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## Control Self-assessment

David McNamee

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## CONTENTS

The Control Self Assessment Electronic Publication contains the following books, articles, and tools authored by David McNamee designed to help you add value in your Internal Audit practice:

### **"Control Frameworks and Self Assessment"**

The full text consisting of 135 pages of CSA definitions, examples, case studies, feedback questions, and bibliography. This publication presents practical information and cases studies regarding:

- COSO, CoCo and Other Control Frameworks
- Putting Control Frameworks into Practice
- Why Control Self Assessment?
- The Six Methods of Control Self Assessment
- Risk and Control Self Assessment
- Implementing Control Self Assessment
- Facilitation Skills for CSA Workshops Parts 1 and 2
- Technology and Control Self assessment
- Control Self Assessment Bibliography

### **"Risk-Based Auditing"**

The full text consists of 144 pages describing Risk-Based Auditing. This publication presents practical information and cases studies regarding:

- Risk-Based Auditing I (Micro)
- What is Risk?
- Risk Identification
- Risk Measurement
- Risk Prioritization
- Risk-Based Auditing II (Macro)
- Appendices

Note: A separate Risk Management Electronic Publication on CD is available from Pleier Corporation - review additional products on the main screen on this CD.

### **"CSA Agenda"**

This is a sample of an agenda that you should find extremely useful as a guideline in conducting your own CSA session.

### **"Back to the Future with CSA"**

This article describes how to incorporate techniques to imagine the future to use self assessment tools to improve business processes. This article discusses the typical use of control Self Assessment:

- As a preliminary survey tool
- As a substitute for audit fieldwork
- As a complete audit/assessment

### **"Electronic Scribing of CSA Sessions"**

This document describes the use of a CSA Template as a powerful improvement to the scribing process. This document presents a number of advantages to the practical use of a CSA Template including:

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Focus the discussion into discrete sections  
Record comments in the right place  
Keep discussion focused and not wandering off the track  
Assists in creating an organized, insightful report to management

### "CSA Fraud Questions"

This article lists the types of questions normally discussed in a CSA workshop. These specific questions are those that would occur in a CSA workshop addressing the area of Fraud.

### "Myers-Briggs Type Indicator (MTBI) Test"

This presentation is a simplified version of the Myers-Briggs Type Indicator test that can help you facilitate meetings by understanding people's differing gifts. This presentation includes material that addresses the following:

Myers-Briggs Team Exercise  
MBTI Self Test  
MBTI Self Test Answer Sheet  
Myers-Briggs Type Indicators  
Using MBTI  
Myers-Briggs Type Descriptions

### "Benchmarking the Audit Function"

This article presents a demonstration case showing how to benchmark an Internal Audit Department's audit report writing process and shows how benchmarking and CSA go hand-in-hand as participative diagnostic tools for the Internal Audit professional.

### "Business Risk Assessment"

This article provides an explanation of the importance and differences of business risk from other types of risk. Specifically this article describes the assessment of risk at the following levels:

Strategic Risk Assessment  
Project Risk assessment  
Operational Risk Assessment

### "CSA Tools"

This set of questionnaire provides a basis for performing Cs in the following areas:

Accounts Payable  
Budget Process  
Electronic Data Interchange - EDI  
Project Management Risk  
SAP General Ledger