants. In particular, decisions with respect to setting, revising, or assessing compliance with approved reliability standards should not be influenced by anti-competitive motivations.

Any other matters that do not clearly fall within these guidelines should be reviewed with WECC's General Counsel before being discussed.