

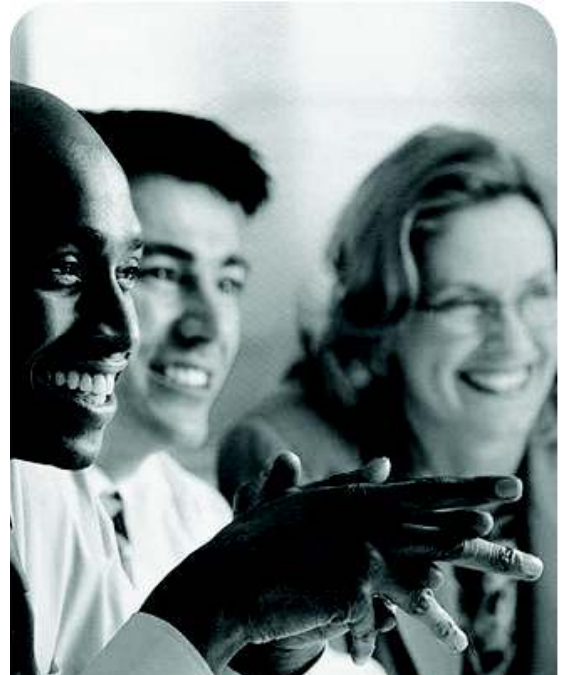
Sample Investment Case Analysis Reports

SECTION 1

Portfolio Perspective Analysis

SECTION 2

Tax Observation Analysis



Today's Date: _____

Data Entry/Case Analysis Request Form

*All fields required.

☐ **Case Analysis**

☐ **Tax Observation**

SEI Contact:

Date in CRM/Goldmine:

Advisor Details

Advisor Name(s):

Advisor Firm & Contact:

Presentation Date (2 weeks min.):

New or Existing Advisor?

Case details (why is it being submitted?):

Investor Details

Investor Name(s):

Date(s) of Birth:

State of Residence:

Client Tax Bracket:

Capital Loss Carryforward Amount:

In AMT? **Y/N**

Investor Issues/Concerns (i.e., fees, taxes, performance, etc.):

Account Specifics

Taxpayer/Spouse	Current Registration (Trust, JTWR0S, IRA, etc.)	Market Value (total min. of \$1mm)	Risk Tolerance	Goal/Objective

Current Asset Allocation (Equities to Fixed Income):

Time Frame (Goals/Dates):

Cash Flow (Drawdown):

Required Minimum Distribution(s):

Competitive Situation? **Y / N**

If yes, explain:

For SEI ICAT Use Only:

Notes/Comments:

Submitted to:

GoldMine

Case Tracker

Keane

ICAT

Morningstar

Bloomberg

Please allow at least 2 weeks depending on complexity of the case for SEI to complete this request. Your Internal Account Executive will contact you to determine a more specific timeframe.

Mail, email, or fax this form with attached **statements** to SEI Internal Account Executive. If no analysis is being performed, statements are not necessary

Prepared for: Sample Client

Portfolio Analysis Summary

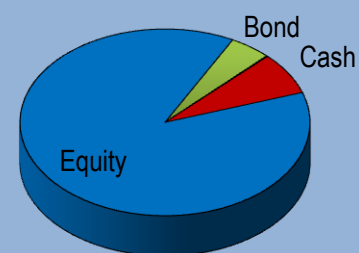
The investors are currently 62 and 70 years old and are residents of Florida. The immediate investment objective is to preserve principal and protect against significant loss in the near term.

The objective of the analyzed assets is to support the current and future lifestyles of the investors. While income is not a current need, it most likely will be part of the strategy moving forward. Ultimately a majority of the portfolio will be distributed in accordance with their charitable endeavors.

While the large allocation to cash does in essence protect the portfolio from capital loss, it is a negative returning asset which does not provide yield or maintain purchasing power.

The portion of the assets earmarked for immediate term needs could be invested in a defensive approach; however growth assets may also need to be considered.

Asset Allocation



Portfolio Dashboard

Approximate Portfolio Value: ¹	\$2,130,000
Account Registrations:	Taxable and IRA
State of Residence:	Florida
Investor Federal Tax Rate:	25%
Top State Tax Rate:	No State Income Tax

¹ Statements included in analysis only. May differ from value of all accounts submitted to SEI

² Includes analyzed holdings only; holdings lacking adequate information may have been excluded.

Aggregate Portfolio Allocation		
Cash		13.0%
Equity		15.9%
Fixed Income		69.7%
Other/Alternative		1.4%

Source: Client Account Statements, Morningstar Direct®

Client Portfolio	%	Russell 3000	%	Difference
Large Cap:	66.3	Large Cap:	72.0	-5.7
Small/Mid Cap:	33.7	Small/Mid Cap:	28.0	5.7
Value:	31.6	Value:	33.5	-1.9
Core:	37.9	Core:	32.9	5.0
Growth:	30.5	Growth:	33.6	-3.1

The Russell 3000 is the portfolio's equity benchmark and consists of the 3,000 largest U.S. public companies.

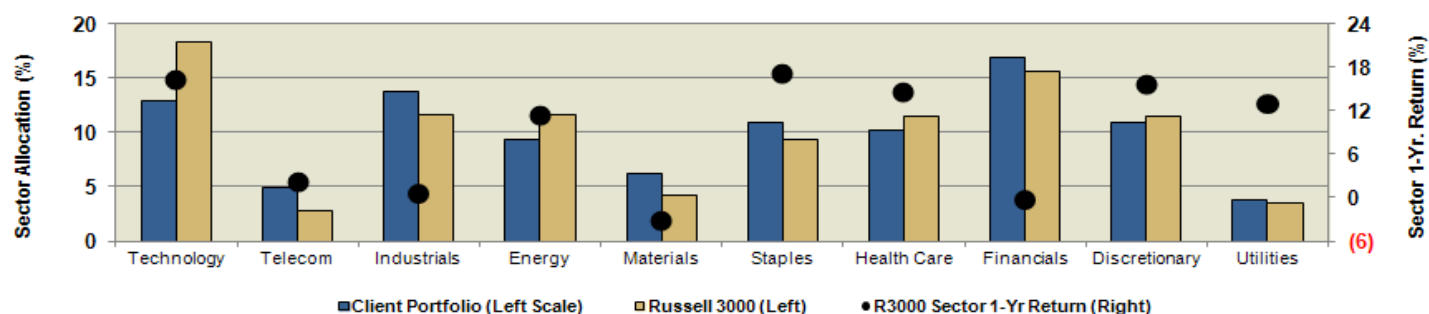
Top 5 Holdings*	%
1. Vanguard Inter. Tax Exempt	25.6
2. Loomis Sayles Investment Grade	6.9
3. PIMCO Total Return	5.6
4. Templeton Global Bond	5.3
5. Perkins Mid Cap Value	2.9
Total	46.2

*Excludes individual bonds

Allocation & Performance Data

1-year Performance Period: April 1, 2011 - March 31, 2012

Source: Morningstar Direct®



Equity Commentary

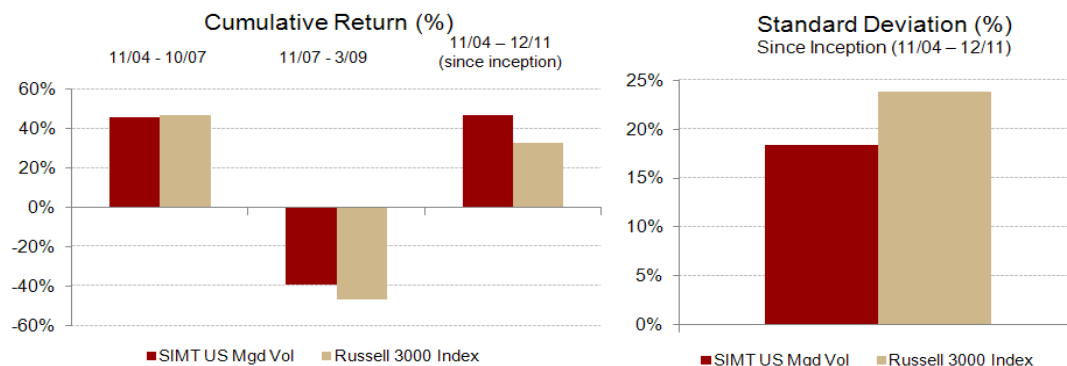
International Investing

- As a result of the rather small allocation within the aggregate portfolio, international and developing economy stocks are virtually ignored within the portfolio
- If the investors are seeking growth to some degree, non-U.S. equities should be considered for the portfolio
- Emerging market equities are attractive from a growth and diversification perspective

Managing Volatility in Investor Portfolios

- The current asset allocation reflects very defensive positioning
- Investors emphasize bonds but may wish to institute a framework for low volatility investing within equities as interest rate concerns may impact fixed income investments moving forward
- Low volatility investing can be implemented domestically as well as internationally
- Since inception the SEI Managed Volatility Fund has provided comparable returns to the U.S. market with less risk and capital loss (drawdown)

Managed Volatility Risk and Return since Inception



	Annualized Return	Annualized Std Dev	Beta	Maximum Drawdown
SIMT U.S. Managed Volatility Fund	5.62	13.14	0.75	42.90
Russell 3000	4.90	16.76	1.00	51.20

Tax Management

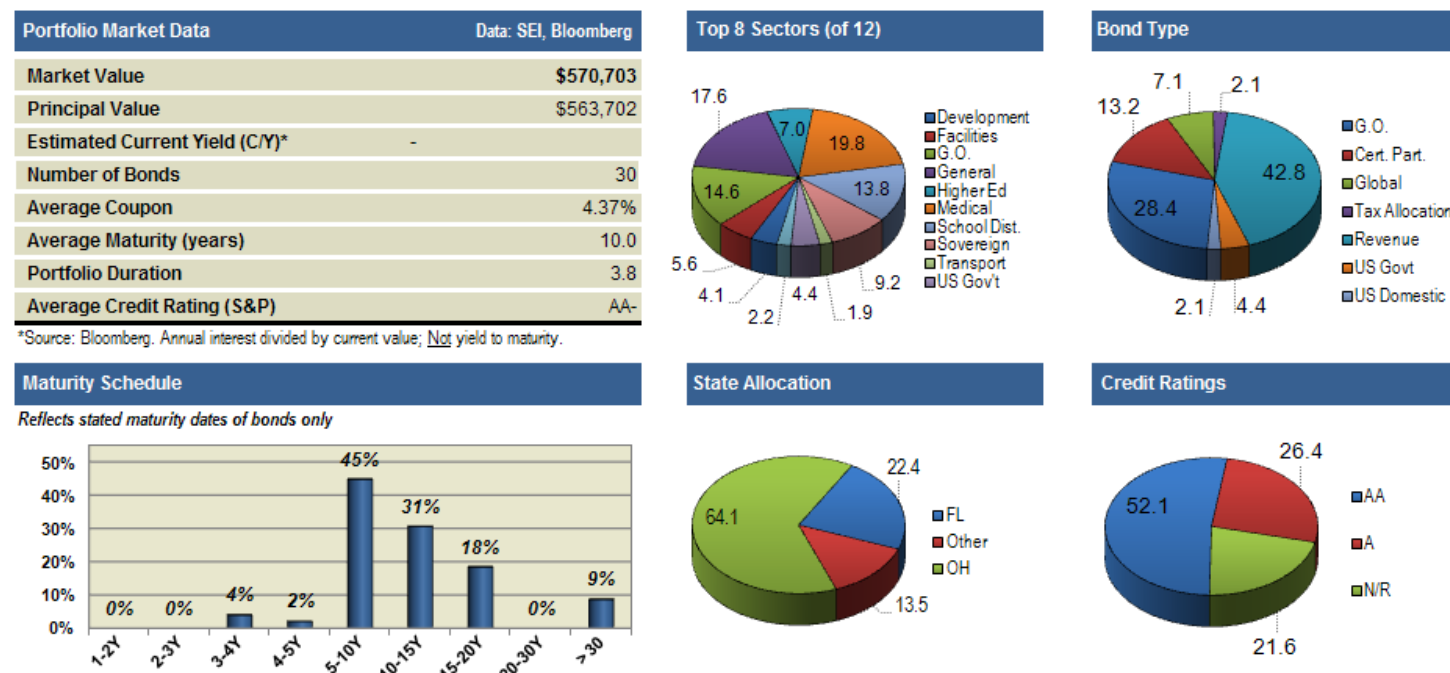
- Investors are in the 25% federal tax bracket which may not require tax-sensitive investments
- Tax-exempt bonds should be chosen carefully as they may not be more attractive on an after-tax basis at this level
- Portfolio holds municipal bonds yet there are no tax advantaged equities in the portfolio
- Has any tax loss harvesting, portfolio turnover management, or any other form of tax management occurred?
- An active tax overlay manager who manages portfolios for maximum tax efficiency on a daily basis can potentially add after-tax return that passive investing cannot

Core/Satellite Portfolio Structure

Losses harvested from the core can be netted against gains from alpha-seeking satellite portfolios



Individual Bond Dashboard



Fixed Income Commentary

- U.S. fixed income holdings are the dominant exposure comprising 70% of the portfolio value; the vast majority of these assets are in municipal bond investments
- The investors have indicated concerns in regards to the impact of interest rates; as currently constructed the portfolio is not ideally constructed to address these concerns
- In terms of diversification there are a number of additional bond asset classes (high yield bonds, emerging debt and floating rate notes) which can provide more attractive yield, valuation, risk mitigation and the opportunity for return
- In terms of direct impact when interest rates rise, most if not all the investments are of intermediate duration which means they are more susceptible to principal decline than shorter duration instruments
- The following table summarizes the troubled sectors the client has allocations to:

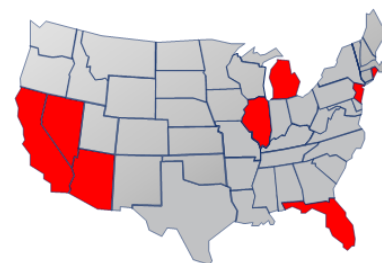
Sector	Issues Owned	Par Value Owned	Mkt. Value Allocation	Sector Remarks
Medical	3	\$112,850	19.77%	<ul style="list-style-type: none"> Accounts for 39% of muni defaults over last 40 years Facing funding difficulties due to lower gov't subsidies Accounted for 9 of largest 29 muni defaults in 2010
Higher Education	1	\$39,751	6.97%	<ul style="list-style-type: none"> State aid cuts are creating funding gaps Tuition increases are an unpopular, yet necessary, direction many schools are facing opposition against
Total:	4	\$152,601	26.74%	Source: SEI, Bloomberg, Moody's, Loop Capital

- 43% of the bonds are revenue bonds; revenue bonds pose different risks than general obligation (G.O.) bonds due to their inability to tax, and price elasticity
- The bonds mature in 5-20 years, reinforcing the intermediate term maturity schedule of the portfolio
- Gaps can impact the portfolio in several ways

- Reinvestment Rates:** Risk of reinvesting larger amounts of funds at lower interest rates
- Interest Rate Risk:** Longer maturity bonds are more sensitive to changing rates

- Several of the bonds held in the portfolio are issued by states that are less than desirable in the current environment:

State	Issues Owned	Current Value	Value Allocation	Sector Remarks
Florida	10	\$127,896	22.41%	<ul style="list-style-type: none"> High home foreclosure rate Depressed housing market
Total:	10	\$127,896	22.41%	

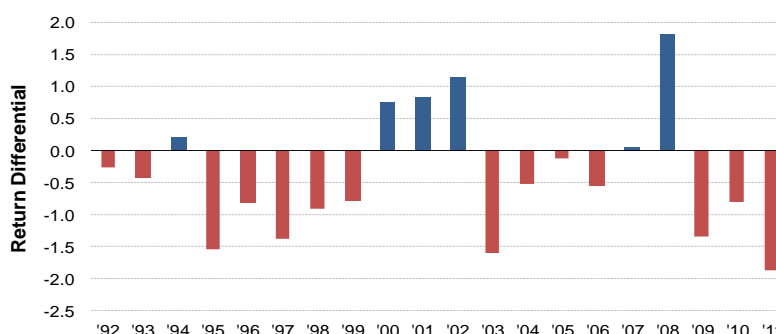


- As Florida has no state income tax and is one of the higher risk state issues, owning Florida bond issues is neither necessary nor ideal
- Consider further diversifying outside their state of residence to improve national exposure

Cash Position

- Large positions in cash is desirable only for stability and the most liquid needs of investors;
- Cash-like instruments are not attractive from a yield or return perspective
- In the current low-yielding environment cash is not a viable option for investors seeking income
- If and when inflation picks up, cash will not maintain purchasing power
- Depending on immediate or near-term needs, a much lower weighting for cash should be targeted

Current Portfolio Holds 15% in Cash
95% Equity, 5% Cash Portfolio Versus 100% Equity Portfolio

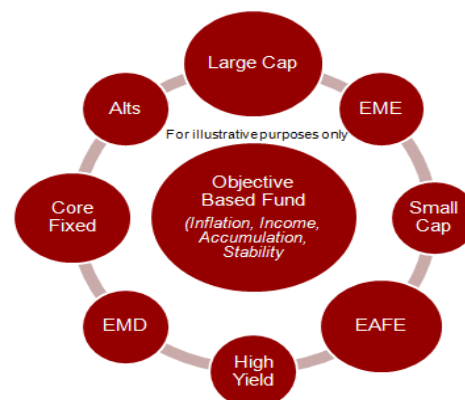


Potential Strategy Recommendation: Objective Based Assets

Multi-Strategy Inflation Fund¹

The Multi-Asset Inflation Managed Fund consists of a diverse and innovative mix of asset classes that have historically exhibited a strong relationship with inflation. It is allocated in a manner designed to efficiently generate real returns, increase inflation responsiveness and help protect against inflation surprises

- Another strategy that could meet the needs of the investor is that of laddered bonds



Potential Strategy Recommendation: Comprehensive Laddered Bond Solution

- Preserve capital
- Maintain purchasing power by reinvesting funds in a rising interest rate environment
- Reduce the risk associated with price volatility by holding bonds to maturity
- Greater oversight and lower cost (start at 0.30%)
- State specific, state focused, corporate bond, municipal, TIPS, bullet, barbell and other strategies available

Disclosures

This presentation was prepared by SEI Investments Management Corporation (an investment adviser registered with the Securities and Exchange Commission, "SIMC") and provided to your financial adviser for approval prior to it being distributed to you.

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To determine if the fund(s) are an appropriate investment for you, carefully consider the fund's investment objectives, risk factors and charges and expenses before investing. This and other information can be found in the Fund's prospectus, which may be obtained by calling 1-800-DIAL-SEI. Please read it carefully before investing.

There are risks involved with investing, including loss of principal. There is no assurance the goals of the strategies discussed will be met. Diversification may not protect against market risk. Current and future portfolio holdings are subject to risk. In addition to the normal risks associated with investing, international investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles or from economic or political instability in other nations. Emerging markets involve heightened risks related to the same factors, in addition to those associated with their relatively small size and lesser liquidity. High-yield securities involve greater risk and exhibit higher volatility. Bonds and bond funds are subject to interest-rate risk and will decline in value as interest rates rise.

Fund comparisons are for illustrative purposes only and not meant to be all inclusive. There may be similarities or differences in the funds that are not discussed. For complete details please carefully read the funds' prospectus

Neither SEI nor its affiliates provide tax advice. Please note that (i) any discussion of U.S. tax matters contained in this communication cannot be used by you for the purpose of avoiding tax penalties; (ii) this communication was written to support the promotion or marketing of the matters addressed herein; and (iii) you should seek advice based on your particular circumstances from an independent tax advisor.

For Advisor Use Only

SAMPLE TAX OBSERVATION ANALYSIS REPORT
SEI Advisor Network – ICAT Team
Investment-Related Tax Observations

Advisor - discuss these tax observations with your client(s) to raise tax awareness related to investment decisions.

Advisor Name: _____

Client Code Name: _____ **Date:** _____ **Tax Year 20** _____

Total Potential Tax Savings \$48,507

See Tax Return Facts on following pages for detailed analysis

TOP THREE TAX OBSERVATIONS

Observation – Taxable Interest (Point #8): Being in the highest Federal tax bracket, tax- exempt interest makes sense here. The potential tax savings would be $\$72,455 * 40\%$ (combined federal & state rate) = \$28,982

Observation – RMDs (Point #11): Why was a distribution taken when RMDs were suspended in 2009? Please look into this. The potential tax savings would have been $\$51,754 * 35\% = \$18,114$

Observations – AMT Bonds (Point #16): The AMT is being caused by the Schedule A taxes add back, the phase out of the AMT exemption and there are no personal exemptions for AMT. Also there is private activity bond interest aggravating the AMT situation. Avoid AMT bonds the potential tax savings is $\$5,041 * 28\% = \$1,411$

Taxpayer(s) are expected to consult their own independent tax professional before making investment-related tax decisions. This Tax Observation List is prepared for Advisor use only and not for distribution to the end-clients.

Tax Return Facts

1) Tax Return Year - 2009

2) State of Residence – UT

Observation: 5% flat tax rate

3) Federal Marginal Tax Bracket is 35% Form 1040 line 44

4) Alternative Minimum Tax – yes Form 1040, line 45

5) Filing Status – MFJ Form 1040 (lines 1 to 5)

6) Ages: Per case transmittal

a. Taxpayer, 72

b. Spouse, 71

Observation: When was the last time their wills were updated? Do their health care directives durable power of attorney documents address the new HIPAA laws? Benefits include having estate documents in order and legacy plans up to date.

Do the taxpayers have an umbrella insurance policy, and if so for how much?

Also, confirm beneficiaries on the IRA accounts and other retirement plans or accounts.

7) Exemptions, 2

Form 1040, Lines 6a, b, c, d

Continued on next page

Taxpayer(s) are expected to consult their own independent tax professional before making investment-related tax decisions. This Tax Observation List is prepared for Advisor use only and not for distribution to the end-clients.

Additional Tax Return Observations

8) Schedule B - Interest Income **Form 1040 Lines 8a & 8b**

- a. Taxable, \$72,455**
- b. Tax Exempt Interest, \$99,298**

Observation: Being in the highest Federal tax bracket tax exempt interest makes sense here. The potential tax savings would be \$72,455 & 40% = \$28,982

9) Schedule B - Dividend Income **Form 1040 Lines 9a & 9b**

- a. Total Dividends, \$162,830**
- b. Favorable tax treatment, \$112,131**

Observation: Mostly tax favored which is good. Are there any taxable money markets here that should be switched to municipal money markets?

10) Schedule D Capital Gains, -\$3,000 **Form 1040 Line 13**

- a. Long Term Gains, -\$220,127**
- b. Short Term Gains, -\$192,717**

Observations: The capital loss carryforward to 2010 is \$409,844

11) IRA Distributions, \$51,754 **Form 1040 Line 15a & b**

Observation: Why was a distribution taken when RMDs were suspended in 2009? Please look into this. The potential tax savings is \$51,754 * 35% = \$18,114

12) Pension Income, \$131,896 **Form 1040 Line 16a & b**

13) Roth IRA conversion, \$0

Observations: Taxpayers may want to consider a Roth Conversion before the Medicare Surtax kicks in.

Continued on next page

Taxpayer(s) are expected to consult their own independent tax professional before making investment-related tax decisions. This Tax Observation List is prepared for Advisor use only and not for distribution to the end-clients.

Schedule A Itemized Deductions

a. Medical expenses, \$	Schedule A Lines 1 -4
b. State & local taxes, \$46,274	Lines 5 -9
c. Interest, \$0	Lines 10-15
d. Charitable contributions, \$6,251	Lines 16-19
e. Miscellaneous deductions, \$19,234	Lines 21-27

Observations: Due to the AMT the taxpayers should defer paying their 4th quarter state estimate until January 2011. Also IRA investment management fees should be paid out of the IRA.

14) Tax Credits, \$3,573 Foreign Tax Credit **Form 1040 Lines 47-54**

15) Form 6251 Alt Min Tax, \$18,063

Observations: The AMT is being caused by the Schedule A taxes add back, the phase out of the AMT exemption and there are no personal exemptions for AMT. Also there is private activity bond interest aggravating the AMT situation. Avoid AMT bonds the potential tax savings is $\$5,041 * 28\% = \$1,411$

16) Was return professionally prepared? Yes **Form 1040 Paid**
Preparer Section

Taxpayer(s) are expected to consult their own independent tax professional before making investment-related tax decisions. This Tax Observation List is prepared for Advisor use only and not for distribution to the end-clients.

Form 1040

Department of the Treasury — Internal Revenue Service

U.S. Individual Income Tax Return 2009

(99) IRS Use Only — Do not write or staple in this space.

Label
(See instructions.)Use the
IRS label.
Otherwise,
please print
or type.Presidential
Election
Campaign

For the year Jan 1 - Dec 31, 2009, or other tax year beginning , 2009, ending , 20		OMB No. 1545-0074
Your first name	MI Last name	Your social security number
If a joint return, spouse's first name MI Last name		Spouse's social security number
Home address (number and street). If you have a P.O. box, see instructions.		Apartment no.
City, town or post office. If you have a foreign address, see instructions.		State ZIP code
SALT LAKE CITY, UT 84093		
Check here if you, or your spouse if filing jointly, want \$3 to go to this fund? (see instructions)		<input type="checkbox"/> You <input type="checkbox"/> Spouse

Filing Status

Check only
one box.

- | | |
|--|---|
| 1 <input type="checkbox"/> Single | 4 <input type="checkbox"/> Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here |
| 2 <input checked="" type="checkbox"/> Married filing jointly (even if only one had income) | |
| 3 <input type="checkbox"/> Married filing separately. Enter spouse's SSN above & full name here. | 5 <input type="checkbox"/> Qualifying widow(er) with dependent child (see instructions) |

Exemptions

If more
than four
dependents,
see instructions
and check here ☐

6a <input checked="" type="checkbox"/> Yourself. If someone can claim you as a dependent, do not check box 6a.				Boxes checked on 6a and 6b
b <input checked="" type="checkbox"/> Spouse				2
c Dependents:		(2) Dependent's social security number	(3) Dependent's relationship to you	(4) <input checked="" type="checkbox"/> if qualifying child for child tax credit (see instrs)
(1) First name	Last name			
d Total number of exemptions claimed				2

Income

Attach Form(s)
W-2 here. Also
attach Forms
W-2G and 1099-R
if tax was withheld.If you did not
get a W-2,
see instructions.Enclose, but do
not attach, any
payment. Also,
please use
Form 1040-V.

7 Wages, salaries, tips, etc. Attach Form(s) W-2	7	135,000.
8a Taxable interest. Attach Schedule B if required	8a	72,455.
b Tax-exempt interest. Do not include on line 8a	8b	99,298.
9a Ordinary dividends. Attach Schedule B if required	9a	162,830.
b Qualified dividends (see instrs)	9b	112,131.
10 Taxable refunds, credits, or offsets of state and local income taxes (see instructions)	10	
11 Alimony received	11	
12 Business income or (loss). Attach Schedule C or C-EZ	12	
13 Capital gain or (loss). Att Sch D if reqd. If not reqd, ck here	13	-3,000.
14 Other gains or (losses). Attach Form 4797	14	
15a IRA distributions	15a	
b Taxable amount (see instrs)	15b	51,754.
16a Pensions and annuities	16a	
b Taxable amount (see instrs)	16b	131,896.
17 Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E	17	
18 Farm income or (loss). Attach Schedule F	18	
19 Unemployment compensation in excess of \$2,400 per recipient (see instructions)	19	
20a Social security benefits	20a	36,092.
b Taxable amount (see instrs)	20b	30,678.
21 Other income SEE STATEMENT 1	21	3,500.
22 Add the amounts in the far right column for lines 7 through 21. This is your total income	22	585,113.

Adjusted
Gross
Income

23 Educator expenses (see instructions)	23	
24 Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25 Health savings account deduction. Attach Form 8889	25	
26 Moving expenses. Attach Form 3903	26	
27 One-half of self-employment tax. Attach Schedule SE	27	247.
28 Self-employed SEP, SIMPLE, and qualified plans	28	
29 Self-employed health insurance deduction (see instructions)	29	
30 Penalty on early withdrawal of savings	30	
31a Alimony paid b Recipient's SSN	31a	
32 IRA deduction (see instructions)	32	
33 Student loan interest deduction (see instructions)	33	
34 Tuition and fees deduction. Attach Form 8917	34	
35 Domestic production activities deduction. Attach Form 8903	35	
36 Add lines 23 - 31a and 32 - 35	36	247.
37 Subtract line 36 from line 22. This is your adjusted gross income	37	584,866.

BAA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see instructions.

FDIA0112L 09/17/09

Form 1040 (2009)

Tax and Credits**Standard Deduction for —**

• People who check any box on line 39a, 39b, or 40b or who can be claimed as a dependent, see instructions.

• All others:

Single or Married filing separately, \$5,700

Married filing jointly or Qualifying widow(er), \$11,400

Head of household, \$8,350

38 Amount from line 37 (adjusted gross income).....

38 584,866.

39a Check ☒ You were born before January 2, 1945, ☐ Blind. Total boxes checked ▶ 39a 2if: ☒ Spouse was born before January 2, 1945, ☐ Blind. ▶ 39b

b If your spouse itemizes on a separate return, or you were a dual-status alien, see instrs and ck here ▶ 39b

40a Itemized deductions (from Schedule A) or your standard deduction (see left margin).....

40a 67,578.

b If you are increasing your standard deduction by certain real estate taxes, new motor vehicle taxes, or a net disaster loss, attach Schedule L and check here (see instructions)..... ▶ 40b

41 Subtract line 40a from line 38.....

41 517,288.

42 Exemptions. If line 38 is \$125,100 or less and you did not provide housing to a Midwestern displaced individual, multiply \$3,650 by the number on line 6d. Otherwise, see instructions.....

42 4,866.

43 Taxable income. Subtract line 42 from line 41.

If line 42 is more than line 41, enter -0-.....

43 512,422.

44 Tax (see instrs). Check if any tax is from: a ☐ Form(s) 8814b ☐ Form 4972.....

44 127,284.

45 Alternative minimum tax (see instructions). Attach Form 6251.....

45 18,063.

46 Add lines 44 and 45..... ▶

46 145,347.

47 Foreign tax credit. Attach Form 1116 if required..... 47 3,573.

48 Credit for child and dependent care expenses. Attach Form 2441.....

48

49 Education credits from Form 8863, line 29.....

49

50 Retirement savings contributions credit. Attach Form 8880.....

50

51 Child tax credit (see instructions).....

51

52 Credits from Form: a ☐ 8396 b ☐ 8839 c ☐ 5695.....

52

53 Other crs from Form: a ☐ 3800 b ☐ 8801 c ☐ 53

54 Add lines 47 through 53. These are your total credits.....

54 3,573.

55 Subtract line 54 from line 46. If line 54 is more than line 46, enter -0-..... ▶

55 141,774.

56 Self-employment tax. Attach Schedule SE.....

56 494.

57 Unreported social security and Medicare tax from Form: a ☐ 4137 b ☐ 8919.....

57

58 Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required.....

58

59 Additional taxes: a ☐ AEIC payments b ☐ Household employment taxes. Attach Schedule H.....

59

60 Add lines 55-59. This is your total tax..... ▶

60 142,268.

Other Taxes**Payments**

61 Federal income tax withheld from Forms W-2 and 1099.....

61 62,542.

62 2009 estimated tax payments and amount applied from 2008 return.....

62 80,000.

63 Making work pay and government retiree credit. Attach Schedule M.....

63

64a Earned income credit (EIC).....

64a

b Nontaxable combat pay election..... ▶ 64b

65 Additional child tax credit. Attach Form 8812.....

65

66 Refundable education credit from Form 8863, line 16.....

66

67 First-time homebuyer credit. Attach Form 5405.....

67

68 Amount paid with request for extension to file (see instructions).....

68

69 Excess social security and tier 1 RRTA tax withheld (see instructions).....

69

70 Credits from Form: a ☐ 2439 b ☐ 4136 c ☐ 8801 d ☐ 8885.....

70

71 Add lns 61-63, 64a, & 65-70. These are your total pmts..... ▶

71 142,542.

72 If line 71 is more than line 60, subtract line 60 from line 71. This is the amount you overpaid.....

72 274.

73a Amount of line 72 you want refunded to you. If Form 8888 is attached, check here ▶ ☐

73a 0.

▶ b Routing number..... ▶ c Type: ☐ Checking ☐ Savings

▶ d Account number.....

74 Amount of line 72 you want applied to your 2010 estimated tax..... ▶

74 274.

75 Amount you owe. Subtract line 71 from line 60. For details on how to pay, see instructions..... ▶

75

76 Estimated tax penalty (see instructions).....

76

Refund

Direct deposit? See instructions and fill in 73b, 73c, and 73d or Form 8888.

Amount You Owe**Third Party Designee**Do you want to allow another person to discuss this return with the IRS (see instructions)?..... ☒ Yes. Complete the following. ☐ No

Designee's name.....

Phone no.....

Personal identification number (PIN) ▶ 84121

Sign Here

Joint return? See instructions.

Keep a copy for your records.

Your signature.....

Date.....

Your occupation.....

Daytime phone number.....

Spouse's signature. If a joint return, both must sign.....

Date.....

Spouse's occupation.....

RETIRE

RETIRE

Preparer's signature.....

Date.....

3/25/10

Check if self-employed ☐

Preparer's SSN or PTIN.....

Paid Preparer's Use Only

Firm's name (or yours if self-employed).....

address, and ZIP code.....

SALT LAKE CITY, UT 84121

EIN.....

Phone.....

SCHEDULE A
(Form 1040)

Department of the Treasury
Internal Revenue Service (99)

Itemized Deductions

▶ **Attach to Form 1040.**

▶ **See Instructions for Schedule A (Form 1040).**

OMB No. 1545-0074

2009

Attachment
Sequence No. **07**

Name(s) shown on Form 1040

Your social security number

Medical and Dental Expenses	Caution. Do not include expenses reimbursed or paid by others.				
	1	Medical and dental expenses (see instructions)	1	14,454.	
	2	Enter amount from Form 1040, line 38. 2	584,866.		
	3	Multiply line 2 by 7.5% (.075)	3	43,865.	
	4	Subtract line 3 from line 1. If line 3 is more than line 1, enter -0-	4	0.	
Taxes You Paid (See instructions.)	5 State and local (check only one box):				
	a	<input checked="" type="checkbox"/> Income taxes, or			
	b	<input type="checkbox"/> General sales taxes	5	33,997.	
	6	Real estate taxes (see instructions)	6	12,277.	
	7	New motor vehicle taxes from line 11 of the worksheet on page 2. Skip this line if you checked box 5b.	7		
	8	Other taxes. List type and amount ▶	8		
	9	Add lines 5 through 8.	9	46,274.	
Interest You Paid	10	Home mtg interest and points reported to you on Form 1098.	10		
	11	Home mortgage interest not reported to you on Form 1098. If paid to the person from whom you bought the home, see instructions and show that person's name, identifying number, and address ▶	11		
Note. Personal interest is not deductible.	12	Points not reported to you on Form 1098. See instrs for spl rules	12		
	13	Qualified mortgage insurance premiums (see instructions)	13		
	14	Investment interest. Attach Form 4952 if required. (See instrs.)	14		
	15	Add lines 10 through 14.	15	0.	
Gifts to Charity If you made a gift and got a benefit for it, see instructions.	16	Gifts by cash or check. If you made any gift of \$250 or more, see instrs.	16	5,801.	
	17	Other than by cash or check. If any gift of \$250 or more, see instructions. You must attach Form 8283 if over \$500.	17	450.	
	18	Carryover from prior year.	18		
	19	Add lines 16 through 18.	19	6,251.	
Casualty and Theft Losses	20	Casualty or theft loss(es). Attach Form 4684. (See instructions.)	20	0.	
Job Expenses and Certain Miscellaneous Deductions (See instructions.)	21	Unreimbursed employee expenses — job travel, union dues, job education, etc. Attach Form 2106 or 2106-EZ if required. (See instructions.) ▶	21		
	22	Tax preparation fees.	22	3,520.	
	23	Other expenses — investment, safe deposit box, etc. List type and amount ▶	23	27,411.	
	24	Add lines 21 through 23.	24	30,931.	
	25	Enter amount from Form 1040, line 38. 25	584,866.		
	26	Multiply line 25 by 2% (.02)	26	11,697.	
	27	Subtract line 26 from line 24. If line 26 is more than line 24, enter -0-	27	19,234.	
	28	Other — from list in the instructions. List type and amount ▶	28	0.	
Total Itemized Deductions	29	Is Form 1040, line 38, over \$166,800 (over \$83,400 if married filing separately)? <input type="checkbox"/> No. Your deduction is not limited. Add the amounts in the far right column for lines 4 through 28. Also, enter this amount on Form 1040, line 40a. <input checked="" type="checkbox"/> Yes. Your deduction may be limited. See instructions for the amount to enter.	REDUCTION -4,181.	29	67,578.
	30	If you elect to itemize deductions even though they are less than your standard deduction, check here ▶ <input type="checkbox"/>			

SCHEDULE B
(Form 1040A or 1040)Department of the Treasury
Internal Revenue Service (99)**Interest and Ordinary Dividends**

▶ Attach to Form 1040A or 1040.

▶ See Instructions.

OMB No. 1545-0074

2009Attachment
Sequence No. **08**

Name(s) shown on return

Your social security number

Part I
Interest(See
instructions for
Form 1040A,
or Form 1040,
line 8a.)

Note. If you
received a Form
1099-INT, Form
1099-OID, or
substitute statement
from a brokerage
firm, list the firm's
name as the payer
and enter the total
interest shown on
that form.

- 1 List name of payer. If any interest is from a seller-financed mortgage and the buyer used the property as a personal residence, see the instructions and list this interest first. Also, show that buyer's social security number and address.

SEE STATEMENT 3**Amount****1**

- 2 Add the amounts on line 1. **2** 72,455.
- 3 Excludable interest on series EE and I U.S. savings bonds issued after 1989. Attach Form 8815 **3**
- 4 Subtract line 3 from line 2. Enter the result here and on Form 1040A, or Form 1040, line 8a. **4** 72,455.

Note. If line 4 is over \$1,500, you must complete Part III.**Part II**
Ordinary Dividends(See
instructions for
Form 1040A, or
Form 1040,
line 9a.)

Note. If you
received a Form
1099-DIV or
substitute statement
from a brokerage
firm, list the firm's
name as the payer
and enter the
ordinary dividends
shown on that form.

- 5 List name of payer.

CHARLES SCHWABSEI TRUSTSEI TRUSTWASATCH EMERGING MKT SMALL CAP FDWELLS FARGOWELLS FARGOWELLS FARGO & COMPANYWELLS FARGO & COMPANYWELLS FARGO & COMPANY**Amount****5**

- 6 Add the amounts on line 5. Enter the total here and on Form 1040A, or Form 1040, line 9a. **6** 162,830.

Note. If line 6 is over \$1,500, you must complete Part III.**Part III**
Foreign Accounts and Trusts(See
instructions.)

You must complete this part if you (a) had over \$1,500 of taxable interest or ordinary dividends; (b) had a foreign account; or (c) received a distribution from, or were a grantor of, or a transferor to, a foreign trust.

Yes No

- 7a At any time during 2009, did you have an interest in or a signature or other authority over a financial account in a foreign country, such as a bank account, securities account, or other financial account? See instructions for exceptions and filing requirements for Form TD F 90-22.1.

X

- b If 'Yes,' enter the name of the foreign country.

- 8 During 2009, did you receive a distribution from, or were you the grantor of, or transferor to, a foreign trust? If 'Yes,' you may have to file Form 3520. See instructions.

X

SCHEDULE D
(Form 1040)

Department of the Treasury
Internal Revenue Service (99)

Capital Gains and Losses

▶ Attach to Form 1040 or Form 1040NR. ▶ See Instructions for Schedule D (Form 1040).
▶ Use Schedule D-1 to list additional transactions for lines 1 and 8.

OMB No. 1545-0074

2009

Attachment
Sequence No. 12

Name(s) shown on return

Your social security number

Part I Short-Term Capital Gains and Losses – Assets Held One Year or Less

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold (Mo, day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1					
20000 EURO	12/09/09	12/15/09	29,060.	29,490.	-430.
20000 AUS DOLLAR	12/14/09	12/30/09	24,719.	25,062.	-343.
5263.16 WELLS FARGO ULTRA S/T	4/07/09	11/24/09	25,316.	25,000.	316.
500 BARRICK GOLD CORP	11/27/09	12/11/09	19,987.	21,567.	-1,580.
5 CALL BARRICK GOLD CORP	11/27/09	12/15/09	1,127.	733.	394.
2 Enter your short-term totals, if any, from Schedule D-1, line 2...	2		1,834,347.		70,478.
3 Total short-term sales price amounts. Add lines 1 and 2 in column (d)	3		1,934,556.		
4 Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824	4				
5 Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	5				
6 Short-term capital loss carryover. Enter the amount, if any, from line 10 of your Capital Loss Carryover Worksheet in the instructions	6				-261,552.
7 Net short-term capital gain or (loss). Combine lines 1 through 6 in column (f)	7				-192,717.

Part II Long-Term Capital Gains and Losses – Assets Held More Than One Year

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold (Mo, day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
8					
ENRON RECOVERY	VARIOUS	12/15/09	1,086.	0.	1,086.
CARDINAL HEALTH RECOVERY	VARIOUS	9/01/09	743.	0.	743.
DEUTCHE INVESTMENT RECOVERY	VARIOUS	7/17/09	882.	0.	882.
1 COBBLE COVE LOT #18	5/10/94	10/01/09	36,000.	36,100.	-100.
50000 LANSING MICH CMNTY	2/19/02	5/01/09	50,000.	50,000.	0.
9 Enter your long-term totals, if any, from Schedule D-1, line 9...	9		1,033,977.		-64,979.
10 Total long-term sales price amounts. Add lines 8 and 9 in column (d)	10		1,122,688.		
11 Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824	11				
12 Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	12				
13 Capital gain distributions. See instrs.	13				1,466.
14 Long-term capital loss carryover. Enter the amount, if any, from line 15 of your Capital Loss Carryover Worksheet in the instructions	14				-159,225.
15 Net long-term capital gain or (loss). Combine lines 8 through 14 in column (f). Then go to Part III on page 2	15				-220,127.

BAA For Paperwork Reduction Act Notice, see Form 1040 or Form 1040NR instructions.

Schedule D (Form 1040) 2009

Part III Summary

16 Combine lines 7 and 15 and enter the result.....

16**-412,844.**

If line 16 is:

- A **gain**, enter the amount from line 16 on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 17 below.
- A **loss**, skip lines 17 through 20 below. Then go to line 21. Also be sure to complete line 22.
- **Zero**, skip lines 17 through 21 below and enter -0- on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 22.

17 Are lines 15 and 16 **both** gains?☐ **Yes.** Go to line 18.☐ **No.** Skip lines 18 through 21, and go to line 22.18 Enter the amount, if any, from line 7 of the **28% Rate Gain Worksheet** in the instructions.....**18**19 Enter the amount, if any, from line 18 of the **Unrecaptured Section 1250 Gain Worksheet** in the instructions.....**19**20 Are lines 18 and 19 **both** zero or blank?☐ **Yes.** Complete Form 1040 through line 43, or Form 1040NR through line 40. Then complete the **Qualified Dividends and Capital Gain Tax Worksheet** in the Instructions for Form 1040 (or in the Instructions for Form 1040NR). **Do not** complete lines 21 and 22 below.☐ **No.** Complete Form 1040 through line 43, or Form 1040NR through line 40. Then complete the **Schedule D Tax Worksheet** in the instructions. **Do not** complete lines 21 and 22 below.21 If line 16 is a loss, enter here and on Form 1040, line 13, or Form 1040NR, line 14, the **smaller** of:

- The loss on line 16 or
- (\$3,000), or if married filing separately, (\$1,500)

21**-3,000.****Note.** When figuring which amount is smaller, treat both amounts as positive numbers.

22 Do you have qualified dividends on Form 1040, line 9b, or Form 1040NR, line 10b?

☒ **Yes.** Complete Form 1040 through line 43, or Form 1040NR through line 40. Then complete the **Qualified Dividends and Capital Gain Tax Worksheet** in the Instructions for Form 1040 (or in the Instructions for Form 1040NR).☐ **No.** Complete the rest of Form 1040 or Form 1040NR.

Schedule D (Form 1040) 2009

SCHEDULE D-1
(Form 1040)Department of the Treasury
Internal Revenue Service (99)**Continuation Sheet for Schedule D (Form 1040)**▶ See instructions for Schedule D (Form 1040).
▶ Attach to Schedule D to list additional transactions for lines 1 and 8.

OMB No. 1545-0074

2009Attachment
Sequence No. **12A**

Name(s) shown on return

Your social security number

Part I Short-Term Capital Gains and Losses — Assets Held One Year or Less

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold (Mo, day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1					
5 CALL HESS CORP	5/20/09	8/22/09	920.	0.	920.
1.2315 KINDER MORGAN MGMT LLC					
VARIOUS	VARIOUS	VARIOUS	57.	0.	57.
5 CALL APACHE CORP	12/31/08	4/18/09	1,477.	0.	1,477.
5 CALL APACHE CORP	5/20/09	7/18/09	797.	0.	797.
5 CALL HESS CORP	3/24/09	5/16/09	765.	0.	765.
5 CALL HESS CORP	2/10/09	3/21/09	772.	0.	772.
5 CALL HESS CORP	12/31/08	2/10/09	1,083.	572.	511.
10 CALL WELLS FARGO					
	12/31/08	4/18/09	1,652.	0.	1,652.
7 CALL WELLS FARGO	3/11/09	5/19/09	735.	4,973.	-4,238.
3 CALL WELLS FARGO	3/11/09	5/19/09	315.	2,120.	-1,805.
10 CALL WELLS FARGO					
	5/19/09	10/17/09	1,174.	0.	1,174.
1000 BANK OF AMERICA CORP					
	2/11/09	8/21/09	13,613.	6,207.	7,406.
5 CALL EXXON MOBIL CORP					
	12/31/08	4/18/09	663.	0.	663.
3 CALL PETROCHINA CO					
	5/19/09	9/08/09	2,567.	1,511.	1,056.
3 CALL PETROCHINA CO					
	12/31/08	3/21/09	627.	0.	627.
3 CALL PETROCHINA CO					
	9/08/09	11/10/09	1,752.	3,186.	-1,434.
3 CALL PETROCHINA CO					
	3/25/09	5/19/09	514.	3,053.	-2,539.
8 CALL THE HERSHEY CO					
	12/31/08	5/16/09	955.	0.	955.
5 CALL TRANSOCEAN LTD					
	10/12/09	11/10/09	2,296.	1,517.	779.
5 CALL TRANSOCEAN LTD					
	4/01/09	8/03/09	1,100.	2,402.	-1,302.
5 CALL TRANSOCEAN LTD					
	8/03/09	10/12/09	1,903.	2,402.	-499.
7 CALL UNION PACIFIC					
	4/01/09	8/03/09	893.	3,634.	-2,741.
10 CALL BANK AMER CORP					
	12/11/09	3/21/09	780.	0.	780.
5 CALL BP PLC					
	5/19/09	10/12/09	1,033.	1,487.	-454.
2 Totals. Add the amounts in column (d). Also, combine the amounts in column (f). Enter here and on Schedule D, line 2 ▶			38,443.		5,379.

BAA For Paperwork Reduction Act Notice, see Form 1040 or Form 1040NR instructions. FDIA0656L 06/25/09 Schedule D-1 (Form 1040) 2009

SCHEDULE D-1
(Form 1040)Department of the Treasury
Internal Revenue Service (99)**Continuation Sheet for Schedule D (Form 1040)**▶ See instructions for Schedule D (Form 1040).
▶ Attach to Schedule D to list additional transactions for lines 1 and 8.

OMB No. 1545-0074

2009Attachment
Sequence No. **12A**

Name(s) shown on return

Your social security number

Part I Short-Term Capital Gains and Losses — Assets Held One Year or Less

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold (Mo, day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1					
5 CALL BP PLC	3/11/09	5/19/09	541.	1,960.	-1,419.
8 CALL CHEVRON CORP	4/01/09	6/20/09	827.	0.	827.
5 CALL EXXON MOBIL CORP	3/11/09	7/18/09	441.	0.	441.
6 CALL HEWLITT PACKARD	4/01/09	8/03/09	674.	2,390.	-1,716.
10 CALL JLW	3/24/09	5/19/09	834.	1,721.	-887.
4 CALL LOCKHEED MARTIN	2/10/09	6/20/09	1,296.	0.	1,296.
10 CALL PETROLEO BASILERO	12/31/08	2/10/09	1,790.	3,618.	-1,828.
10 CALL PETROLEO BASILERO	2/10/09	5/19/09	1,823.	3,354.	-1,531.
10 CALL PETROLEO BASILERO	5/19/09	10/12/09	1,322.	298.	1,024.
7 CALL UNION PACIFIC	8/03/09	11/11/09	2,951.	2,571.	380.
10 CALL US BANCORP NEW	5/19/09	9/08/09	2,029.	1,629.	400.
10 CALL US BANCORP NEW	1/02/09	5/30/09	1,297.	0.	1,297.
10 CALL US BANCORP NEW	3/11/09	5/19/09	824.	2,463.	-1,639.
33772.87 GLOBAL MANAGED VOL FUND VARIOUS	4/13/09		232,120.	262,425.	-30,305.
23106.285 GLOBAL MANAGED VOL FUND	5/18/09	11/19/09	179,767.	163,130.	16,637.
25278.81 HIGH YIELD BOND FUND VARIOUS	5/18/09		136,000.	125,426.	10,574.
13969.186 HIGH YIELD BOND FUND VARIOUS	11/19/09		94,013.	69,653.	24,360.
34466.77 INTERNATIONAL EQUITY FUND VARIOUS	5/18/09		223,000.	210,225.	12,775.
512.493 INTL FIXED INCOME FUND	12/26/08	5/18/09	4,833.	4,705.	128.
2519.255 TAX-ADVANTAGED INCOME FUND VARIOUS	4/13/09		18,416.	18,370.	46.
238.102 TAX-MANAGED VOL FUND	10/02/09	11/19/09	1,983.	1,888.	95.
33734.327 GLOBAL MANAGED VOL FUND VARIOUS	4/13/09		231,755.	262,122.	-30,367.
23117.834 GLOBAL MANAGED VOL FUND	5/18/09	11/19/09	179,857.	163,212.	16,645.
25278.811 HIGH YIELD BOND FUND VARIOUS	5/18/09		136,000.	125,424.	10,576.
2 Totals. Add the amounts in column (d). Also, combine the amounts in column (f). Enter here and on Schedule D, line 2 ▶			2 1,454,393.		27,809.

Name(s) shown on return. Do not enter name and social security number if shown on page 1.

Your social security number

Part II Long-Term Capital Gains and Losses – Assets Held More Than One Year

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold (Mo, day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
8 50000 LEBANON IND MIDDLE	2/22/07	7/10/09	50,000.	50,000.	0.
50000 SANDY CITY UTAH	9/14/05	12/15/09	50,000.	50,000.	0.
.1853 KINDER MORGAN MGMT LLC	3/31/08	8/14/09	9.	0.	9.
1500 NORBORD INCORPORATED	12/18/07	10/14/09	2,155.	11,898.	-9,743.
1000 WELLS FARGO COMPANY	12/28/93	10/16/09	29,241.	10,902.	18,339.
.248 ENBRIDGE INC	1/21/04	12/14/09	11.	5.	6.
4010 GENERAL ELECTRIC CO	VARIOUS	11/23/09	63,248.	44,624.	18,624.
415 HEWLITT-PACKARD COMPANY	5/10/02	11/20/09	19,164.	8,124.	11,040.
185 HEWLITT-PACKARD COMPANY	6/28/02	11/20/09	8,543.	2,790.	5,753.
1000 VALERO ENERGY CORP NEW	12/30/04	10/14/09	18,533.	22,648.	-4,115.
2696.494 EMERGING MARKETS DEBT FUND	VARIOUS	4/13/09	22,300.	29,351.	-7,051.
2654.615 INTERMEDIATE-TERM MUNI FUND	VARIOUS	4/13/09	27,900.	29,440.	-1,540.
4450.567 INTL FIXED INCOME FUND	VARIOUS	5/18/09	41,969.	44,340.	-2,371.
6162.315 REAL ESTATE FUND	VARIOUS	11/19/09	57,987.	80,442.	-22,455.
14398.598 TAX-ADVANTAGED INCOME FUND	VARIOUS	4/13/09	105,254.	137,752.	-32,498.
16945.458 TAX-MANAGED MANAGED VOL FUND	11/05/08	11/19/09	141,156.	127,599.	13,557.
2696.493 EMERGING MKTS DEBT FUND	VARIOUS	4/13/09	22,300.	29,351.	-7,051.
2654.615 INTERMEDIATE-TERM MUNI FUND	3/05/01	4/13/09	27,900.	29,440.	-1,540.
4444.7777 INTL FIXED INCOME FUND	VARIOUS	5/18/09	41,914.	44,280.	-2,366.
6181.724 REAL ESTATE FUND	VARIOUS	11/19/09	58,170.	80,791.	-22,621.
14396.973 TAX-ADVANTAGED INCOME FUND	VARIOUS	4/13/09	105,242.	137,737.	-32,495.
16924.539 TAX-MANAGED MANAGED VOL FUND	11/05/08	11/19/09	140,981.	127,442.	13,539.
9 Totals. Add the amounts in column (d). Also, combine the amounts in column (f). Enter here and on Schedule D, line 9 ▶			1,033,977.		-64,979.

Name(s) shown on return. Do not enter name and social security number if shown on Page 1.

Your social security number

Caution: The IRS compares amounts reported on your tax return with amounts shown on Schedule(s) K-1.**Part II Income or Loss From Partnerships and S Corporations****Note.** If you report a loss from an at-risk activity for which any amount is not at risk, you must check the box in column (e) on line 28 and attach Form 6198. See instructions.

- 27 Are you reporting any loss not allowed in a prior year due to the at-risk or basis limitations, a prior year unallowed loss from a passive activity (if that loss was not reported on Form 8582), or unreimbursed partnership expenses? ... ☐ Yes ☒ No
- If you answered 'Yes,' see instructions before completing this section.

28	(a) Name	(b) Enter P for partnership; S for S corporation	(c) Check if foreign partnership	(d) Employer identification number	(e) Check if any amount is not at risk
A	COMPASS DIVERSIFIED HOLDINGS	P			
B					
C					
D					

Passive Income and Loss		Nonpassive Income and Loss		
(f) Passive loss allowed (attach Form 8582 if required)	(g) Passive income from Schedule K-1	(h) Nonpassive loss from Schedule K-1	(i) Section 179 expense deduction from Form 4562	(j) Nonpassive income from Schedule K-1
A	PTP			
B				
C				
D				
29a Totals.....				
b Totals.....				
30 Add columns (g) and (i) of line 29a.....		30		
31 Add columns (f), (h), and (j) of line 29b.....		31		
32 Total partnership and S corporation income or (loss). Combine lines 30 and 31. Enter the result here and include in the total on line 41 below.....		32		

Part III Income or Loss From Estates and Trusts

33	(a) Name	(b) Employer ID no.
A		
B		

Passive Income and Loss		Nonpassive Income and Loss	
(c) Passive deduction or loss allowed (attach Form 8582 if required)	(d) Passive income from Schedule K-1	(e) Deduction or loss from Schedule K-1	(f) Other income from Schedule K-1
A			
B			
34a Totals.....			
b Totals.....			
35 Add columns (d) and (f) of line 34a.....		35	
36 Add columns (c) and (e) of line 34b.....		36	
37 Total estate and trust income or (loss). Combine lines 35 and 36. Enter the result here and include in the total on line 41 below.....		37	

Part IV Income or Loss From Real Estate Mortgage Investment Conduits (REMICs) – Residual Holder

38	(a) Name	(b) Employer identification number	(c) Excess inclusion from Schedules Q, line 2c (see instructions)	(d) Taxable income (net loss) from Schedules Q, line 1b	(e) Income from Schedules Q, line 3b
39	Combine columns (d) and (e) only. Enter the result here and include in the total on line 41 below.....				39

Part V Summary

40	Net farm rental income or (loss) from Form 4835. Also, complete line 42 below.....	40	
41	Total income or (loss). Combine lines 26, 32, 37, 39, and 40. Enter the result here and on Form 1040, line 17, or Form 1040NR, line 18.....	41	
42	Reconciliation of farming and fishing income. Enter your gross farming and fishing income reported on Form 4835, line 7; Schedule K-1 (Form 1065), box 14, code B; Schedule K-1 (Form 1120S), box 17, code U; and Schedule K-1 (Form 1041), line 14, code F (see instructions).....	42	
43	Reconciliation for real estate professionals. If you were a real estate professional (see instructions), enter the net income or (loss) you reported anywhere on Form 1040 or Form 1040NR from all rental real estate activities in which you materially participated under the passive activity loss rules.....	43	

SCHEDULE SE
(Form 1040)

Department of the Treasury
Internal Revenue Service

Self-Employment Tax

► **Attach to Form 1040.** ► **See Instructions for Schedule SE (Form 1040).**

OMB No. 1545-0074

2009

Attachment
Sequence No. **17**

Name of person with self-employment income (as shown on Form 1040)

Social security number of person
with self-employment income ►

Who Must File Schedule SE

You must file Schedule SE if:

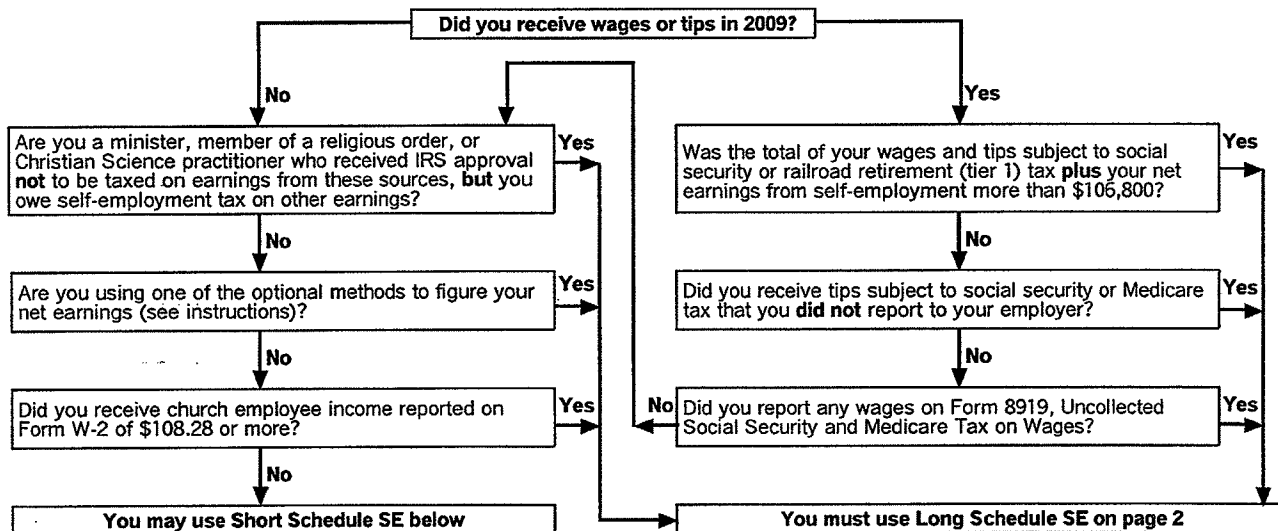
- You had net earnings from self-employment from **other than** church employee income (line 4 of Short Schedule SE or line 4c of Long Schedule SE) of \$400 or more, **or**
- You had church employee income of \$108.28 or more. Income from services you performed as a minister or a member of a religious order is **not** church employee income (see instructions).

Note. Even if you had a loss or a small amount of income from self-employment, it may be to your benefit to file Schedule SE and use either 'optional method' in Part II of Long Schedule SE (see instructions).

Exception. If your only self-employment income was from earnings as a minister, member of a religious order, or Christian Science practitioner and you filed Form 4361 and received IRS approval not to be taxed on those earnings, **do not** file Schedule SE. Instead, write 'Exempt - Form 4361' on Form 1040, line 56.

May I Use Short Schedule SE or Must I Use Long Schedule SE?

Note. Use this flowchart **only** if you must file Schedule SE. If unsure, see *Who Must File Schedule SE*, above.



Section A – Short Schedule SE. Caution. Read above to see if you can use Short Schedule SE.

1a Net farm profit or (loss) from Schedule F, line 36, and farm partnerships, Schedule K-1 (Form 1065), box 14, code A.....	1 a	
b If you received social security retirement or disability benefits, enter the amount of Conservation Reserve Program payments included on Schedule F, line 6b, or listed on Schedule K-1 (Form 1065), box 20, code Y.....	1 b	
2 Net profit or (loss) from Schedule C, line 31; Schedule C-EZ, line 3; Schedule K-1 (Form 1065), box 14, code A (other than farming); and Schedule K-1 (Form 1065-B), box 9, code J1. Ministers and members of religious orders, see instrs for types of income to report on this line. See instrs for other income to report.....	2	3,500.
3 Combine lns 1a, 1b & 2.....	3	3,500.
4 Net earnings from self-employment. Multiply line 3 by 92.35% (.9235). If less than \$400, do not file this schedule; you do not owe self-employment tax.....	4	3,232.
5 Self-employment tax. If the amount on line 4 is: • \$106,800 or less, multiply line 4 by 15.3% (.153). Enter the result here and on Form 1040, line 56. • More than \$106,800, multiply line 4 by 2.9% (.029). Then, add \$13,243.20 to the result. Enter the total here and on Form 1040, line 56.	5	494.
6 Deduction for one-half of self-employment tax. Multiply line 5 by 50% (.5). Enter the result here and on Form 1040, line 27.	6	247.

BAA For Paperwork Reduction Act Notice, see Form 1040 instructions.

FDIA1101L 10/21/09

Schedule **SE** (Form 1040) 2009

Form **1116**Department of the Treasury
Internal Revenue Service (99)**Foreign Tax Credit**(Individual, Estate, or Trust)
▶ Attach to Form 1040, 1040NR, 1041, or 990-T.
▶ See separate instructions.

OMB No. 1545-0121

2009Attachment
Sequence No. **19**

Name

ID no. as shown on page 1 of your tax return

Use a separate Form 1116 for each category of income listed below. See **Categories of Income** in the instructions. Check only one box on each Form 1116. Report all amounts in U.S. dollars except where specified in Part II below.

- a ☒ Passive category income c ☐ Section 901(j) income e ☐ Lump-sum distributions
 b ☐ General category income d ☐ Certain income re-sourced by treaty

f Resident of (name of country) ▶

Note: If you paid taxes to only one foreign country or U.S. possession, use column A in Part I and line A in Part II. If you paid taxes to more than one foreign country or U.S. possession, use a separate column and line for each country or possession.**Part I Taxable Income or Loss From Sources Outside the United States (for Category Checked Above)**

	Foreign Country or U.S. Possession			Total (Add columns A, B, and C.)
	A	B	C	
g Enter the name of the foreign country or U.S. possession. ▶ VARIOUS				
1a Gross income from sources within country shown above and of the type checked above (see instructions): SEE STATEMENT 4				
	94,462.			1a 94,462.
b Check if line 1a is compensation for personal services as an employee, your total compensation from all sources is \$250,000 or more, and you used an alternative basis to determine its source (see instructions). ▶ <input type="checkbox"/>				
Deductions and losses (Caution: See instructions):				
2 Expenses definitely related to the income on line 1a (attach statement).....				
3 Pro rata share of other deductions not definitely related:				
a Certain itemized deductions or standard deduction (see instructions).....	4,914.			
b Other deductions (attach statement).....				
c Add lines 3a and 3b.....	4,914.			
d Gross foreign source income (see instructions).....	94,462.			
e Gross income from all sources (see instructions).....	588,113.			
f Divide line 3d by line 3e (see instructions).....	0.160619			
g Multiply line 3c by line 3f.....	789.			
4 Pro rata share of interest expense (see instructions):				
a Home mortgage interest (use worksheet in the instructions).....				
b Other interest expense.....				
5 Losses from foreign sources.....				
6 Add lines 2, 3g, 4a, 4b, and 5.....	789.			6 789.
7 Subtract line 6 from line 1a. Enter the result here and on line 14, page 2..... ▶				7 93,673.

Part II Foreign Taxes Paid or Accrued (see instructions)

COUNTRY	Credit is claimed for taxes (you must check one) (h) <input checked="" type="checkbox"/> Paid (i) <input type="checkbox"/> Accrued	Foreign taxes paid or accrued									
		In foreign currency				In U.S. dollars					
		Taxes withheld at source on:				(n) Other foreign taxes paid or accrued	Taxes withheld at source on:			(r) Other foreign taxes paid or accrued	(s) Total foreign taxes paid or accrued (add columns (o) through (r))
		(j) Date paid or accrued	(k) Dividends	(l) Rents & royalties	(m) Interest		(o) Dividends	(p) Rents & royalties	(q) Interest		
A						3,573.					3,573.
B											
C											

8 Add lines A through C, column (s). Enter the total here and on line 9, page 2..... ▶ 8 3,573.

BAA For Paperwork Reduction Act Notice, see separate instructions.

Form 1116 (2009)

Part III Figuring the Credit

9	Enter the amount from line 8. These are your total foreign taxes paid or accrued for the category of income checked above Part I.	9	3,573.	
10	Carryback or carryover (attach detailed computation).	10		
11	Add lines 9 and 10.	11	3,573.	
12	Reduction in foreign taxes (see instructions).	12		
13	Subtract line 12 from line 11. This is the total amount of foreign taxes available for credit (see instructions).	13		3,573.
14	Enter amount from line 7. This is your taxable income or (loss) from sources outside the United States (before adjustments) for the category of income checked above Part I (see instructions).	14	93,673.	
15	Adjustments to line 14 (see instructions).	15		
16	Combine the amounts on lines 14 and 15. This is your net foreign source taxable income. (If the result is zero or less, you have no foreign tax credit for the category of income you checked above Part I. Skip lines 17 through 21. However, if you are filing more than one Form 1116, you must complete line 19.)	16	93,673.	
17	Individuals: Enter the amount from Form 1040, line 41 (minus any amount on Form 8914, line 6). If you are a nonresident alien, enter the amount from Form 1040NR, line 38 (minus any amount on Form 8914, line 6). Estates and trusts: Enter your taxable income without the deduction for your exemption.	17	453,216.	
Caution: If you figured your tax using the lower rates on qualified dividends or capital gains, see instructions.				
18	Divide line 16 by line 17. If line 16 is more than line 17, enter '1'.	18		0.2067
19	Individuals: Enter the amount from Form 1040, line 44. If you are a nonresident alien, enter the amount from Form 1040NR, line 41. Estates and trusts: Enter the amount from Form 1041, Schedule G, line 1a, or the total of Form 990-T, lines 36 and 37.	19		127,284.
Caution: If you are completing line 19 for separate category e (lump-sum distributions), see instructions.				
20	Multiply line 19 by line 18 (maximum amount of credit).	20		26,310.
21	Enter the smaller of line 13 or line 20. If this is the only Form 1116 you are filing, skip lines 22 through 26 and enter this amount on line 27. Otherwise, complete the appropriate line in Part IV (see instructions).	21		3,573.

Part IV Summary of Credits From Separate Parts III (see instructions)

22	Credit for taxes on passive category income.	22		
23	Credit for taxes on general category income.	23		
24	Credit for taxes on certain income re-sourced by treaty.	24		
25	Credit for taxes on lump-sum distributions.	25		
26	Add lines 22 through 25.	26		
27	Enter the smaller of line 19 or line 26.	27		3,573.
28	Reduction of credit for international boycott operations. See instructions for line 12.	28		
29	Subtract line 28 from line 27. This is your foreign tax credit . Enter here and on Form 1040, line 47; Form 1040NR, line 44; Form 1041, Schedule G, line 2a; or Form 990-T, line 40a.	29		3,573.

Form 1116 (2009)

Form 1116

ALTERNATIVE MINIMUM TAX

Foreign Tax Credit

(Individual, Estate, or Trust)

▶ Attach to Form 1040, 1040NR, 1041, or 990-T.
▶ See separate instructions.

OMB No. 1545-0121

2009

Attachment
Sequence No. 19Department of the Treasury
Internal Revenue Service (99)

Name

1 of your tax return

Use a separate Form 1116 for each category of income listed below. See **Categories of Income** in the instructions. Check only one box on each Form 1116. Report all amounts in U.S. dollars except where specified in Part II below.

- a ☒ Passive category income c ☐ Section 901(j) income e ☐ Lump-sum distributions
b ☐ General category income d ☐ Certain income re-sourced by treaty

f Resident of (name of country) ▶

Note: If you paid taxes to only one foreign country or U.S. possession, use column A in Part I and line A in Part II. If you paid taxes to more than one foreign country or U.S. possession, use a separate column and line for each country or possession.

Part I Taxable Income or Loss From Sources Outside the United States (for Category Checked Above)

	Foreign Country or U.S. Possession			Total (Add columns A, B, and C.)
	A	B	C	
g Enter the name of the foreign country or U.S. possession. ▶	VARIOUS			
1a Gross income from sources within country shown above and of the type checked above (see instructions): SEE STATEMENT 5				
	105,353.			1a 105,353.
b Check if line 1a is compensation for personal services as an employee, your total compensation from all sources is \$250,000 or more, and you used an alternative basis to determine its source (see instructions). ▶ <input type="checkbox"/>				
Deductions and losses (Caution: See instructions):				
2 Expenses definitely related to the income on line 1a (attach statement).....				
3 Pro rata share of other deductions not definitely related:				
a Certain itemized deductions or standard deduction (see instructions).....				
b Other deductions (attach statement).....				
c Add lines 3a and 3b.....				
d Gross foreign source income (see instructions).....	105,353.			
e Gross income from all sources (see instructions).....	588,113.			
f Divide line 3d by line 3e (see instructions).....	0.179137			
g Multiply line 3c by line 3f.....				
4 Pro rata share of interest expense (see instructions):				
a Home mortgage interest (use worksheet in the instructions).....				
b Other interest expense.....				
5 Losses from foreign sources.....				
6 Add lines 2, 3g, 4a, 4b, and 5.....				6
7 Subtract line 6 from line 1a. Enter the result here and on line 14, page 2..... ▶				7 105,353.

Part II Foreign Taxes Paid or Accrued (see instructions)

COUNTRY	Credit is claimed for taxes (you must check one) (h) <input checked="" type="checkbox"/> Paid (i) <input type="checkbox"/> Accrued	Foreign taxes paid or accrued								
		In foreign currency				In U.S. dollars				
		Taxes withheld at source on:				Taxes withheld at source on:				
		(j) Date paid or accrued	(k) Dividends	(l) Rents & royalties	(m) Interest	(n) Other foreign taxes paid or accrued	(o) Dividends	(p) Rents & royalties	(q) Interest	(r) Other foreign taxes paid or accrued
A						3,573.				3,573.
B										
C										

8 Add lines A through C, column (s). Enter the total here and on line 9, page 2..... ▶ 8 3,573.

BAA For Paperwork Reduction Act Notice, see separate instructions.

Form 1116 (2009)

ALTERNATIVE MINIMUM TAX

Form 1116 (2009)

Page 2

Part III Figuring the Credit

9	Enter the amount from line 8. These are your total foreign taxes paid or accrued for the category of income checked above Part I.	9	3,573.	
10	Carryback or carryover (attach detailed computation).	10		
11	Add lines 9 and 10.	11	3,573.	
12	Reduction in foreign taxes (see instructions).	12		
13	Subtract line 12 from line 11. This is the total amount of foreign taxes available for credit (see instructions).	13		3,573.
14	Enter amount from line 7. This is your taxable income or (loss) from sources outside the United States (before adjustments) for the category of income checked above Part I (see instructions).	14	105,353.	
15	Adjustments to line 14 (see instructions).	15		
16	Combine the amounts on lines 14 and 15. This is your net foreign source taxable income. (If the result is zero or less, you have no foreign tax credit for the category of income you checked above Part I. Skip lines 17 through 21. However, if you are filing more than one Form 1116, you must complete line 19.)	16	105,353.	
17	Individuals: Enter the amount from Form 1040, line 41 (minus any amount on Form 8914, line 6). If you are a nonresident alien, enter the amount from Form 1040NR, line 38 (minus any amount on Form 8914, line 6). Estates and trusts: Enter your taxable income without the deduction for your exemption.	17	531,594.	
Caution: If you figured your tax using the lower rates on qualified dividends or capital gains, see instructions.				
18	Divide line 16 by line 17. If line 16 is more than line 17, enter '1'.	18		0.1982
19	Individuals: Enter the amount from Form 1040, line 44. If you are a nonresident alien, enter the amount from Form 1040NR, line 41.			
	Estates and trusts: Enter the amount from Form 1041, Schedule G, line 1a, or the total of Form 990-T, lines 36 and 37.	19		145,347.
Caution: If you are completing line 19 for separate category e (lump-sum distributions), see instructions.				
20	Multiply line 19 by line 18 (maximum amount of credit).	20		28,808.
21	Enter the smaller of line 13 or line 20. If this is the only Form 1116 you are filing, skip lines 22 through 26 and enter this amount on line 27. Otherwise, complete the appropriate line in Part IV (see instructions).	21		3,573.

Part IV Summary of Credits From Separate Parts III (see instructions)

22	Credit for taxes on passive category income.	22		
23	Credit for taxes on general category income.	23		
24	Credit for taxes on certain income re-sourced by treaty.	24		
25	Credit for taxes on lump-sum distributions.	25		
26	Add lines 22 through 25.	26		
27	Enter the smaller of line 19 or line 26.	27		3,573.
28	Reduction of credit for international boycott operations. See instructions for line 12.	28		
29	Subtract line 28 from line 27. This is your foreign tax credit . Enter here and on Form 1040, line 47; Form 1040NR, line 44; Form 1041, Schedule G, line 2a; or Form 990-T, line 40a.	29		3,573.

Form 1116 (2009)

Alternative Minimum Tax — Individuals

► See separate instructions.
► Attach to Form 1040 or Form 1040NR.

OMB No. 1545-0074

2009Attachment
Sequence No. **32**

Name(s) shown on Form 1040 or Form 1040NR

Your social security number

Part I Alternative Minimum Taxable Income (See instructions for how to complete each line.)

1	If filing Schedule A (Form 1040), enter the amount from Form 1040, line 41 (minus any amount on Form 8914, line 6), and go to line 2. Otherwise, enter the amount from Form 1040, line 38 (minus any amount on Form 8914, line 6), and go to line 7. (If less than zero, enter as a negative amount.)	1	517,288.
2	Medical and dental. Enter the smaller of Schedule A (Form 1040), line 4 or 2.5% (.025) of Form 1040, line 38. If zero or less, enter -0-	2	
3	Taxes from Schedule A (Form 1040), lines 5, 6, and 8	3	46,274.
4	Enter the home mortgage interest adjustment, if any, from line 6 of the worksheet in the instructions	4	
5	Miscellaneous deductions from Schedule A (Form 1040), line 27	5	19,234.
6	If Form 1040, line 38, is over \$166,800 (over \$83,400 if married filing separately), enter the amount from line 11 of the Itemized Deductions Worksheet in the Instructions for Schedule A (Form 1040)	6	-4,181.
7	If filing Schedule L (Form 1040A or 1040), enter as a negative amount the sum of lines 6 and 20 from that schedule	7	
8	Tax refund from Form 1040, line 10 or line 21	8	
9	Investment interest expense (difference between regular tax and AMT)	9	
10	Depletion (difference between regular tax and AMT)	10	
11	Net operating loss deduction from Form 1040, line 21. Enter as a positive amount	11	
12	Alternative tax net operating loss deduction	12	
13	Interest from specified private activity bonds exempt from the regular tax	13	5,041.
14	Qualified small business stock (7% of gain excluded under section 1202)	14	
15	Exercise of incentive stock options (excess of AMT income over regular tax income)	15	
16	Estates and trusts (amount from Schedule K-1 (Form 1041), box 12, code A)	16	
17	Electing large partnerships (amount from Schedule K-1 (Form 1065-B), box 6)	17	
18	Disposition of property (difference between AMT and regular tax gain or loss)	18	
19	Depreciation on assets placed in service after 1986 (difference between regular tax and AMT)	19	
20	Passive activities (difference between AMT and regular tax income or loss)	20	
21	Loss limitations (difference between AMT and regular tax income or loss)	21	
22	Circulation costs (difference between regular tax and AMT)	22	
23	Long-term contracts (difference between AMT and regular tax income)	23	
24	Mining costs (difference between regular tax and AMT)	24	
25	Research and experimental costs (difference between regular tax and AMT)	25	
26	Income from certain installment sales before January 1, 1987	26	
27	Intangible drilling costs preference	27	
28	Other adjustments, including income-based related adjustments	28	
29	Alternative minimum taxable income. Combine lines 1 through 28. (If married filing separately and line 29 is more than \$216,900, see instructions.)	29	583,656.

Part II Alternative Minimum Tax

30 Exemption. (If you were under age 24 at the end of 2009, see instructions.)

IF your filing status is ...	AND line 29 is not over ...	THEN enter on line 30 ...
Single or head of household	\$112,500	\$46,700
Married filing jointly or qualifying widow(er)	150,000	70,950
Married filing separately	75,000	35,475

If line 29 is **over** the amount shown above for your filing status, see instructions.

30		
31	Subtract line 30 from line 29. If more than zero, go to line 32. If zero or less, enter -0- here and on lines 34 and 36 and skip the rest of Part II	583,656.
32	<ul style="list-style-type: none"> • If you are filing Form 2555 or 2555-EZ, see instructions for the amount to enter. • If you reported capital gain distributions directly on Form 1040, line 13; you reported qualified dividends on Form 1040, line 9b; or you had a gain on both lines 15 and 16 of Schedule D (Form 1040) (as refigured for the AMT, if necessary), complete Part III on page 2 and enter the amount from line 55 here. • All others: If line 31 is \$175,000 or less (\$87,500 or less if married filing separately), multiply line 31 by 26% (.26). Otherwise, multiply line 31 by 28% (.28) and subtract \$3,500 (\$1,750 if married filing separately) from the result. 	145,347.
33	Alternative minimum tax foreign tax credit (see instructions)	3,573.
34	Tentative minimum tax. Subtract line 33 from line 32	141,774.
35	Tax from Form 1040, line 44 (minus any tax from Form 4972 and any foreign tax credit from Form 1040, line 47). If you used Schedule J to figure your tax, the amount from line 44 of Form 1040 must be refigured without using Schedule J (see instructions)	123,711.
36	AMT. Subtract line 35 from line 34. If zero or less, enter -0-. Enter here and on Form 1040, line 45	18,063.

Part III Tax Computation Using Maximum Capital Gains Rates

37 Enter the amount from Form 6251, line 31. If you are filing Form 2555 or 2555-EZ, enter the amount from line 3 of the worksheet in the instructions	37	583,656.
38 Enter the amount from line 6 of the Qualified Dividends and Capital Gain Tax Worksheet in the instructions for Form 1040, line 44, or the amount from line 13 of the Schedule D Tax Worksheet in the instructions for Schedule D (Form 1040), whichever applies (as refigured for the AMT, if necessary) (see instructions). If you are filing Form 2555 or 2555-EZ, see the instructions for the amount to enter	38	112,131.
39 Enter the amount from Schedule D (Form 1040), line 19 (as refigured for the AMT, if necessary) (see instructions). If you are filing Form 2555 or 2555-EZ, see the instructions for the amount to enter	39	0.
40 If you did not complete a Schedule D Tax Worksheet for the regular tax or the AMT, enter the amount from line 38. Otherwise, add lines 38 and 39, and enter the smaller of that result or the amount from line 10 of the Schedule D Tax Worksheet (as refigured for the AMT, if necessary). If you are filing Form 2555 or 2555-EZ, see the instructions for the amount to enter	40	112,131.
41 Enter the smaller of line 37 or line 40	41	112,131.
42 Subtract line 41 from line 37	42	471,525.
43 If line 42 is \$175,000 or less (\$87,500 or less if married filing separately), multiply line 42 by 26% (.26). Otherwise, multiply line 42 by 28% (.28) and subtract \$3,500 (\$1,750 if married filing separately) from the result	43	128,527.
44 Enter: <ul style="list-style-type: none"> • \$67,900 if married filing jointly or qualifying widow(er), • \$33,950 if single or married filing separately, or • \$45,500 if head of household. 	44	67,900.
45 Enter the amount from line 7 of the Qualified Dividends and Capital Gain Tax Worksheet in the instructions for Form 1040, line 44, or the amount from line 14 of the Schedule D Tax Worksheet in the instructions for Schedule D (Form 1040), whichever applies (as figured for the regular tax). If you did not complete either worksheet for the regular tax, enter -0-	45	400,291.
46 Subtract line 45 from line 44. If zero or less, enter -0-	46	0.
47 Enter the smaller of line 37 or line 38	47	112,131.
48 Enter the smaller of line 46 or line 47	48	
49 Subtract line 48 from line 47	49	112,131.
50 Multiply line 49 by 15% (.15)	50	16,820.
If line 39 is zero or blank, skip lines 51 and 52 and go to line 53. Otherwise, go to line 51.		
51 Subtract line 47 from line 41	51	
52 Multiply line 51 by 25% (.25)	52	
53 Add lines 43, 50, and 52	53	145,347.
54 If line 37 is \$175,000 or less (\$87,500 or less if married filing separately), multiply line 37 by 26% (.26). Otherwise, multiply line 37 by 28% (.28) and subtract \$3,500 (\$1,750 if married filing separately) from the result	54	159,924.
55 Enter the smaller of line 53 or line 54 here and on line 32. If you are filing Form 2555 or 2555-EZ, do not enter this amount on line 32. Instead, enter it on line 4 of the worksheet in the instructions	55	145,347.

Form 6251 (2009)

STATEMENT 1
FORM 1040, LINE 21
OTHER INCOME

PROFICIO BANK.....	\$	2,500.
TOWN & COUNTRY LIFE.....		1,000.
TOTAL	\$	<u>3,500.</u>

STATEMENT 2
SCHEDULE A, LINE 23
OTHER EXPENSES

PUBLICATIONS.....	\$	4,120.
SEI..... FEES.....		11,620.
SEI..... FEES.....		11,622.
WELLS FARGO BOND A/C.....		49.
TOTAL	\$	<u>27,411.</u>

STATEMENT 3
SCHEDULE B, LINE 1
INTEREST INCOME

ADVANTA CORP.....	\$	7,441.
ADVANTA CORP.....		8,558.
AMERICA FIRST.....		13,128.
CAPITAL ONE BANK.....		6,685.
CHARLES SCHWAB BANK.....		18.
CREDIT UNION ONE.....		7,725.
H & R BLOCK BANK.....		1,290.
HERITAGEWEST CREDIT UNION.....		2,559.
METLIFE BANK.....		88.
MOUNTAIN AMERICA CREDIT UNION.....		12,510.
THE BANCORP BANK.....		1,449.
TRANSWEST CREDIT UNION.....		5,147.
TREASURY CREDIT UNION.....		5,305.
WELLS FARGO #8211.....		15.
WELLS FARGO #9012.....		78.
WELLS FARGO BANK.....		459.
TOTAL	\$	<u>72,455.</u>

STATEMENT 4
FORM 1116, LINE 1A - PASSIVE INCOME
GROSS INCOME FROM SOURCES OUTSIDE U.S.

DIVIDENDS.....		49,412.
GROSS FOREIGN SOURCE QUALIFIED DIVIDENDS.....	101,690.	
FOREIGN SOURCE QUALIFIED DIVIDEND ADJUSTMENT.....	<u>-58,106.</u>	
NET FOREIGN SOURCE QUALIFIED DIVIDENDS.....		43,584.
CAPITAL GAIN DISTRIBUTIONS.....	1,466.	
NET FOREIGN SOURCE CAPITAL GAIN DISTRIBUTIONS.....		1,466.
TOTAL	\$	<u>94,462.</u>

STATEMENT 5
FORM 1116, LINE 1A - PASSIVE INCOME (AMT)
GROSS INCOME FROM SOURCES OUTSIDE U.S.

DIVIDENDS.....		49,412.
GROSS FOREIGN SOURCE QUALIFIED DIVIDENDS.....	101,690.	
FOREIGN SOURCE QUALIFIED DIVIDEND ADJUSTMENT.....	<u>-47,215.</u>	
NET FOREIGN SOURCE QUALIFIED DIVIDENDS.....		54,475.
CAPITAL GAIN DISTRIBUTIONS.....	1,466.	
NET FOREIGN SOURCE CAPITAL GAIN DISTRIBUTIONS.....		<u>1,466.</u>
	TOTAL \$	<u><u>105,353.</u></u>