



21st Century Community Learning Centers  
 2016 RFP - Financial Risk Assessment

*Applicants may use this risk assessment to inform their choice of fiscal agent and determine how a particular fiscal agent will affect their application's fiscal eligibility for the 21st CCLC grant.*

	Scoring	Points
Applicant is in good financial standing with the Indiana Department of Revenue and the Indiana Department of Workforce Development.	Yes = 0 No = 5	
The fiscal agent is not debarred, suspended or otherwise excluded from or ineligible for participation in Federal programs or activities.	Yes = 0 No = 5	
Specific applicant staff will be assigned to the 21st CCLC program, and this staff has experience working with federal programs.	Yes = 0 No = 5	
Applicant has the capacity to execute the management standards of the grant. (Ex. Fulfill documentation requirements, internal control requirements, etc.)	Yes = 0 No = 5	
Fiscal agent has an interest in the goals, expectations, and performance of the 21st CCLC program.	Yes = 0 No = 5	
Has the sub recipient received this type of federal award in the past or is this a brand new award? (Select one)	21st CCLC Funded = 0 Other Federal Funds = 2 New Award = 5	
Has most recent fiscal review found financial management compliance issues (minor-significant)	1 Finding = 1 2 Findings = 2 (Max of 10 points)	
Have the grantee's previous financial reports been inadequate, inaccurate, or late?	Inadequate = 2 Inaccurate = 2 Late = 2 None of the above = 0	
Has the grantee returned federal funds, beyond what is reasonable, to the state in the previous program year?	Returned: 0.5% or less = 0 0.51%-4% = 2 More than 4% = 4	
Has the grantee submitted budget revisions in the past to correct errors?	No = 0 1 Revision = 1 2 Revisions = 2 3 Revisions or more = 4	
Most recent State Board of Accounts audit has:	Findings = 2 Unresolved Findings = 3 Is Delinquent = 5	
Total:		0
Low Risk:		0-5 Points
Moderate Risk:		6 - 10 Points
High Risk:		11+ Points